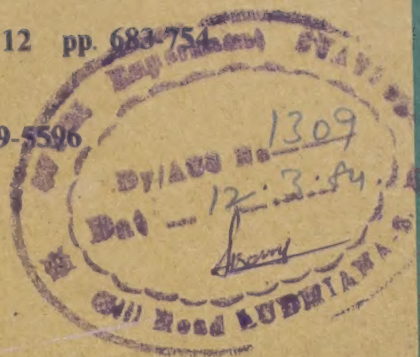


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### Corrigendum

Paper entitled, "Red Edge Effect in Excited State Protonic Transfer Reaction in Alkaline Aqueous Solutions of  $\beta$ -Naphthylamine" Vol. 20 (No. 9) (Sept) 1982, pp. 728-732.

The authors have now found that Eq. (5) is not applicable for reaction 3 listed in the paper, since back-reaction is unaffected by  $[\text{OH}^-]$ . Hence Eq. (1) is sufficient to give the forward rate constant. The red edge effect, therefore, depends only on change in proton transfer rate ( $k$ ). The value of  $k$  comes out to be  $4 \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$  for short wavelength excitation.

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### Errata

Paper entitled, "Calculations of Geometry & Molecular Properties by Semiempirical Molecular Orbital Method (SINDO)" Vol. 21 (No. 10) (Oct), pp. 605-608.

In Table 1, the values of net charges under the column headings  $X_1$ ,  $X_2$  and  $X_3$  are to be corrected as follows:

Molecule	$X_1$	$X_2$	$X_3$
HBeH	-0.229	0.458	-0.229
HBH	-0.105	0.209	-0.105
HCH T	0.046	-0.092	0.046
HCH S	0.0006	-0.0011	0.0006
HNH	0.118	-0.235	0.118
HOH	0.194	-0.387	0.194
OOO	-0.145	0.291	-0.145
OCO	-0.273	0.545	-0.273
HCN	0.019	0.119	-0.138



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# A Mechanism for Drag on Zinc Dislocations

RAHUL BASU\*

California Institute of Technology, Pasadena, California, USA

Received 28 January 1983

Experimental data for dislocation mobilities in zinc single crystals are analyzed. A drag model is formulated for the low-stress regions after slip occurs and for the high-stress regions after stage I-stage II transition. It is found that the drag approaches an asymptotic value at high velocities starting from an initially high value. The significance of this value is discussed regarding the formation of Zn-N interstitial molecules that impede the free flight of dislocations on slip systems in zinc single-crystals.

## 1 Introduction

Although dislocations have been studied extensively over the past three decades, quantitative understanding of the basic scientific principles is still lacking. It has generally been held that dislocations move by carrying the cloud with them, and at high stresses 'breakaway' occurs—since the 'Cottrell atmosphere' was propounded<sup>1-3</sup>. The author has performed an extensive study using the concepts of Seeger<sup>4</sup> and Dorn and Rajnak<sup>5</sup>, together with experimental data from research performed in the laboratory. It is found that in the case of zinc crystals, the motion of dislocations above a certain stress and velocity causes chemical formation of  $Zn_3N_2$  and  $Zn_2N_2$  molecules, which obstruct further motion<sup>6,7</sup>. In the same study it was found that there are two mechanisms for drag—one controlled by Peierls forces below a certain transition stress, and another controlled by the molecular interaction forces between zinc and nitrogen at stresses above this magnitude. Also, an asymptotic drag was obtained at high stresses, which may be caused by constraining chemical and electronic forces or by lattice forces during 'free flight' as in the model of Ashby<sup>3</sup>. It is now attempted to show that the variations in drag coefficient ( $B$ ) are due to chemical and electronic interactions.

## 2 Analysis

Zinc single crystals were prepared and tested at various stresses with a Hopkinson's apparatus and subsequently etched with chemicals and photo-microscopically examined<sup>6,7</sup>. The dislocations were observed on the basal (screw component) and pyramidal (edge component) planes. Experimental results obtained are presented in Tables 1 and 2.

From a heuristic analysis, it is obvious that prior to any interaction with interstitials and precipitates, the

only resistance faced by the dislocation in its initial motion is that of lattice friction, together with superimposed stress fields from other defects. In a pure and perfect crystal, this is the force obtained by solving the wave equation in a crystalline symmetry. This can be a formidable task, and resort to the digital computer is to be taken. Simpler methods have been devised which are described in Ref. 6. This force required to move a dislocation past one-half a lattice spacing is the Peierls force. When the crystal is doped, or contains unintentional additions such as nitrogen in zinc, it varies with the nature and concentration of the solute. An approximate value is given by the CRSS (critical

Table 1—Damping Coefficients Calculated from Various Stress-Velocity Measurements

$V$ , cm/s	10	1	0.1	0.01
$\tau$ , $10^6$ dyn/cm <sup>2</sup>	24.08	19.8	14	10
$B_{screw}$ , $10^{-2}$ dyn/cm <sup>2</sup>	6.38	52.47	371	2650
$B_{edge}$ , $10^{-2}$ dyn/cm <sup>2</sup>	13.6	111.87	791	5650
$(\tau-6.9)$ , $10^6$ dyn/cm <sup>2</sup>	17.18	12.9	7.1	3.1
$B_{screw}$ , $10^{-2}$ dyn/cm <sup>2</sup>	4.55	34.19	188.15	82.5
$B_{edge}$ , $10^{-2}$ dyn/cm <sup>2</sup>	9.71	72.89	401.15	1751.5

Note: The first two rows of the table give experimental values of velocity and stress, pairwise. The 5th row gives stress values after subtraction of a constant value, and rows 6 and 7 give the recalculated damping coefficients obtained from the new stress.

Table 2—Damping Coefficients above the Transition Stress ( $28.875 \times 10^6$  dyn/cm<sup>2</sup>)

$V$ , cm/s	100	1000
$\tau_{edge}$ , $10^6$ dyn/cm <sup>2</sup>	100	390
$\tau_{screw}$ , $10^6$ dyn/cm <sup>2</sup>	100	810
$B_{edge}$ , $10^{-2}$ dyn.s/cm <sup>2</sup>	5.65	2.20
$B_{screw}$ , $10^{-2}$ dyn.s/cm <sup>2</sup>	2.65	2.15

Note: The top row gives two velocities selected from region II of the figure. Corresponding stresses are read off from the figure. Subsequently, the damping coefficients are calculated as explained in the text.

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resolved shear stress), where deformation is initiated. Extrapolation of the shear stress-velocity data of Table 1 gives this to be 6.9 Mdyn/cm<sup>2</sup> at room temperature.

The drag model used is given as

$$BV = \tau b \quad \dots (1)$$

where  $B$  is the drag coefficient,  $V$  the dislocation velocity,  $\tau$  the applied shear stress and  $b$  the relevant Burgers vector. The effect of the CRSS of 6.9 Mdyn/cm<sup>2</sup> can be eliminated by using a new stress after subtraction of this component.

The results are presented in Table 1. However, it is seen that the variation in  $B$  is not regulated by this, although it is altered. Here the drag increases with stress and velocity, because of increasing interaction with interstitials present everywhere in the lattice.

A model for this region, given by data in Table 2, may be represented as

$$BV = (\tau - y)b \quad \dots (2)$$

where  $y$  is a stress to be determined. A study of the values given in Table 2 shows that an asymptotic value appears to be reached at high velocity. Eq. (2) is then solved for  $B$  for the edge and screw components, giving for  $y$  a value of approximately 19 Mdyn/cm<sup>2</sup>. This is close to the measured transition stress of 24 Mdyn/cm<sup>2</sup> and indicates the controlling stress for this region to be that which causes the change in deformation mode of the crystal, namely line pinning.

From a more detailed study including lattice energy and dynamics it is found that the average line tension is  $5.4 \times 10^{-5}$  dyn and the perturbation potential produces a force or tension of  $2.7 \times 10^{-9}$  dyn (Ref. 6). The distance between each pair of inclusions is 10  $\mu$ m and the arc length of contact between line and inclusion was found to be 12.4 Å. The value of line tension was also calculated by work principles and found to be nearly equal to that calculated with the use of differential equations of motion and was found to be  $5.565 \times 10^{-5}$  dyn (or erg/cm). Actually the prior value is the theoretical value assuming full contact between line and precipitate (inclusion), and the value obtained from work principles takes the velocity as constant, with no deceleration during approach of the line to the inclusions.

Now from the drag relation [Eq. (2)]

$$BV = \tau b$$

so at transition

$$BV = 24 \times 2.65 \times 10^6 \times 10^{-8} = 4.55 \times 10^{-1} \text{ dyn/cm} \quad \dots (3)$$

where  $b = 2.65$  Å for the screw component. Hence the force over the arc of contact with the inclusion (known to be 12.4 Å) is approximately  $5.6 \times 10^{-8}$  dyn.

Similarly, at the asymptotic drag, where assuming contact still exists between line and precipitate,

$$BV = 2.096 \times 10^{-2} \times 1000 = 20.96 \text{ dyn/cm}$$

and the tension over the arc of contact is therefore equal to

$$20.96 \times 12.4 \times 10^{-8} = 2.6 \times 10^{-6} \text{ dyn (approx.)} \quad \dots (4)$$

Comparison of these values with the earlier calculations shows that, at transition, the tension over the arc of contact is comparable within a factor of 10 with the perturbation force known to be  $2.7 \times 10^{-9}$  dyn, and that at sufficiently high stress (asymptotic region), the constant contact stress is within a factor of 10 of the line tension calculated as  $5.4 \times 10^{-5}$  dyn. This is a sufficiently good indication that the line remains pinned at the high stresses and that breakaway does not occur. The value obtained at transition found to agree with the calculated tension due to perturbational forces shows that at transition the interaction is primarily that of the second-order Fourier component. This is, of course, a repulsive force and is electronic in nature, depending also on the neighbour-to-neighbour bond strengths.

Alternatively, we may recalculate the arc length of contact using the new forces per unit length by using Eqs (3) and (4). From Eq. (3) one obtains a length of 1.5 Å, using  $6.9 \times 10^{-9}$  dyn and from Eq. (4) an arc length of 256 Å, using  $5.4 \times 10^{-5}$  dyn. These are tensions obtained from work principles in both cases<sup>6</sup>. This result may be interpreted as follows: full contact does not occur between line and precipitate, as the line does not travel the full distance at constant velocity due to deceleration from repulsive forces. Alternatively, full contact occurs, but only over a small arc length. The first explanation is more reasonable from physical principles. In the asymptotic region, the line tension is less by a factor of 10, or it would appear that the arc length of contact is 256 Å or 96 atomic spacings of zinc. The inclusion is then a cluster of 32 (Zn<sub>3</sub>N<sub>2</sub>).

Line tension could be less through escape mechanisms also, i.e. by cutting through the inclusion or by other cloud interactions with lattice drag included. However, from the results obtained at transition, and the gradual decrease in  $B$  (Tables 1 and 2), it is more probable that increasing contact occurs with maximum restraining force at stresses lying in the asymptotic region. Hence, the experimental results do not cover the fracture and breakaway region.

### 3 Conclusion

Calculations using the dynamic parameters of velocity and drag, rather than the static calculations done earlier<sup>6</sup>, show that the values obtained are consistent within a factor of 10. Furthermore, it is



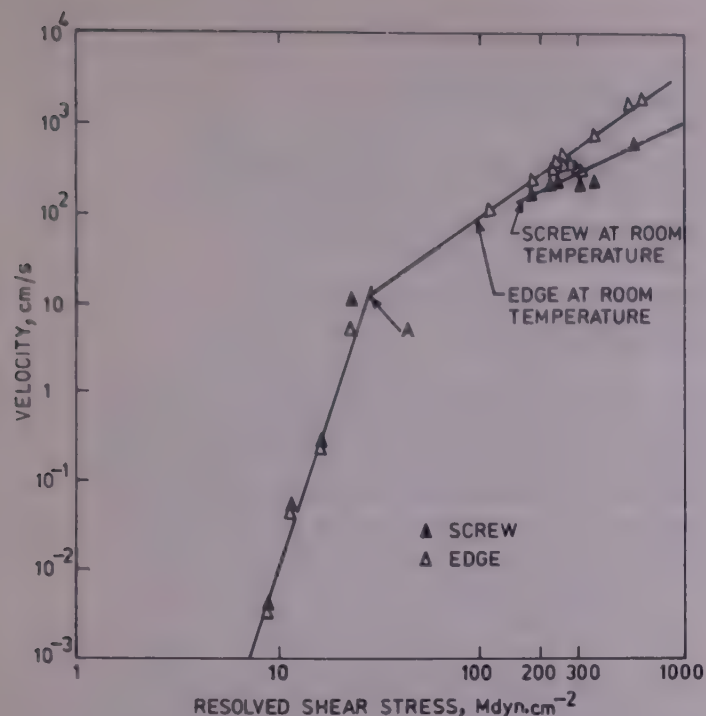


Fig. 1—Stress-velocity curve for dislocations in zinc single crystals

found that full contact does not occur at the transition stress (point A in Fig. 1) rather it occurs at asymptotic stress and velocity. This is logical considering the electronic repulsion force involved. An important feature would seem to be the divergence between the

screw and edge components in the stress-velocity curve (Fig. 1).

This can only be analyzed by using drag concepts and the difference in  $b$  for the two components. Here  $b = 2.65 \text{ \AA}$  for screw and  $5.65 \text{ \AA}$  for edge component respectively—and these values were used in the tabulation of  $B_{\text{edge}}$  and  $B_{\text{screw}}$  in Tables 1 and 2 by substitution of experimental values of stress and velocity in Eq. (1), and the refined values from Eq. (2). Earlier it was estimated that this was due to different molecular forces on the two slip systems. However, as it is now found that full contact may not occur at transition stress, it is possible that some different mechanisms (perhaps dissipation) may be occurring simultaneously.

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## Mössbauer Study of $\text{Zn}_{0.1}\text{Ni}_{0.9+x}\text{Sn}_x\text{Fe}_{2-2x}\text{O}_4$ Ferrites

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The Mössbauer spectra of  $^{57}\text{Fe}$  in the polycrystalline solid solutions of tetravalent tin-substituted nickel zinc ferrites ( $\text{Zn}_{0.1}\text{Ni}_{0.9+x}\text{Sn}_x\text{Fe}_{2-2x}\text{O}_4$  with  $x=0.1$  to  $0.9$  varying in steps of  $0.1$ ) have been studied at room temperature. The spectra elucidate such aspects as ionic distribution from internal magnetic field and occupancy ratio, spin correlation time and anisotropy energy with increasing tin concentration. The results have been explained on the basis of Neal's molecular field model for low tin-concentration samples, whereas the results for samples of high tin-concentration could be explained from Ishikawa's hypothesis of super-paramagnetic clusters. A transition was observed from a magnetically ordered state to a paramagnetic state for  $x > 0.7$  which has been explained on the basis of chemical disorder present in the ferrites by considering charge and point symmetry about  $\text{Fe}^{3+}$  ions.

### 1 Introduction

A number of workers have shown that the tetravalent impurities substituted in the ferrite matrix could be exploited to upgrade the electric and magnetic properties of ferrites due to inherent property of tetravalent impurities to localize the charge carriers. It has been reported that impurities like silica<sup>1,2</sup>, titanium<sup>1,3</sup>, germanium<sup>2</sup> and tin<sup>4</sup>, when added to various ferrites, promote coarse crystallization and grain growth as well as increase the resistivity and magnetic quality factor of the basic ferrite. Varshney *et al.*<sup>5</sup> have reported that increased substitution of tetravalent tin in nickel zinc ferrites increases its resistivity by a large factor and significantly influences other electrical and magnetic properties. The present Mössbauer study of tetravalent tin-substituted, nickel zinc ferrite is aimed at elucidating such aspects as the site occupancy, ionic distribution from internal magnetic field, and spin correlation time and anisotropy energy obtained from relaxation effects.

### 2 Experimental Details

The polycrystalline solid solutions of  $\text{Zn}_{0.1}\text{Ni}_{0.9+x}\text{Sn}_x\text{Fe}_{2-2x}\text{O}_4$  ( $x=0.1$  to  $0.9$  varying in steps of  $0.1$ ) ferrites were prepared by the conventional ceramic technique involving slow annealing in air. The desired quantity of the analytical grade  $\text{NiO}$ ,  $\text{ZnO}$ ,  $\text{Fe}_2\text{O}_3$  and  $\text{SnO}_2$  metal oxides were wet-blended with deionized water in an agate mortar and pestle. The mixed powder was calcined for 4 hr at  $1000^\circ\text{C}$  in an alumina crucible to improve homogenization of the constituents. The mixture was wet-milled again with deionized water to reduce the particle size and the wet powder was dried at  $350^\circ\text{C}$ . Polyvinyl alcohol (10% by weight) was mixed as an adhesive and the pulverized powder was granulated

through the sieves of 85 to 120 mesh (B.S.S.) and compressed to pellets of 15 mm diameter under a pressure of 3 ton/in<sup>2</sup>. The pellets were finally sintered for 2 hr at  $1300^\circ\text{C}$  in an alumina combustion boat and were cooled in air to room temperature at the rate of  $100^\circ\text{C/hr}$ . The surfaces of the pellets were rubbed with an abrasive paper. The X-ray diffraction analysis, using  $\text{Fe}(\text{K}_\alpha)$  radiations with Mn filter and Debye Scherrer camera, confirmed single-phase spinel structure in all the compositions except for  $x=0.8, 0.9$ , where an additional phase was observed due to the presence of unreacted stannic oxide.

The Mossbauer spectra were recorded at room temperature on Nuclear Data 512 Channel Analyzer operating in the multiscaling mode in conjunction with a constant acceleration electromechanical drive using a 20 mCi  $^{57}\text{Co}$  source in the rhodium matrix.

The experimental spectra, which were computer analyzed using a standard non-linear least squares fit program, are shown as solid lines in Fig. 1. The intensities and widths of these Lorentzian lines were allowed to vary freely, subjected only to the constraints  $I_j = I_{7-j}$  and  $\Gamma_j = \Gamma_{7-j}$  of the component spectrum of the sextets where  $I_j$  is the intensity of the  $j$ th line and  $\Gamma_j$  is the width of the  $j$ th line.

### 3 Results and Discussion

The Mössbauer spectra of each of the specimens, with tin concentration varying from  $x=0.1$  to  $0.7$ , have been resolved into two sextets. These sextets have been assigned A site or B site on the basis of their respective values of isomer shift as well as internal magnetic field. For specimens having  $x=0.1$  to  $0.5$ , the sextets corresponding to A and B sites have been identified as hyperfine sextets without any relaxation effects. The spectra of specimens having  $x=0.6$  and  $0.7$  showed relaxation effects at B site whereas A site



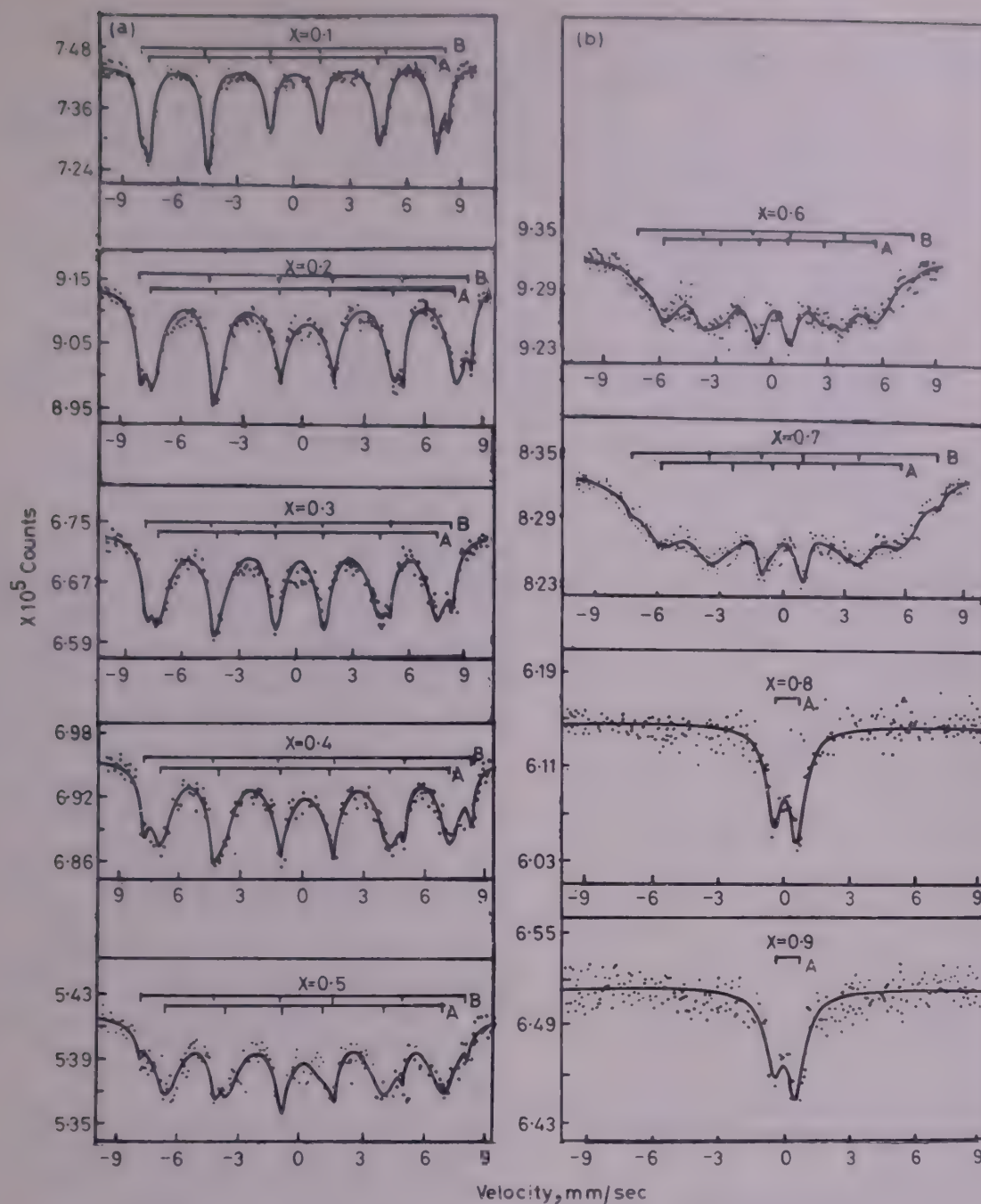


Fig. 1—Mössbauer spectra of  $\text{Zn}_{0.1}\text{Ni}_{0.9+x}\text{Sn}_x\text{Fe}_{2-2x}\text{O}_4$  ( $x=0.1$  to  $0.9$  in steps of  $0.1$ ) at  $300\text{ K}$

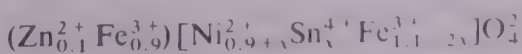
sextets were observed without any relaxation effects. The spectra of specimens having  $x=0.8$  and  $0.9$ , however, showed quadrupole doublet corresponding to A site  $\text{Fe}^{3+}$  ions.

The values of isomer shift relative to natural iron and line widths for all specimens are shown in Table 1. It shows no systematic change of isomer shift at A and B sites with increase of tin concentration, indicating that the  $s$ -electron charge distribution of  $\text{Fe}^{3+}$  ions is not influenced by the increased substitution of tin ions. The difference in isomer shift due to A site and B site can be attributed to the slight  $Sp^3$  covalency present at the A site ions<sup>6,7</sup>.

The variation of nuclear magnetic field at A site and B site with tin concentration has been estimated within an experimental error of  $5\text{ kOe}$  and is shown in Fig. 2.

It shows that for samples having tin concentration less than  $0.5$ , the nuclear magnetic field at A site decreases significantly as compared to that at B site. The internal magnetic field, however, decreases significantly at both A as well as B sites for samples having  $x=0.6$  and  $0.7$ .

The observed variation of internal magnetic field as a function of tin concentration can be interpreted to derive the following ionic distribution for samples having  $x=0.1$  to  $0.5$ :



where the metallic cations enclosed by parenthesis occupy A site while the ions enclosed by the square bracket occupy B site such that  $\text{Sn}^{4+}$  ions mainly occupy B site wherein two  $\text{Fe}^{3+}$  ions are replaced by



Table 1—Values of Isomer Shift w.r.t. Natural Iron, Full Width at Half Maxima (FWHM), Spin Correlation Time, and Anisotropy Energy ( $K$ ) for Various Samples

$x$	Isomer shift, mm/sec		FWHM, mm/sec		Spin correlation time sec/mm	$K \times 10^3$ erg/cm <sup>3</sup>
	A-site	B-site	A-site	B-site		
0.1	$0.11 \pm 0.01$	$0.23 \pm 0.01$	0.55	0.37	—	—
0.2	$0.14 \pm 0.02$	$0.23 \pm 0.02$	1.19	0.38	—	—
0.3	$0.12 \pm 0.02$	$0.26 \pm 0.02$	1.39	0.37	—	—
0.4	$0.12 \pm 0.02$	$0.27 \pm 0.02$	1.55	0.40	—	—
0.5	$0.12 \pm 0.02$	$0.21 \pm 0.03$	1.69	0.42	—	—
0.6	$0.07 \pm 0.05$	$0.23 \pm 0.06$	2.08	0.88	2.58	4.21
0.7	$0.08 \pm 0.04$	$0.23 \pm 0.05$	2.66	1.15	2.39	4.19
0.8	$0.09 \pm 0.01$	—	0.84	—	—	—
0.9	$0.04 \pm 0.01$	—	0.97	—	—	—

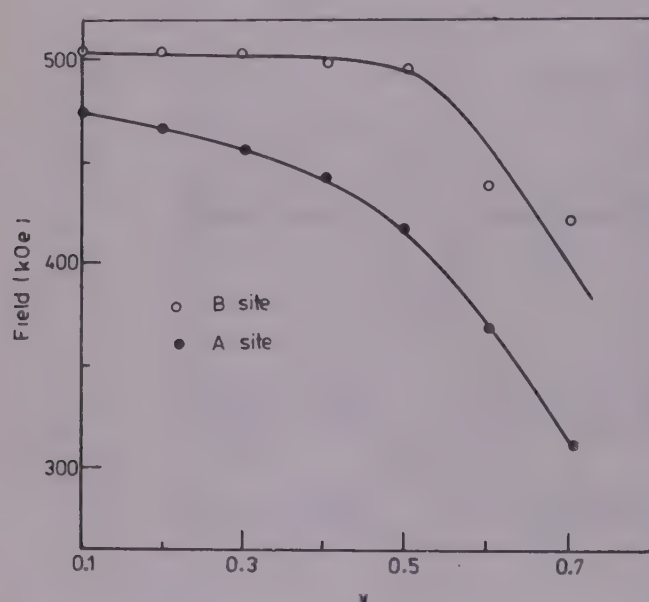


Fig. 2—Nuclear magnetic field at  $^{57}\text{Fe}$  nuclei at octahedral (B) site and at tetrahedral (A) site versus tin concentration  $x$

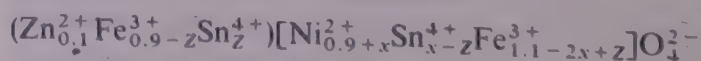
$\text{Sn}^{4+}$  and  $\text{Ni}^{2+}$  ions at the octahedral site. The preference of  $\text{Ni}^{2+}$  ions for octahedral site is due to favourable fit of its charge distribution in the crystal field of octahedral site whereas  $\text{Zn}^{2+}$  ions have got a marked preference for tetrahedral coordination to form covalent bonds involving  $sp^3$  hybrid orbitals.

The ionic distribution, derived from the variation of magnetic field, can be explained on the basis of Neel's molecular field model<sup>8</sup> with distant-neighbour exchange interactions wherein AB interactions are stronger than AA or BB exchange interactions. In the spinel structure, each ion at A site is surrounded by twelve B site ions while the ion at the B site has got six A site and six B site neighbours.  $\text{Sn}^{4+}$  and  $\text{Zn}^{2+}$  ions, being diamagnetic, do not participate in the exchange interactions. The substitution of two  $\text{Fe}^{3+}$  ions by  $\text{Sn}^{4+}$  and  $\text{Ni}^{2+}$  ions preferentially at B site, leads to an average net reduction of number of  $\text{Fe}^{3+}-\text{O}-\text{Fe}^{3+}$  bonds which A site  $\text{Fe}^{3+}$  ions will experience. The

increase in  $\text{Sn}^{4+}$  concentration, therefore, results in larger reduction of average nuclear magnetic field at A site. As A site  $\text{Fe}^{3+}$  ions are not affected due to the increased substitution of tin ions, the magnetic field at B sublattice is not reduced. Since the two sublattices must be treated as a coupled system, the rapid decrease in the average A site magnetization weakens AB interactions which slightly reduce the magnetic field at B site. A larger decrease in the magnetic field at A site than that at B site  $\text{Fe}^{3+}$  nuclei is thus expected. The observed variation in magnetic field follows a trend similar to that predicted from ionic distribution.

The above mentioned ionic distribution is further confirmed from the occupancy ratio of B to A site  $\text{Fe}^{3+}$  ions as a function of tin concentration. It is obvious that  $\text{Sn}^{4+}$  primarily occupies B site for samples having  $x=0.1$  to 0.5. Also the observed variations in the line width, as given in Table 1, show that the line widths of the sextets corresponding to A site increase with increased tin concentration unlike that at B site. It is expected that for samples with  $x \leq 0.5$ , tin ions, primarily going to B site, should alter the environment around the A site  $\text{Fe}^{3+}$  ions. One does not, however, expect any appreciable change of environment around B-site  $\text{Fe}^{3+}$  ions for these specimens because a negligible amount of tin is present at A site. The ionic distribution is thus in accordance with the observed variation in line width.

For the samples with tin concentration,  $x=0.6$  and 0.7, the variation of internal magnetic field at A and B sites can be explained from the following ionic distribution:



wherein  $Z \text{ Sn}^{4+}$  ions enter A site and  $(x-Z) \text{ Sn}^{4+}$  ions go to B site, such that  $Z=0.1$  and 0.2 for  $x=0.6$  and 0.7 respectively.



The rapid decrease in the magnetic field at A site can be attributed to the fact that AB exchange interactions as experienced by the A site  $\text{Fe}^{3+}$  ions become too weak to participate in the ferrimagnetic array and hence AA interactions start playing a dominant role. The increased tin concentration at A site, therefore, reduces the magnetic field.

The variation of the magnetic field at B site in samples with  $x=0.6$  and  $0.7$  can be explained on the basis of Ishikawa's hypothesis<sup>9</sup> of super-paramagnetic clusters. When tin starts going to A site also, the probability that all the B site magnetic ions to be surrounded by the non-magnetic  $\text{Zn}^{2+}$  and  $\text{Sn}^{4+}$  ions increases, thus resulting in the formation of isolated super-paramagnetic clusters at B site. It, therefore, breaks the long-range order between the magnetic ions. The spins inside the cluster are assumed to form the collinear ferrimagnetic array. The formation of these super-paramagnetic clusters is further confirmed from the calculations of the super-paramagnetic spin correlation time which has been found to be a real quantity for specimens with  $x=0.6$  and  $0.7$ .

The spin correlation time is given as<sup>10,11</sup>:

$$\tau_s = \frac{2(2S_{16}^2 - 2.016 S_{34}^2)^{1/2}}{S_{34}(S_{16}^2 - 40.32 S_{34}^2)^{1/2}}$$

where  $S_{16}$  is the separation between the first and the sixth line and  $S_{34}$  is the separation between the third and the fourth line. In the presence of super-paramagnetic effect, the internal field at the nucleus fluctuates<sup>10,11</sup> at the rate given by  $\tau_s = \tau_0 \exp(KV/kT)$ , where  $K$  is the uniaxial anisotropy per unit volume,  $V$  the effective particle volume,  $\tau_0 \approx 10^{-12}$  sec, and  $\tau_s = 1/\omega_s$  where  $\omega_s$  is the spin flip-flop frequency. The values of the spin-correlation time and anisotropy energy, obtained at B site for  $x=0.6$  and  $0.7$ , are listed in Table 1. The addition of nonmagnetic  $\text{Sn}^{4+}$  ion results in the weakening of various magnetic bonds at the octahedral site. It is, therefore, easier for the magnetic domains to fluctuate from one direction of an easy magnetization to another without causing change in the spin state of any particular ion in the cluster. The increase of the diamagnetic tin ions results in an increase of the spin flip-flop frequency and decrease in the anisotropy energy. The observed variation, as seen from Table 1, is in accordance with these predictions. The above mentioned ionic distribution for  $x=0.6$  and  $0.7$  is further supported by the observed behaviour of the occupancy ratio, which indicates that  $\text{Sn}^{4+}$  ions go to A sublattice also apart from going to B sublattice.

An anomalously large increase in the line width at A site with  $x=0.6$  and  $0.7$  is probably also due to the presence of clusters of various dimensions at the B site. It may lead to different magnetic field at the iron nuclei

at A site resulting in an overlap of unresolved sextets. It is, however, difficult to draw any such conclusions about the B site because of the presence of relaxation effects.

The spectra of specimens with  $x=0.8$  and  $0.9$ , as shown in Fig. 1, clearly show the presence of a quadrupole doublet which is attributed to tetrahedral  $\text{Fe}^{3+}$  ions on the basis of the isomer shift of the observed doublet. The absence of any signal due to B site  $\text{Fe}^{3+}$  ions indicates that negligible amount of iron ions are present at the B sublattice. It is inferred that the amount of diamagnetic tin is sufficiently large to break the short-range order, thus removing the hyperfine field at B site and giving rise to the paramagnetic ordering of the  $\text{Fe}^{3+}$  ions at the A site. The appearance of the electric field gradient at A site for these specimens may be due to the presence of  $\text{Ni}^{2+}$  ions in the octahedral sublattice. These  $\text{Ni}^{2+}$  ions present at B site, being nearest neighbour to  $\text{Fe}^{3+}$  ions at A site, would generate an electric field gradient at A site which results in the appearance of a paramagnetic doublet. Daniel and Rosencwaig<sup>12</sup> have reported that the  $\text{Ni}^{2+}$  ions, going to B site in nickel zinc ferrites, increase the electric field gradient. An overall cubic symmetry is maintained due to  $\text{Ni}^{2+}$  as well as  $\text{Sn}^{4+}$  ions going to the lattice site simultaneously up to  $x \leq 0.7$ . This symmetry is, however, lost for samples with  $\text{Sn}^{4+}$  up to  $x \leq 0.7$ , exhibiting Zeeman hyperfine additional phase. It has been inferred from scanning electron micrographs and X-ray diffraction results that an additional phase is present which has been attributed to the presence of unreacted  $\text{SnO}_2$ .

A transition takes place from a magnetically ordered state to a paramagnetic state for  $x > 0.7$ . The Mössbauer spectra of nickel zinc ferrite, substituted with  $\text{Sn}^{4+}$  up to  $x \leq 0.7$ , exhibiting Zeeman hyperfine pattern, show negligible quadrupole shift for both the tetrahedral and octahedral sites, whereas in passing from a magnetically ordered state to a paramagnetic state, the samples with  $x=0.8$  and  $0.9$  show sizable quadrupole splitting at A site equal to  $1.07 \pm 0.2$  mm/sec and  $1.07 \pm 0.03$  mm/sec respectively. The absence of quadrupole splitting in the spectra showing Zeeman pattern followed by sudden appearance of quadrupole splitting in nickel zinc ferrites has been interpreted by Daniel and Rosencwaig<sup>12</sup> on the basis of the chemical disorder present in such ferrites, by considering the charge and point symmetry about  $\text{Fe}^{3+}$  ions. Such ferrite systems, being chemically disordered in both the sublattices, may experience a distribution of electric field gradient of varying magnitude, direction, sign and symmetry. Thus for an overall cubic symmetry of the spinel ferrite, there may exist approximately equal probability for shifts of opposite sign which may result in producing negligible



quadrupole splitting in the magnetic spectra of such ferrite systems which explains such a transition.

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## Applicability of Onsager's Theory to the Transport Phenomena of Ions in Solutions

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Self-diffusion coefficient of Tl in thallous sulphate solution has been determined over a wide range of concentrations using a method based on radioactive tracer technique. The solute and solvent columns are of equal length and the detector is placed vertically over the diffusion column. The measurement of diffusion is made over a restricted region and the diffusion coefficients thus obtained are utilized to show the applicability of Onsager's theory of diffusion of ions in solutions.

### 1 Introduction

For more than a century, the diffusion phenomenon has been extensively investigated by several groups of workers. The field of application of such investigations is wide and at the same time has a great variety. The importance of the study of this common (but as yet not completely understood) phenomenon, springs from its close relationship with the dynamics of the molecules. Moreover, the measured diffusion coefficients can be used as a fundamental tool in correlating the experimental findings with various theories of the liquid state. Though diffusion data<sup>1-4</sup> are available in plenty, most of them suffer from various inconsistencies due to the inherent slowness of the process in liquid state. Fortunately, there are a few methods which permit rapid and consistent measurement of the diffusion coefficients with moderate accuracy.

We have developed a radioactive tracer technique<sup>5</sup> in our laboratory for the determination of the self- and mutual-diffusion coefficients of liquids. The experimental geometry consists of equal lengths of solute (radioactive) and solvent (nonradioactive) columns with the detecting device placed vertically over it. This technique is superior to others because it is free from the zero-time correction. This paper reports the results of experimentally determined self-diffusion coefficient values of Tl in  $\text{Tl}_2\text{SO}_4$  solution over a wide range of concentrations. The data are used to check the applicability of Onsager's theory of diffusion of ions in solutions.

### 2 Principle of Measurement

The theory is based on the Fourier series solution<sup>6</sup> of Fick's second law of diffusion for a unidirectional flow:

$$\frac{\partial C(x, t)}{\partial t} = D \frac{\partial^2 C(x, t)}{\partial x^2} \quad \dots (1)$$

Eq. (1) is a second-order inhomogeneous equation. Assuming that variables are separable, we seek a solution of the form:

$$C = A(x)B(t) \quad \dots (2)$$

so that

$$A \frac{dB}{dt} = DB \frac{d^2 A}{dx^2}$$

or

$$\frac{1}{B} \frac{dB}{dt} = \frac{D}{A} \frac{d^2 A}{dx^2} = -\lambda^2 D$$

hence

$$C = \sum_{m=1}^{\infty} (a_m \sin \lambda_m x + b_m \cos \lambda_m x) e^{-\lambda_m^2 D t} \quad \dots (3)$$

where  $a_m$ ,  $b_m$  and  $\lambda_m$  can be solved knowing the initial and final boundary conditions.

In our experiment, the region  $x=l$  to  $x=0$  is occupied by a solution of concentration  $C_0$ , while the region  $x=2l$  to  $x=l$  is occupied by a solution of concentration zero.

$$\therefore C = \frac{C_0}{2} + \frac{2C_0}{\pi} \sum_{s=1}^{\infty} \frac{1}{s} \cos \frac{s\pi x}{2l} \exp\left(-\frac{s^2 \pi^2 D t}{4l^2}\right)$$

If the time of observation is such that  $(\pi^2 D t / 4l^2) > 0.5$ , then, based on the first approximation,  $C$  can be expressed as:

$$C = \frac{C_0}{2} + \frac{2C_0}{\pi} \cos\left(\frac{\pi x}{2l}\right) \exp(-kt) \quad \dots (4)$$

Now Eq. (4) can be modified to conform to data obtainable with our experimental arrangement as follows:

$$N_t = A_1 - B_1 \exp(-kt) \quad \dots (5)$$

At  $t = \alpha$ , when the solute and solvent columns are uniformly mixed  $N = N_0$  and  $N_0 = A_1$ . Eq (5) can now be rewritten as:

$$N_0 - N_t = B_1 \exp(-kt) \quad \dots (6)$$

where  $N_t$  is the count rate at time  $t$ ,  $N_0$  is the count rate when the solute and solvent columns are completely mixed up.  $B_1$  is a constant (depending on the geometry) which gets eliminated when the ratios of  $(N_0 - N_t)$  at different values of  $t$  are taken. The value of  $D$  can be estimated by noting the variation of  $(N_0 - N_t)$  with time.



### 3 Experimental Details

A sliding-cell technique has been utilized for the measurement of the diffusion coefficient in the aqueous thallous sulphate solution to get an insight into the process of migration of an ion in solutions. This method is based on the radioactive tracer technique. The diffusion cell consists of two stainless steel slabs of equal dimension which are placed in a brass vessel. The lower steel slab is kept fixed with respect to the brass vessel with the help of an attaching hook. But the upper steel slab could be slowly moved with respect to the lower one by means of a sliding screw arrangement. The central cavity L [Fig. 1(b)] in the lower slab, together with the hole M in the upper slab, constitutes the diffusion column proper. There is another hole N in the upper slab for filling L with the radioactive solute. The whole arrangement is shown in Fig. 1(a).

The diameter of the diffusion column is 0.6 cm, a value larger than that for most of the diffusion cells normally used. It has been done so as to make the curvature of the open liquid surface negligible. The cavity is accurately machined at the bottom to have as flat a surface as possible so that the depth of the different points did not differ by more than 1 %.

The mechanical vibrations are eliminated by taking proper precautions. The photomultiplier tube (5819 type) is coupled to an anthracene counter and then connected to a highly stable electronic circuit. The stability of this electronic circuit is checked to within  $\pm 0.1$  % error for a period of 24 hr. It is clear from the working formula that for the sake of simplicity, we are taking solute and solvent columns to be of equal heights.

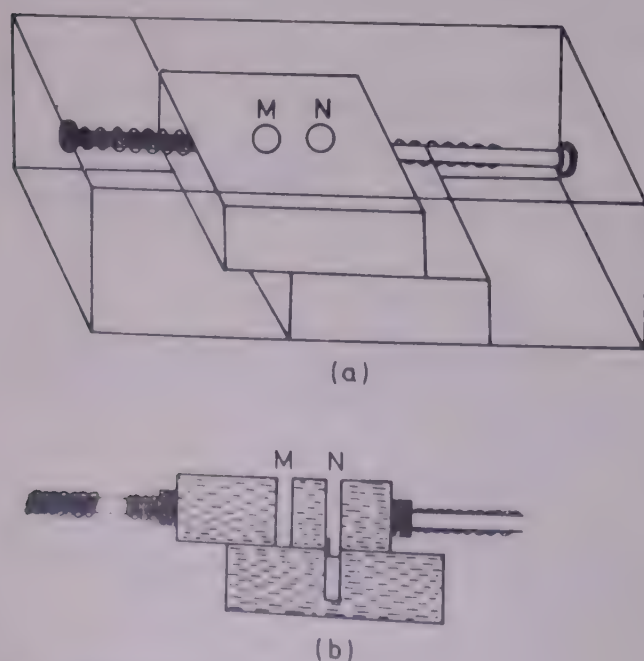


Fig. 1—Sketch of sliding cell [(a) Whole arrangement; (b) cross-sectional views; L central cavity in lower slab; M central cavity in upper slab; and N another hole in upper slab]

For the measurement of the self-diffusion coefficient of Tl, diffusion cells with diffusion column length 2.5 cm and diameter 0.6 cm were used.  $\text{Tl}_2\text{SO}_4$  solutions of different concentrations were prepared by the weighing method. The lower cavity was filled up with the radioactive solute having  $^{204}\text{Tl}$  as the tracer through the other hole of the upper slab. Then by a sliding screw arrangement, the solvent columns were superposed on the solute column, when diffusion gets started. Readings were generally taken every  $\frac{1}{2}$  hr from the start of the diffusion process. The measurements were made for a wide range of concentrations from 0.5 mg/cc to 100 mg/cc.

### 4 Results and Discussion

From observed variation of  $N_t$  with  $t$ ,  $\log_e (N_0 - N_t)$  was plotted against  $t$  and found to be nearly linear. The variation of  $D$  with the concentration is shown in Fig. 2.

Diffusion data in electrolytic solution are available in plenty but most of these diffusion coefficients reported have been obtained by conventional experimental methods which give rather inconsistent results. The main aim of an experimental study in electrolytic solutions is to get an insight into the process of migration of ions in solution. With this aim in view, we have measured the diffusion coefficients of Tl ion in aqueous thallous sulphate solutions over an extended range of concentration. The percentage of ionization of thallous sulphate in aqueous solution in the concentration range studied by us (0.5 mg/cc to 100 mg/cc) is 95 to 85 %. This means that thallous sulphate readily ionizes in aqueous solutions. Initially  $\text{Tl}^+$  and  $(\text{TlSO}_4)^-$  ions are formed and the ionization constant for this stage is 0.23. In the next stage  $(\text{TlSO}_4)^-$  ions break into  $\text{Tl}^+$  and  $(\text{SO}_4)^{2-}$  ions and the ionization constant at this stage is 0.014. The aqueous solution has a neutral reaction. There is no evidence of the existence of free sulphuric acid in the solution which exhibits the characteristic reactions of thallous salts. These salts have a tendency to form ions with double charges ( $\text{Tl}_2^{2+}$ ) as in the case of Hg salts.

We have tested the validity of Onsager's theory<sup>7</sup> in this particular case. Onsager's theoretical formula for

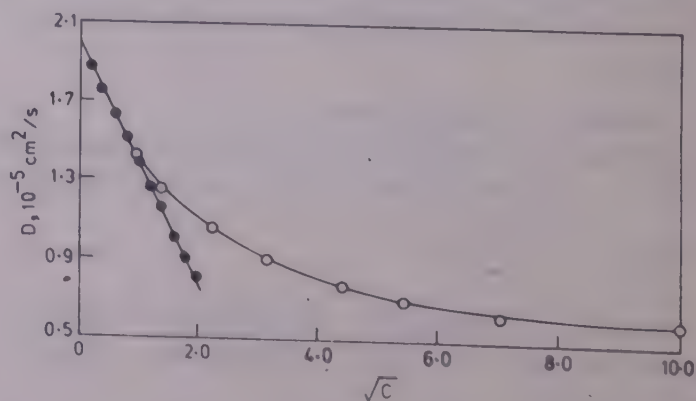


Fig. 2—Plot of  $D$  versus  $\sqrt{C}$  ( $C$  in mg cc)



the dependence of the diffusion coefficient ( $D$ ) on concentration of a uni-univalent electrolyte can be written as follows:

$$\frac{D}{D_0} - 1 = -(t^- - t^+)^2 \frac{b^+ + b^-}{2a} \frac{\kappa^a}{1 + \kappa a} + \frac{e^2}{2\epsilon k T a} \cdot \frac{b^+ + b^-}{2a} \cdot (\kappa a)^2 \cdot \varphi(\kappa a) \quad \dots (7)$$

where  $t^+$ ,  $t^-$  denote the transport numbers;  $\epsilon$  the permittivity of water;  $b^+$ ,  $b^-$ , the effective ionic radii calculated from Stoke's law,  $\kappa$  the mean thickness of the ionic atmosphere in the Debye-Hückel theory and  $a$  the mean ionic diameter in the same theory. Values of  $b^+$  and  $b^-$  can be calculated from the formulae:

$$b^+ = \frac{F^2}{6\pi N \eta t^+ \lambda^+}, \quad b^- = \frac{F^2}{6\pi N \eta t^- \lambda^-}$$

The term  $\beta c^{1/2}$  in the denominator of Debye-Hückel formula for  $\log f$  is the same as  $\kappa a$ . The coefficient  $\beta$  is proportional to  $a$  and is unity when  $a$  is 3.08 Å. The function  $\varphi$  is defined by<sup>8</sup>:

$$\varphi(y) = \frac{e^{2y}}{(1+y)^2} \int_y^\infty \frac{e^{-t}}{t} dt$$

We can reduce Eq. (7) to a simple limiting law. Onsager's limiting law regarding the diffusion coefficient of tracer ion of  $j$ th kind is

$$D_j = \omega_j \left[ kT - \frac{\kappa e_j^2}{3D} (1 - \sqrt{d(\omega_j)}) \right] \quad \dots (8)$$

which on simplification becomes<sup>9</sup>

$$D_j = \frac{kT \lambda_j}{|Z_j| F} - \frac{\lambda_j^0 |Z_j| F}{3ND} \times 2.694 \times 10^6 + \sqrt{\frac{4\pi}{DRT}} [1 - \sqrt{d(\omega_j)}] \sqrt{\sum_i C_i Z_i^2} \quad \dots (9)$$

For diffusion of tracer ions of species No. 1, in a salt solution containing ions of species Nos 1 and 2, we have  $C_1 |Z_1| = C_2 |Z_2|$ . For uni-univalent electrolyte,  $|Z_1| = |Z_2| = 1$  and

$$d(\omega_j) = \frac{1}{2} \left[ \frac{1}{2} + \frac{\lambda_2^0}{\lambda_1^0 + \lambda_2^0} \right]$$

If we now substitute  $\lambda_1^0 = 74.7$  for Tl ion and  $\lambda_2^0 = 79.8$  for  $\frac{1}{2}\text{SO}_4$  ion we obtain

$$D \times 10^5 = 1.99 - 0.574 \sqrt{C} \quad \dots (10)$$

The theoretical variation  $D$  with  $\sqrt{C}$  corresponding to Eq. (10) is seen to be in close agreement with the experimentally obtained variation at lower concentrations.

So far as dilute solutions are concerned, the diffusion data indicate that the fully hydrated thallium ion is completely embedded in water molecules. Since the average distance between ions in solutions of low concentrations is very large, the hydration as well as the interaction between the hydrated ion and the surrounding solvent water molecules remain essentially the same irrespective of change in concentrations, provided the solutions are dilute. The only effect of increasing the salt concentrations in this range, then, is to increase the interionic attraction due to long-range electrostatic forces. While measuring diffusion coefficients of the tracer element in liquid solutions, it is always assumed that the activity coefficient of the diffusing tracer ion is constant along the diffusion path. Onsager has shown that the diffusion potential and electrophoretic effect are both negligibly small, and in dilute solutions the decrease in diffusion coefficient with increasing salt concentrations can be completely attributed to the relaxation effect of the ion atmosphere. If this assumption is valid in the transport of ions in dilute solutions, the  $D$  versus,  $C^{1/2}$  curve should give a straight line in the lower concentration range. Our experimental results (Fig. 2) confirm the validity of this assumption in the range of dilute solutions. Therefore it can be said that Onsager's concept of liquid diffusion coupled with Debye-Hückel theory is successful in explaining the transport properties in liquid solutions.

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# Dielectric Relaxation & Dipole Moment of N, N-Dimethylacetamide

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Using standing wave techniques, dielectric constant ( $\epsilon'$ ) and dielectric loss ( $\epsilon''$ ) of dilute solutions of N, N-dimethylacetamide (N, N-DMA) in carbon tetrachloride, dioxane and benzene have been measured at 9.987 GHz at 25, 35, 45 and 55°C. Following the single-frequency concentration variational method, dielectric relaxation time ( $\tau$ ) and the dipole moment ( $\mu$ ) of DMA have been evaluated. For N, N-DMA in benzene solution, solute-solvent type of molecular association has been proposed. Energy parameters ( $\Delta F_e$ ,  $\Delta H_e$  and  $\Delta S_e$ ) for the dielectric relaxation of N, N-DMA in carbon tetrachloride, dioxane and benzene solutions have been calculated from the Eyring's rate equations and compared with the corresponding viscosity parameters. A comparison between these two sets of parameters shows that the dielectric relaxation, like viscosity, can also be considered as a rate process.

## 1 Introduction

Amides have attracted the attention of a number of research workers<sup>1-4</sup> in different fields because of their high dielectric constant and their biological applications. N, N-dimethylacetamide [N, N-DMA] is an important non-aqueous solvent. Dielectric relaxation data obtained using microwave absorption studies are expected to throw light on various types of molecular associations because of the ability of the microwave technique to detect weak molecular interactions. This paper reports results of dielectric relaxation and dipole moment studies of N, N-DMA in carbon tetrachloride, dioxane and benzene solutions at four different temperatures.

## 2 Experimental Details

The samples of N, N-DMA (E Merck) was kept over a molecular sieve (4°Å) for 48 hr and then distilled through a long vertical fractionating column and the middle fraction was used. Benzene (BDH AnalaR) and dioxane (E Merck) were purified by refluxing over sodium metal for 6-8 hr and then distilling through a long vertical fractionating column. The middle fraction of both these solvents was used. Carbon tetrachloride (BDH AnalaR) was distilled through a long vertical fractionating column and the middle fraction was used for the present studies.

X-band microwave bench was used to measure the wavelength in the dielectric and voltage standing wave ratio (VSWR) using a short-circuiting plunger. A range of N, N-DMA concentrations was prepared in carbon tetrachloride, dioxane and benzene and the measurements were made at 25, 35, 45 and 55°C by circulating thermostated water around the dielectric cell. The temperature control of the thermostat (MLW-Baureihe U7°C, German make) was  $\pm 0.05^\circ\text{C}$ . The precision of the equipment was tested by measuring the

dipole moments of purified acetone, methanol and pyridine. The values of our measured dipole moment were found to be within  $\pm 2\%$  in agreement with the values known in literature<sup>5,6</sup>. The viscosity and density of carbon tetrachloride, dioxane and benzene at various temperatures were measured using a Ubbelohde viscometer and a pycnometer respectively.

## 3 Results and Discussion

The values of dielectric constant ( $\epsilon'$ ) and the dielectric loss ( $\epsilon''$ ) of the dilute solutions of N, N-DMA in carbon tetrachloride, dioxane and benzene presented in Table 1 were calculated following the method of Heston *et al.*<sup>7</sup> using the following equations:

$$\epsilon' = (\lambda/\lambda_c)^2 + (\lambda/\lambda_d)^2 \quad \dots (1)$$

$$\epsilon'' = (2/\pi)(\lambda/\lambda_d)^2 (\lambda_g/\lambda_d)(d\rho/dn) \quad \dots (2)$$

where  $\lambda$ ,  $\lambda_c$ ,  $\lambda_g$  and  $\lambda_d$  are the wavelength in the free-space, the cut-off wavelength, the waveguide wavelength and the wavelength in the dielectric-filled waveguide of the cell respectively. The term  $\rho$  represents the inverse of the standing-wave ratio. The accuracy of measurement of  $\epsilon'$  and  $\epsilon''$  was about 1% and 5% respectively.

The values of relaxation time ( $\tau$ ) and the dipole moment ( $\mu$ ) listed in Table 1 were determined by the single-frequency concentration variational method of Gopala Krishna<sup>8</sup> using the following relations:

$$X = \frac{\epsilon'(\epsilon' + 1) + \epsilon''^2 - 2}{(\epsilon' + 2)^2 + \epsilon''^2} \quad \dots (3)$$

$$Y = \frac{3\epsilon''}{(\epsilon' + 2)^2 + \epsilon''^2} \quad \dots (4)$$

$$\tau = \frac{\lambda}{2\pi C} \left( \frac{dY}{dX} \right) \quad \dots (5)$$



Table 1—Dielectric Constant ( $\epsilon'$ ), Dielectric Loss ( $\epsilon''$ ), Relaxation Time ( $\tau$ ) and Dipole Moment ( $\mu$ ) of N,N-DMA in Different Solutions at Different Temperatures

Temp °C	Conc. of N,N-DMA (Weight fraction)	$\epsilon'$	$\epsilon''$	$\tau \times 10^{12}$ s	$\mu$ Debye	Temp °C	Conc. of N,N-DMA (Weight fraction)	$\epsilon'$	$\epsilon''$	$\tau \times 10^{12}$ s	$\mu$ Debye
Solvent: Carbu n tetrachloride						Solvent : Dioxane					
25	0.0020	2.269	0.0307	6.77	3.65	45	0.0028	2.239	0.0294	7.48	3.69
	0.0044	2.338	0.0605				0.0046	2.69	0.0424		
	0.0073	2.414	0.0974				0.0070	2.300	0.0565		
	0.0091	2.465	0.1111				0.0091	2.333	0.0736		
35	0.0020	2.263	0.0295	6.63	3.67	55	0.0028	2.223	0.0275	6.69	3.69
	0.0044	2.325	0.0532				0.0046	2.256	0.0377		
	0.0073	2.392	0.0876				0.0070	2.286	0.0505		
	0.0091	2.443	0.1021				0.0091	2.319	0.0673		
45	0.0020	2.251	0.0251	6.13	3.67	Solvent: Benzene					
	0.0044	2.312	0.0506			25	0.0022	2.320	0.0166	6.53	3.37
	0.0073	2.374	0.0760				0.0064	2.377	0.0381		
	0.0091	2.427	0.0959				0.0083	2.401	0.0518		
55	0.0020	2.231	0.0236	5.63	3.67		0.0106	2.429	0.0637		
	0.0044	2.289	0.0442			35	0.0022	2.296	0.0152		
	0.0073	2.354	0.0703				0.0064	2.349	0.0361		
	0.0091	2.405	0.0826				0.0083	2.379	0.0451		
Solvent: Dioxane							0.0106	2.414	0.0594		
25	0.0028	2.263	0.0338	8.76	3.69	45	0.0022	2.274	0.0139	4.62	3.65
	0.0046	2.86	0.0516				0.0064	2.324	0.0299		
	0.0070	2.324	0.0687				0.0083	2.359	0.0387		
	0.0091	2.355	0.0886				0.0106	2.401	0.0494		
35	0.0028	2.249	0.0317	8.12	3.69	55	0.0022	2.258	0.0126	3.82	3.69
	0.0046	2.278	0.0459				0.0064	2.311	0.0252		
	0.0070	2.311	0.0636				0.0083	2.339	0.0354		
	0.0091	2.343	0.0787				0.0106	2.392	0.0456		

and

$$\mu^2 = \frac{9kT}{4\pi N} \cdot \frac{M}{d} \left\{ 1 + \left( \frac{dY}{dX} \right)^2 \right\} \left( \frac{dX}{dW} \right) \quad \dots (6)$$

where  $d$  is the density of the solvent and  $M$  and  $W$  are the molecular weight and the weight fraction of N, N-DMA in the solution respectively. All other symbols have their usual significance.

Energy parameters for the dielectric relaxation ( $\Delta F_e$ ,  $\Delta H_e$  and  $\Delta S_e$ ) of the N, N-DMA solutions in carbon tetrachloride, dioxane and benzene and the corresponding viscosity parameters for the viscous flow ( $\Delta F_\eta$ ,  $\Delta H_\eta$  and  $\Delta S_\eta$ ) of carbon tetrachloride, dioxane and benzene presented in Table 2 were calculated using the Eyring equations<sup>9</sup> for the rate process:

$$\tau = \frac{h}{kT} \exp \left( \frac{\Delta F_e}{RT} \right) \quad \dots (7)$$

$$\Delta F_e = \Delta H_e - T\Delta S_e \quad \dots (8)$$

$$\eta = \frac{hN}{V} \exp \left( \frac{\Delta F_\eta}{RT} \right) \quad \dots (9)$$

and

$$\Delta F_\eta = \Delta H_\eta - T\Delta S_\eta \quad \dots (10)$$

where  $V$  is the molar volume of the solvent and all other symbols have their usual meaning. As demanded by Eqs (7) and (9), the plots of  $\log(\tau T)$  versus  $1/T$  and  $\log(\eta)$  versus  $1/T$  were linear in each case, which showed the applicability of these equations to our relaxation time and the viscosity data.  $\Delta H_e$  and  $\Delta H_\eta$  were evaluated from the slopes of these linear plots.

The dipole moments of N, N-DMA in carbon tetrachloride, dioxane and benzene are close to the literature value of the unassociated N, N-DMA molecule<sup>10</sup>. This pleads for the monomer structure of



Table 2—Values of Relaxation Time ( $\tau$ ), Free-Energies ( $\Delta F_e$ ,  $\Delta F_\eta$ ), Enthalpies ( $\Delta H_e$ ,  $\Delta H_\eta$ ), Entropies ( $\Delta S_e$ ,  $\Delta S_\eta$ ),  $\alpha$  the Debye Factor ( $\tau T/\eta$ ) and the Kalman Factor ( $\tau T/\eta\alpha$ )

Table 2—Values of Relaxation Time ( $\tau$ ), Free-Energies ( $\Delta F_e$ , $\Delta F_\eta$ ), Enthalpies ( $\Delta H_e$ , $\Delta H_\eta$ ), Entropies ( $\Delta S_e$ , $\Delta S_\eta$ ), Factor ( $\tau T/\eta$ ) and the Kalman Factor ( $\tau T/\eta\alpha$ )										
Temp. °C	$\tau \times 10^{12}$ s	$\Delta F_e$	$\Delta F_\eta$	$\Delta H_e$	$\Delta H_\eta$	$\Delta S_e$	$\Delta S_\eta$	$\alpha = \frac{\Delta H_e}{\Delta H_\eta}$	$\frac{\tau T \times 10^8}{\eta}$	$\frac{\tau T \times 10^8}{\eta\alpha}$
N,N-DMA in carbon tetrachloride										
25	6.77	2.21	3.18			-3.6	-2.2	0.454	22.71	1.72
35	6.63	2.29	3.22	1.14	2.52	-3.7	-2.3		25.95	1.84
45	6.13	2.34	3.25			-3.8	-2.3		28.26	1.86
55	5.63	2.38	3.28			-3.8	-2.3		30.25	1.87
N,N-DMA in dioxane										
25	8.76	2.37	3.26			-3.6	-0.81	0.424	22.62	1.73
35	8.12	2.42	3.27	1.28	3.02	-3.7	-0.81		25.47	1.77
45	7.48	2.47	3.28			-3.7	-0.82		28.15	1.79
55	6.69	2.49	3.29			-3.7	-0.82		29.85	1.76
N,N-DMA in benzene										
25	6.53	2.19	2.91			0.30	-1.31	0.908	32.44	20.23
35	5.42	2.17	2.93	2.29	2.52	0.40	-1.33		32.08	19.77
45	4.62	2.16	2.95			0.40	-1.35		31.80	19.36
55	3.82	2.13	2.97			0.50	-1.37		30.59	18.41

[ $\Delta F_e$ ,  $\Delta F_\eta$ ,  $\Delta H_e$ ,  $\Delta H_\eta$  are in kcal/mol and  $\Delta S_e$ ,  $\Delta S_\eta$  are in cal/mol°C]

N, N-DMA in carbon tetrachloride, dioxane and benzene solution. Dipole moments of N, N-DMA in carbon tetrachloride and dioxane solution were found to remain constant with the temperature whereas the  $\mu$  value was lower and found to increase with rise in the temperature of benzene solution. This can be attributed to the solute-solvent association of N, N-DMA in benzene solution due to the interaction of  $\pi$ -delocalized electron cloud of the benzene ring with the fractional positive charge on the site of the nitrogen atom of the amide group of N, N-DMA molecule. This is shown in Fig. 1. The value of the dipole moment increases with temperature because of the breaking of the solute-solvent association with temperature and it approaches the value corresponding to that of the unassociated molecule.

The observed higher value of the dielectric relaxation time of N, N-DMA in dioxane than that in carbon tetrachloride and benzene solutions can be explained on the basis that the viscosity of dioxane is higher than those of carbon tetrachloride and benzene. Decrease of dielectric relaxation time with temperature for N, N-DMA in dioxane and carbon tetrachloride solutions can be explained on the basis of the Debye theory<sup>11</sup>. Appreciably higher rate of decrease of relaxation time with temperature was found for N, N-DMA in benzene than in carbon tetrachloride and dioxane solutions. This further supports solute-solvent type of molecular association already proposed for N, N-DMA in benzene solution. Higher rate of decrease of dielectric relaxation time with temperature is because of increased thermal molecular energy (Debye theory)<sup>11</sup> and breaking of solute-solvent type of

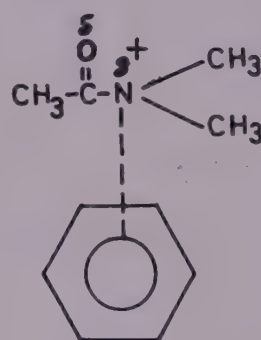


Fig. 1—Solute-solvent molecular association of N,N-DMA in benzene solution

molecular association for N, N-DMA in benzene solution.

In all the solvents, i.e. carbon tetrachloride, dioxane and benzene, the free-energy of activation ( $\Delta F_e$ ) for the dielectric relaxation of N, N-DMA is less than the free-energy of activation ( $\Delta F_\eta$ ) for the viscous flow. This difference in these two sets of parameters is because of the fact that the dielectric relaxation process involves the rotation of the molecules whereas viscous flow involves the rotational as well as translational motion of the molecules. The value of  $\alpha$  (ratio of enthalpy energy for dielectric relaxation process to enthalpy energy for viscous flow) is indicative of the solvent environment around the solute molecules. It is established that the molecules for which  $\alpha > 0.5$  do not behave as solid phase rotator and the molecules for which  $\alpha < 0.45$  behave as solid phase rotator. It is interesting to note that the value of  $\alpha$  for N, N-DMA in benzene solution (Table 2) is greater than 0.5 ( $\alpha = 0.908$ ), while for N, N-DMA in dioxane and in carbon tetrachloride solutions it is less than 0.5 (being 0.424 and 0.454 respectively). According to the above classification, N, N-DMA molecules in benzene



solution do not behave as solid phase rotator whereas they behave as solid phase rotator in dioxane and carbon tetrachloride solutions. This difference in behaviour of N, N-DMA molecules may be attributed to the proposed solute-solvent association of N, N-DMA molecules in benzene solution and to the involved solvent effect. From Table 2 it is found, that the Debye factor ( $\tau T/\eta$ ) varies appreciably with the variation in temperature whereas the Kalman factor ( $\tau T/\eta\alpha$ ) remains nearly constant with temperature. This supports the view that Kalman and Smyth equation<sup>12</sup> is a better representation of the relaxation mechanism. It may be suggested that  $\eta^\alpha$  is the measure of the internal resistance experienced by the molecular dipoles under the action of an applied field.

Entropy of the system is a measure of the orderly nature of the system. For a particular process, if the environment of the system is cooperative, the activated system becomes more stable than the normal system and the change in entropy is negative. In the same sense, if the environment of the system is obstructive to the process, the activated system becomes more unstable than the normal system and the environment of the system tends to bring the activated system back to the normal state. In this case, change in entropy for the process becomes positive. In the present study, change in entropy for the dielectric relaxation process is found to be negative for N, N-DMA in carbon tetrachloride and dioxane but positive for N, N-DMA in benzene solution. This may indicate the existence of cooperative orientations of the molecules resulting from the steric forces in carbon tetrachloride and

dioxane solutions whereas for N,N-DMA in benzene solution, because of proposed solute-solvent association, the existing solvent environment around N, N-DMA molecules gets modified and the change in entropy for the system becomes positive.

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## Magnetic Resonance Studies on Dichlorotribenzoxazole Copper(II) & Dichlorodibenzoxazole Copper(II)

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Proton magnetic resonance and electron spin resonance studies have been carried out on the title compounds to elucidate the molecular motion and coordination behaviour of these complexes. From the temperature dependence of the proton second moment ( $S_2$ ) of these complexes, the lattices are found to be rigid below 300 and 275 K in the case of  $\text{Cu}(\text{BO})_3\text{Cl}_2$  and  $\text{Cu}(\text{BO})_2\text{Cl}_2$  [BO = Benzoxazole] respectively. The observed low values of  $S_2$  at higher temperatures are ascribed to the general reorientation of these molecules whose activation energies have been calculated to be  $28.0 \text{ kJ mol}^{-1}$  and  $10.0 \text{ kJ mol}^{-1}$  for  $\text{Cu}(\text{BO})_3\text{Cl}_2$  and  $\text{Cu}(\text{BO})_2\text{Cl}_2$  respectively. The ESR studies in polycrystalline samples indicate that the unit cell of  $\text{Cu}(\text{BO})_2\text{Cl}_2$  contains magnetically equivalent molecules and copper(II) has nitrogen coordination which is tallying with the earlier conclusions based on IR studies. ESR studies in  $N,N'$ -dimethylformamide (DMF) solutions indicate that the solute-solvent interaction in the case of  $\text{Cu}(\text{BO})_2\text{Cl}_2$  is strong unlike in the case of  $\text{Cu}(\text{BO})_3\text{Cl}_2$ .

### 1 Introduction

Benzoxazole (BO) ligands are known for their coordinating ability with number of transition metal ions and hence form many interesting complexes. These complexes have been prepared and characterized by IR and other spectroscopic techniques<sup>1-3</sup>. On the basis of such techniques<sup>3</sup> it is found that the chromophore around copper(II) in  $\text{Cu}(\text{BO})_3\text{Cl}_2$  is trigonal pyramidal formed with the three nitrogens of the three benzoxazole molecules (Fig. 1) arranged in a plane with the two chlorine atoms arranged on either side of this plane. Similar studies<sup>1,2</sup> in the case of  $\text{Cu}(\text{BO})_2\text{Cl}_2$  have shown that the chromophore around copper(II) is a square planar formed with the two nitrogen atoms of the benzoxazole rings and two chlorine atoms. To the best of our knowledge, no magnetic resonance studies have been made so far on any one of the benzoxazole complexes of copper(II). These characteristic features of the structure prompted us to take up the present investigation to elucidate the molecular motion and coordination behaviour of these complexes.

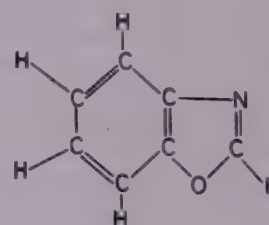


Fig. 1—Structural formula of benzoxazole

respectively were made using a laboratory made wide line NMR spectrometer at a fixed radio frequency of 12.0 MHz along with its variable-temperature assembly whose details are given in our earlier publication<sup>4</sup>. The errors in the second moment and temperature measurement are about  $\pm 1$  and  $\pm 2.5$  K respectively. The unit of the second moment is  $10^{-2} (\text{mT})^2$ .

The X and Q-band ESR spectra of these complexes in polycrystalline and solution ( $5 \times 10^{-4} \text{ M}$ ) forms were recorded using Varian E-4 X-band and E-112 Q-band spectrometers respectively. The magnetic field modulation frequencies were 100 kHz and 70 kHz in the case of X and Q-bands respectively. The ESR spectra of these complexes in polycrystalline form are recorded at room temperature in X and Q-bands whereas the spectra in  $N,N'$ -dimethylformamide (DMF) solution form were recorded at liquid nitrogen temperature in X-band. DPPH is used as a g-marker. The error in g and A (hyperfine separation) measurements are about  $\pm 0.001$  and 0.2 mT respectively.

### 2 Experimental Procedure

The two complexes under investigation were prepared using the literature methods<sup>1,2</sup>. The PMR studies at temperatures ranging from 77 to 370 K and 77 to 330 K on  $\text{Cu}(\text{BO})_3\text{Cl}_2$  and  $\text{Cu}(\text{BO})_2\text{Cl}_2$

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### 3 Results and Discussion

#### 3.1 Proton Magnetic Resonance Studies

The recorded first derivative PMR signals of  $\text{Cu}(\text{BO})_3\text{Cl}_2$  and  $\text{Cu}(\text{BO})_2\text{Cl}_2$  were used to calculate the values of the proton second moment ( $S_2$ ) by standard methods<sup>5</sup>. The variation of  $S_2$  of these two complexes with temperature is shown in Fig. 2.

From Fig. 2 it is evident that the  $S_2$  of  $\text{Cu}(\text{BO})_3\text{Cl}_2$  is constant at  $4.5 \pm 1$  in the temperature range 77–300 K and a rapid fall in  $S_2$  from the above value to  $1.5 \pm 1$  is noticed between 300 and 315 K. Thereafter,  $S_2$  is found to be fairly constant.

From Fig. 2 it can be seen that  $S_2$  of  $\text{Cu}(\text{BO})_2\text{Cl}_2$  is almost constant at  $6 \pm 1$  in the temperature range 77–275 K and a rapid fall in  $S_2$  from  $5.8 \pm 1$  to  $1.5 \pm 1$  is noticed in the temperature range 275–305 K. Thereafter,  $S_2$  is found to fall slowly to  $0.9 \pm 1$  in the temperature range 305–330 K.

In order to find the temperature up to which the lattices of the two complexes are rigid, the theoretical value of  $S_2(\text{rig})$  has to be compared with the experimental value of  $S_2$  at the low temperatures. But due to the absence of the crystal structure and the positional coordinates of the hydrogen atoms of these molecules, we could not calculate the theoretical second moment. Since there is no functional group in either complex to contribute more to the  $S_2$  value and also because the interatomic distance between the two

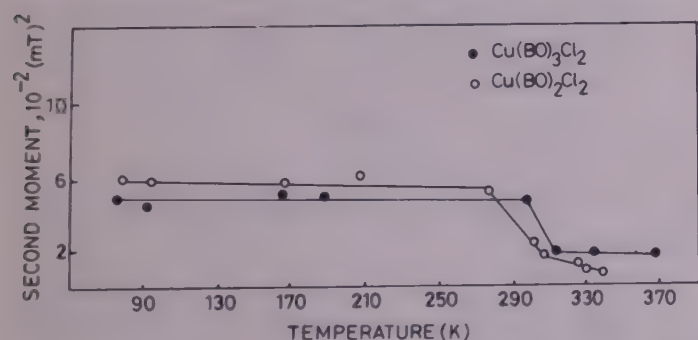


Fig. 2—Variation of proton second moment of  $\text{Cu}(\text{BO})_3\text{Cl}_2$  &  $\text{Cu}(\text{BO})_2\text{Cl}_2$  with temperature

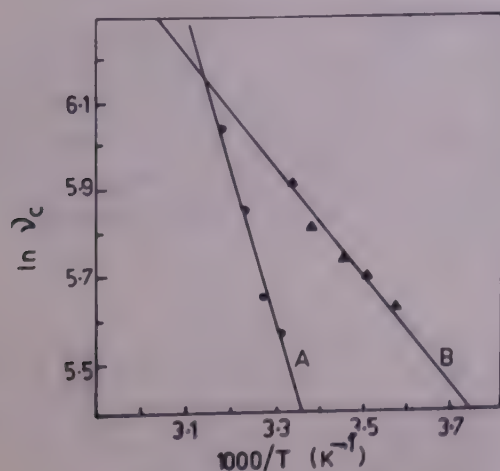


Fig. 3—Plot of  $\ln v_c$  vs  $1000/T$  for  $\text{Cu}(\text{BO})_3\text{Cl}_2$  (A) and  $\text{Cu}(\text{BO})_2\text{Cl}_2$  (B)

neighbouring hydrogen atoms itself will be<sup>6</sup> definitely more than  $2.5 \text{ \AA}$  in the benzene ring and the hydrogen atom of the oxazole ring is much more remote, the low values of  $S_2$  at 77 K are justified for a rigid lattice.

The second moment transitions at high temperatures in both these complexes are the result of some kind of molecular motion. Since the  $S_2$  values at higher temperature are very low ( $\sim 1.5 \pm 1$ ), this can be ascribed to the onset of general molecular reorientations<sup>6</sup>.

The correlation frequencies and activation energies associated with the above molecular motions are calculated from the experimental  $S_2$  values using the standard expression given below:

$$\ln v_c = \ln \frac{\nu M^2}{2} - \ln \tan \frac{\pi}{2} \left[ \frac{M - M_{HT}}{M_{LT} - M_{HT}} \right] \quad \dots (1)$$

The constants in the above expression have their usual meanings<sup>7-9</sup>. These reorientation frequencies are assumed to fit the Arrhenius' equation  $v_c = v_0 \exp(-E_v/RT)$ . A plot of  $\ln v_c$  against  $10^3/T$  yields a straight line (Fig. 3, curves A and B) from which the activation energies ( $E_v$ ) can be calculated. In the case of  $\text{Cu}(\text{BO})_3\text{Cl}_2$  it comes out to be  $28.6 \text{ kJ mol}^{-1}$  and  $10.0 \text{ kJ mol}^{-1}$  in the case of  $\text{Cu}(\text{BO})_2\text{Cl}_2$ . The error in the value of  $E_v$  is found to be  $\pm 1 \text{ kJ mol}^{-1}$ .

#### 3.2 Electron Spin Resonance Studies

From the X-band ESR spectra of these complexes in polycrystalline form (Fig. 4, curves A and B) it is evident that no useful information can be obtained. But the Q-band spectrum of  $\text{Cu}(\text{BO})_2\text{Cl}_2$  (Fig. 4, curve D) indicates rhombic symmetry. Since the value of  $G \left[ \frac{g_3 - 2}{\frac{1}{2}(g_2 - g_1) - 2} \right]$  is coming out to be 5.0; the principal

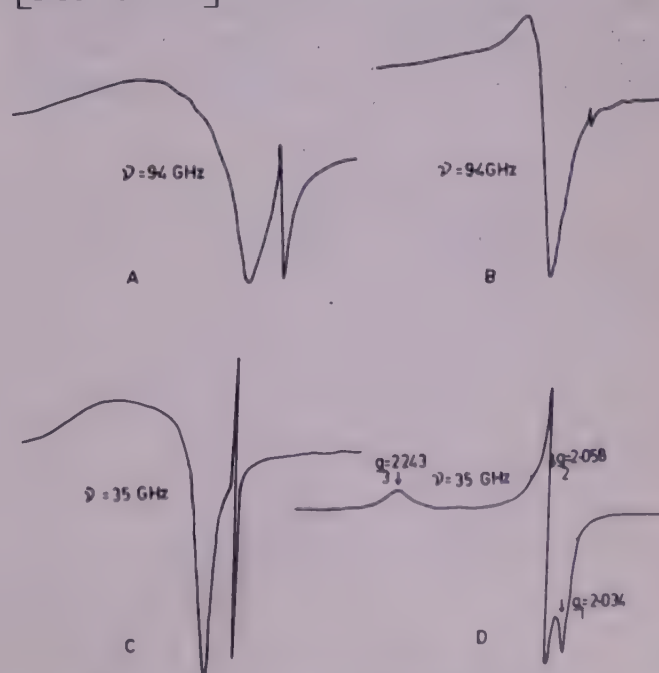


Fig. 4—ESR spectra in polycrystalline samples of  $\text{Cu}(\text{BO})_3\text{Cl}_2$  (A & C) and  $\text{Cu}(\text{BO})_2\text{Cl}_2$  (B & D)



$g$  values may correspond to the molecular  $g$  values<sup>10-13</sup> which indicates that the unit cell contains one or more than one magnetically equivalent ions. The  $g$  values also indicate nitrogen coordination which is in agreement with the conclusions of the IR studies<sup>3</sup>.

Since the information about the nature of the chromophore around copper(II) is not completely obtainable from ESR studies in polycrystalline form, ESR studies have been extended to DMF solutions at 300 and 77 K.

The frozen DMF solution of  $\text{Cu}(\text{BO})_2\text{Cl}_2$  is indicating the existence of two species (Fig. 5). The  $g$  and  $A$  values are calculated from these spectra, following methods reported in literature<sup>14</sup>. These values are given below:

$\text{Cu}(\text{BO})_3\text{Cl}_2$ :

$$\begin{aligned} g &= 2.133; & a &= 5.0 \text{ mT} \\ g_{\parallel} &= 2.252 & g_{\perp} &= 2.066 \\ A_{\parallel} &= -16.5 \text{ mT}; & A_{\perp} &= +0.75 \text{ mT} \end{aligned}$$

$\text{Cu}(\text{BO})_2\text{Cl}_2$ :

$$\begin{aligned} g &= 2.158; & a &= 5.5 \text{ mT} \\ g_{\parallel} &= \begin{cases} 2.379 \text{ (Species-I)} \\ 2.333 \text{ (Species-II)} \end{cases} \\ A_{\parallel} &= \begin{cases} -11.5 \text{ mT (Species-I)} \\ -12.0 \text{ mT (Species-II)} \end{cases} \\ g_{\perp} &= 2.077; & A_{\perp} &= 2.4 \text{ mT} \end{aligned}$$

The  $g$  and  $A$  values of the  $\text{Cu}(\text{BO})_2\text{Cl}_2$  in DMF are indicating oxygen coordination which is different from the conclusion drawn from IR studies. Therefore, similar to some isoxazole complexes<sup>15-17</sup> here also strong solute-solvent interaction may exist resulting in an oxygen coordination due to the attachment of DMF molecules in the coordination position of the complex. On the other hand, in the case of  $\text{Cu}(\text{BO})_3\text{Cl}_2$ , the  $g$  values are indicating nitrogen coordination of



Fig. 5 - ESR spectra of  $\text{Cu}(\text{BO})_3\text{Cl}_2$  (A) and  $\text{Cu}(\text{BO})_2\text{Cl}_2$  (B) in frozen DMF solution

this complex indicating the absence of strong solute-solvent interaction.

The  $g$  and  $A$  values and the shape of the frozen solution spectra of both the complexes are indicating that the unpaired electron is in  $d_{x^2-y^2}$  ground state and the metal-ligand bond is covalent. The ground state of the unpaired electron in the MO can be written as:

$$B_{1g} = \alpha|x^2 - y^2\rangle - \frac{1}{2}\alpha'(-\sigma_x^a + \sigma_y^b + \sigma_x^c - \sigma_y^d) \quad \dots (2)$$

The constants have their usual meanings<sup>18</sup>. Here, the ligand orbitals involved in the in-plane bonding are taken to be  $SP^2$  hybrid orbitals. The  $\sigma$ -bond parameter ( $\alpha^2$ ) and isotropic contact term ( $K$ ) can be calculated using the following equation which is derived from the equations of Maki and McGarvey<sup>18</sup> when the complex is square planar:

$$\alpha^2 = \frac{7}{4} \left[ \frac{|A_{\parallel}|}{P} - \frac{|a|}{P} + \frac{2}{3}g_{\parallel} - \frac{5}{21}g_{\perp} - \frac{6}{7} \right] \quad \dots (3)$$

$$\frac{K}{P} = \kappa = \frac{|a|}{P} + \Delta g = \alpha^2 \kappa_0 \quad \dots (4)$$

The constants have their usual meanings<sup>14</sup>. When the apical positions are also occupied by the ligand atoms, 4s admixture in the ground state arises due to the bonding of the apical ligand atoms with the copper(II). A detailed discussion about 4s mixing in the ground state of copper(II) is given in our earlier publication<sup>14</sup>. In the presence of 4s admixture, the expressions for  $\alpha^2 f^2$  and  $\alpha^2$  will be given as<sup>19</sup>:

$$\alpha^2 f^2 = \frac{7}{4} \left( \frac{|A_{\parallel}|}{P} - \frac{|a|}{P} + \frac{2}{3}g_{\parallel} - \frac{5}{21}g_{\perp} - \frac{6}{7} \right) \quad \dots (5)$$

$$\alpha^2 = \frac{|a|}{P\kappa_0} + \frac{\Delta g}{\kappa_0} + \frac{(1-f^2)0.0975}{P\kappa_0}$$

where  $f^2$  is the fraction of the 3d-character in copper 3d-4s ground state.

The values of  $\alpha^2$ ,  $\kappa$  and  $f^2$  in the case of  $\text{Cu}(\text{BO})_3\text{Cl}_2$  are coming out to be respectively 0.74, 0.32, 0.985. These values indicate moderately strong axial bonds.

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## Calculation of Skyshine Spectrum & Exposure due to a Cobalt-60 Gamma Source

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Multiply-scatter spectra of gamma rays from a point source of 1250 keV energy are calculated by Monte Carlo method in infinite air, air over ground and infinite ground media. The calculations are carried out for narrow vertical direction as well as for omni-direction for different distances (15-405 m) from the source, and for different source and detector heights (25-125 m) above ground. The spectra calculated with respect to number of scatterings in the media show that a maximum is obtained between 47 and 95 keV energy after 5 scatterings. The maximum of this energy-band is seen in all the calculated spectra. The media behave as if there is a preferential transmission band of this energy. The scattered flux beyond 45 m distance from the source meets all important requirements of diffusion theory and can be represented by diffusion equation. It is shown that with measurements carried out only in vertical direction, total exposure at different distances can be estimated.

### 1 Introduction

With the increase in use of nuclear energy, it is necessary to estimate the exposure on general public near a nuclear installation. Air-scattered gamma-rays (skyshine) play an important role in this exposure. A knowledge of skyshine spectrum under conditions of air over ground helps in estimating the exposure.

A gamma-photon loses its identity as to its primary energy, after a few Compton scatterings and the emergent or reflected spectrum from a thick medium has a peak in the low energy region which is not affected much by the energy of the primary source and the thickness of the medium<sup>1</sup>. The contribution of primary or near-primary radiation to total exposure dose reduces with increase in distance according to inverse square law and exponential attenuation in the medium but the multiply-scatter radiation persists in the medium at large distances also. Thus, at large distances, the low energy component of the multiply-scatter spectrum cannot be ignored for estimating the exposure on nearby public.

In the present study, the multiply-scatter spectra for a cobalt-60 source are calculated in air over ground medium down to 20 keV energy for different distances and heights of the source, and compared with measurements and calculations available in literature. The exposures due to scattered radiation in different configurations are also calculated.

The Monte Carlo method used here is a modified version of that developed by Nakamura and Hyodo<sup>2</sup>. The outline of the method is as follows. The trajectory of a photon undergoing multiple scattering is assumed to depend only on the probabilities of photoelectric

absorption, Compton scattering and pair production. Coherent scattering events are disregarded because the probabilities of these interactions are very small in the energy range considered in this calculation. Photon path lengths between successive interaction sites in the two-medium geometry (air-ground) are determined precisely according to the Monte-Carlo sampling technique. Cross-section data used here are taken from the work of Hubbell<sup>3</sup>. The densities of soil (assumed to be represented by SiO<sub>2</sub>) and air are taken to be uniform and equal to 1.6 and 0.001205 g/cm<sup>3</sup>, respectively. Each spectrum is calculated with 70,000 histories.

### 2 Results

A cobalt-60 point-source of strength 1 photon/s of energy 1250 keV is assumed to be kept in air and scattered spectra are calculated at different distances in the range 15-405 m at intervals of 15 m each. The calculations are carried out for 3 sets as given below:

- (1) source and detector both at ground level,
- (2) source and detector both raised to the same heights successively from 25 m to 125 m in steps of 25 m each, and
- (3) detector at ground level and the source raised from 25 to 125 m heights in the same steps of 25 m each.

Similar calculations are carried out for infinite air and infinite ground media. Calculations in the latter medium are carried out up to 45 cm distance in steps of 1.33 cm each.

Experimental measurements of air-scattered spectra in vertically downward direction showing a maximum between 50 and 90 keV energy have been reported elsewhere<sup>4</sup>. Miyasaka *et al.*<sup>5</sup> and Nason *et al.*<sup>6</sup> have also reported the maximum between these energies.



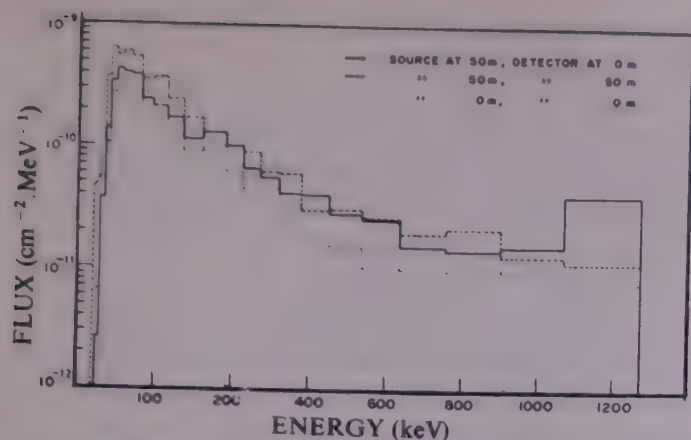


Fig. 1—Omni-directional spectra at 345 m distance for all the three configurations

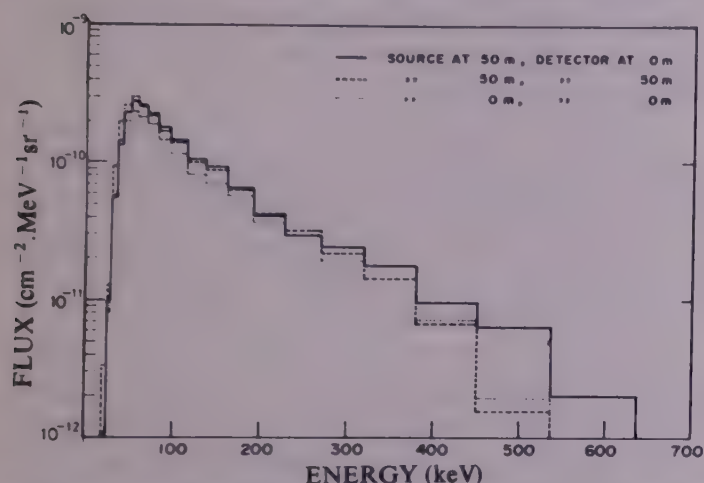


Fig. 2—Spectra in vertically downward direction at 165 m distance for all the three configurations

The present calculations show a maximum between 47 and 95 keV for infinite air and air-ground media. The calculations of the scattered spectra for each of the above mentioned sets, therefore, are carried out for vertically downward direction for comparison with experiment along with that for omni-direction. For both these directions, peak fluxes for energy between 47 and 95 keV, and their respective exposures at different distances are calculated along with exposures due to total scattered spectra from 20 keV to the primary energy. Typical omni-directional spectra for 345 m distance are shown in Fig. 1, and those in vertical direction at 165 m distance are shown in Fig. 2.

It has been reported earlier<sup>1</sup> that the low energy peak in aluminium medium starts building up after the fifth scattering. To understand after how many scatterings, the low energy peak is generated in infinite air, the spectra with number of scattering events are calculated at a plane parallel to the air-ground interface placed at the detector height, and are shown in Fig. 3. It is seen that the peak starts building up after the fifth scattering event in infinite air.

In the scattered spectrum of infinite ground medium, though higher flux is seen to occur between 47 and 95 keV energy, the peak is at somewhat higher energy

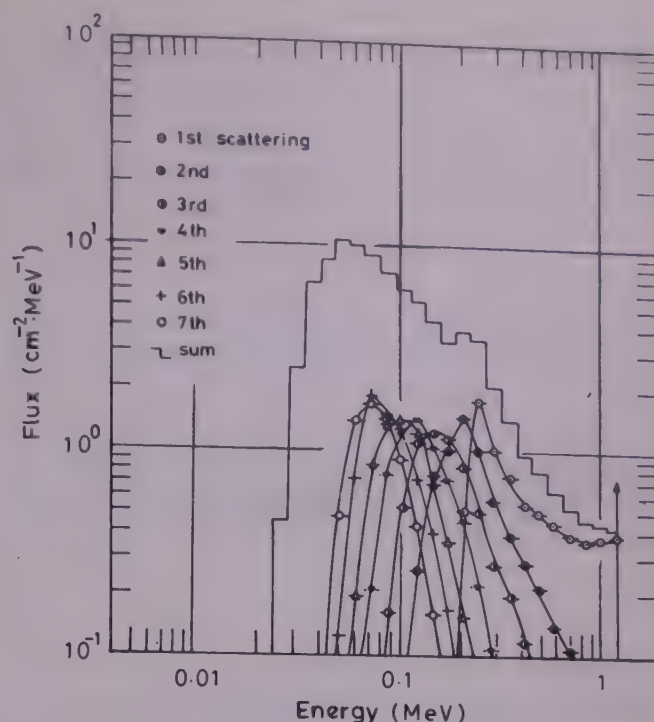


Fig. 3—Omni-directional spectra with number of scatterings in infinite air

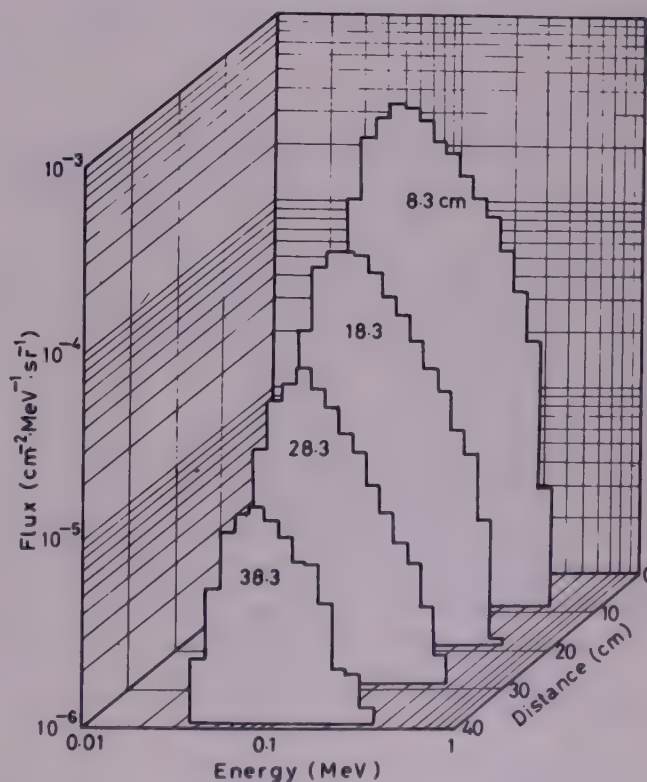


Fig. 4—Spectra in vertically downward direction in infinite ground at different distances

in comparison to that in infinite air between 67 and 80 keV (Fig. 4). For this medium too, the spectra with number of scattering events show that the peak starts building up after fifth scattering event in vertical as well as in omni-directional spectra. It may be concluded from the above that for the low energy peak to develop, a minimum number of five scatterings in the medium is required.



### 3 Discussion

It is observed that the scattered-energy spectra in all the three above mentioned sets of calculations are essentially of the same pattern having a maximum between 47 and 95 keV. In the following, some important observations are elucidated.

#### 3.1 The Scattered Flux

The variation of omni-directional flux with distance from the source is shown in Fig. 5 for air-ground medium with source and detector at ground level. Similar curves are obtained for all the sets of calculations. The shape of this curve suggests that the flux can be represented by a formula used for particle diffusion, i.e. the flux ( $\phi$ ) at a distance  $r$  is represented by:

$$\phi = \frac{S}{4\pi Dr} e^{-kr} \quad \dots (1)$$

where  $D$  is the diffusion constant,  $S$  the source term and  $k = \sqrt{\sum_a/D}$ ,  $\sum_a$  being macroscopic absorption cross-section, in this case of photons, the photo-electric cross-section. Nakamura and Hyodo<sup>2</sup> have also given a similar formula. The diffusion constant,  $D$  is given by:

$$D = \frac{1}{3[\sum_a + (1 - \cos \theta) \sum_s]} \quad \dots (2)$$

where  $\sum_s$  is Compton scattering cross-section without coherent scattering. As mentioned in the earlier paper<sup>1</sup>, the value of  $(1 - \cos \theta)$  is taken as 0.9. As the detector is assumed to be placed above ground, cross-sections are taken for infinite air medium. These values only in  $\text{SiO}_2$  medium are taken for infinite ground medium. With these assumptions, the variation of  $D$  and  $k$  with photon energy is calculated and plotted for all the three media, namely, infinite air, air-ground and infinite ground. The least square method is used to obtain the values of  $k$  and  $D/S$  for the calculated flux. The energy (say  $E_{tr}$ ) corresponding to the least-square calculated  $k$  is read from the curve of  $k$  vs  $E$ , and for this energy ( $E_{tr}$ ), the value of  $D$  is determined from the curve of  $D$  vs  $E$ .

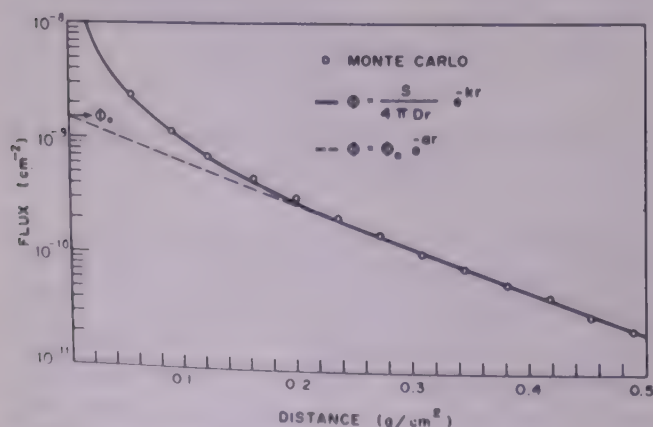


Fig. 5—Omni-directional flux with source and detector both at ground level at different distances

Thus, all the three terms  $k$ ,  $S$  and  $D$  are determined. These are shown in Table 1.

It is seen from Fig. 5 that the variation of flux with distance can be expressed fairly accurately by Eq. (1) except that at 15 m ( $0.018 \text{ g/cm}^2$ ) distance which is too close to the primary source. The variation of flux at individual distances is not more than 10%.

While it is not suggested at the outset that the photons diffuse in the same way as particles, it is interesting to note from Table 1 that the energy  $E_{tr}$  is generally about 90 keV for infinite air and about 130 keV for ground media. This energy is very near the multiply-scatter energy of  $70 \pm 20 \text{ keV}$  in infinite air reported elsewhere<sup>4</sup>, and can be assumed as diffusion energy of multiply-scatter photons with the value of  $S$  giving the effective source term of the scattered photons of this energy.

At primary energy of 1250 keV, the photo-electric cross-section for infinite air medium is negligible in comparison to corresponding Compton cross-section and at around 80 keV energy it is about 4%. This meets the requirement of the diffusion theory that the diffusing medium should be a poor absorber.

As seen from Fig. 5, the flux at 15 m ( $0.018 \text{ g/cm}^2$ ) distance cannot be expressed by Eq. (1) along with the flux at other distances. This shows that the diffusion approximation of scattered photons is not valid near the source. This meets another requirement of the diffusion theory.

The ratio of vertical flux and the omni-directional flux both integrated for energies between 47 and 95 keV only, for infinite air and ground media, and when both source and detector are 100 m or 125 m above ground level, is found to be very near  $1/4\pi$  for all the distances except at 15 m. This ratio for other configurations is more than  $1/4\pi$ , because a part of the omni-directional flux is absorbed by the ground. The vertical to omni-directional ratio of total scattered flux for other configurations is less than  $1/4\pi$  at all the distances. Moreover, as reported elsewhere<sup>4</sup>, the primary source in infinite air medium is surrounded by a halo comprising multiply-scatter photons of energy  $70 \pm 20 \text{ keV}$  moving in all possible directions, and extending deep into the medium. This shows that the multiply-scatter photons are isotropically distributed in air for distances of 45 m ( $0.054 \text{ g/cm}^2$ ) and beyond, meeting yet another requirement of diffusion theory.

The multiply-scatter photons which form a peak in the scattered spectrum, thus, meet all important requirements of the diffusion theory. Thus, the scattered flux can be represented by the diffusion equation with an effective photon energy of 90 keV in infinite air medium, and 130 keV in infinite ground medium, as if a mono-energy group of photons diffuses in the medium.



Table 1—Values of  $k$ ,  $E_{ir}$ ,  $D$ ,  $S$ ,  $\phi_0$  and  $a$  for Omni-Directional and Vertical Fluxes

Source height m		Detector height m	Total scattered flux						Flux scattered between 47 & 95 keV energy					
			$k$ cm <sup>2</sup> /g	$E_{ir}$ MeV	$D$ g/cm <sup>2</sup>	$S$	$\phi_0^*$ cm <sup>-2</sup>	$a$ cm <sup>-1</sup>	$k$ cm <sup>2</sup> /g	$E_{ir}$ MeV	$D$ g/cm <sup>2</sup>	$S$	$\phi_0^*$ cm <sup>-2</sup>	$a$ cm <sup>-1</sup>
Omni-directional flux														
Infinite air														
—	—	—	0.038	0.100	2.40	0.45	2.53E-9	0.9311	0.026	0.124	2.60	0.086	5.87E-10	0.8642
Air-ground														
125	0	—	0.033	0.108	2.45	0.26	2.01E-9	0.9711	0.027	0.121	2.60	0.055	3.81E-10	0.8739
100	0	—	0.041	0.095	2.35	0.35	2.57E-9	1.0424	0.034	0.106	2.45	0.067	5.19E-10	0.9906
75	0	—	0.051	0.083	2.30	0.48	2.56E-9	1.0507	0.042	0.094	2.40	0.084	5.91E-10	1.0388
50	0	—	0.059	0.076	2.25	0.63	2.71E-9	1.0715	0.046	0.088	2.30	0.096	5.61E-10	1.0360
25	0	—	0.066	0.070	2.20	0.74	2.69E-9	1.0869	0.050	0.084	2.30	0.098	4.90E-10	1.0100
0	0	—	0.055	0.079	2.25	0.35	1.48E-9	1.0215	0.052	0.082	2.30	0.090	4.67E-10	1.0574
25	25	—	0.052	0.082	2.30	0.46	2.06E-9	1.0043	0.043	0.092	2.35	0.093	4.43E-10	0.9278
50	50	—	0.047	0.088	2.30	0.47	2.48E-9	0.9932	0.035	0.104	2.45	0.093	6.60E-10	0.9695
75	75	—	0.043	0.093	2.35	0.46	2.69E-9	0.9818	0.030	0.114	2.50	0.090	6.21E-10	0.9100
100	100	—	0.040	0.097	2.40	0.46	2.74E-9	0.9669	0.025	0.127	2.60	0.084	5.73E-10	0.8522
125	125	—	0.039	0.098	2.40	0.46	2.64E-9	0.9474	0.024	0.130	2.60	0.083	5.71E-10	0.8511
Infinite-ground														
—	—	—	0.053	0.124	2.55	0.71	2.81E-3	11.290	0.042	0.139	2.65	0.081	5.90E-4	11.453
Vertical flux														
Infinite-air														
—	—	—	0.037	0.101	2.40	0.023	1.25E-10	0.9067	0.027	0.121	2.60	0.0070	4.63E-11	0.8757
Air-ground														
125	0	—	0.042	0.094	2.40	0.024	1.38E-10	0.9729	0.030	0.114	2.50	0.0064	4.68E-11	0.9333
100	0	—	0.045	0.090	2.35	0.027	1.48E-10	0.9949	0.034	0.106	2.45	0.0073	5.16E-11	0.9588
75	0	—	0.047	0.087	2.30	0.029	1.53E-10	1.0133	0.039	0.098	2.40	0.0085	6.14E-11	1.0237
50	0	—	0.052	0.082	2.30	0.031	1.45E-10	1.0074	0.043	0.092	2.40	0.0094	5.81E-11	1.0174
25	0	—	0.054	0.080	2.30	0.032	1.40E-10	1.0256	0.047	0.087	2.30	0.0097	5.35E-11	1.0240
0	0	—	0.054	0.080	2.30	0.027	1.13E-10	1.0083	0.049	0.085	2.30	0.0089	4.14E-11	0.9895
Measurement <sup>a</sup>														
12	0.55	—	—	—	—	—	—	—	—	—	—	—	8.12E-11	1.118
25	25	—	0.048	0.086	2.30	0.027	1.26E-10	0.9773	0.042	0.094	2.35	0.0088	5.27E-11	0.9969
50	50	—	0.043	0.092	2.35	0.025	1.29E-10	0.9465	0.035	0.105	2.45	0.0080	5.64E-11	0.9755
75	75	—	0.040	0.096	2.40	0.024	1.25E-10	0.9187	0.031	0.112	2.50	0.0075	5.13E-11	0.9237
100	100	—	0.038	0.100	2.40	0.024	1.28E-10	0.9171	0.029	0.116	2.55	0.0073	4.91E-11	0.8988
125	125	—	0.038	0.100	2.40	0.023	1.21E-10	0.9024	0.028	0.118	2.55	0.0071	4.79E-11	0.8921
Infinite-ground														
—	—	—	0.048	0.130	2.60	0.023	1.29E-4	11.500	0.043	0.137	2.65	0.0069	4.97E-5	11.559

<sup>a</sup> E ± n represents 10<sup>±n</sup>

<sup>a</sup> E ± n represents 10<sup>±n</sup>



Table 2—Comparison of Primary Flux in Air ( $\mu = 0.056 \text{ cm}^2/\text{g}$ )

Distance m	Theory* $\exp(-\mu r)/4\pi r^2$	Monte Carlo*
75	$8.5 \text{ E}-10$	$10.0 \text{ E}-10$
165	$9.6 \text{ E}-11$	$9.9 \text{ E}-11$
255	$2.2 \text{ E}-11$	$2.5 \text{ E}-11$
345	$6.5 \text{ E}-12$	$7.4 \text{ E}-12$

\*E  $\pm$  n represents  $10^{\pm n}$

As a measure of check for these calculations, in Table 2 the uncollided flux given by Monte Carlo method is compared with the theoretical flux ( $e^{-\mu r}/4\pi r^2$ ), for four distances. The difference is due to the fact that the primary energy of 1.25 MeV has been taken as the mean value of the energy-bin (0.2 MeV) in the present calculations. With change in primary energy, effective energy is different from the mean energy within a bin.

### 3.1.1 Comparison of flux with experimental data—

There is no direct measurement of omni-directional scattered flux available in literature. The comparison is, therefore, limited to scattered flux measured in vertically downward direction with energy-bins used in the present work. Moreover, comparison becomes somewhat difficult as most of the authors have ignored the assessment of low energy component of the scattered spectrum.

The skyshine spectrum of a 650 Ci cobalt-60 point source, measured at 155 m distance<sup>4</sup>, is reduced to approximate energy-bins used in the present work. The comparison is shown in Fig. 6. It is observed that there is fairly good agreement between the two.

This agreement is confirmed by comparing the variation of low energy peak flux with distance. As reported elsewhere<sup>4</sup>, the peak flux can be represented by  $\phi = \phi_0 \exp(-ar)$  for measurements carried out

between 150 m and 325 m distances in vertical direction where  $a$  has been defined as multiply-scatter coefficient of air and  $\phi_0$  as the flux of a virtual source of multiply-scatter radiation at the location of the primary source. As seen from Fig. 5, the calculated flux can also be represented by the above equation for distances beyond 150 m ( $0.18 \text{ g/cm}^2$ ). A least-square fit of the straight line portion of the curve shows that multiply-scatter coefficient, when both source and detector are at ground level, agrees to within 12% of the measured value (Table 1). When both source and detector are 125 m high, the value of  $a$  is almost the same as that for infinite air. The effect of ground-scattering becomes negligible at that height. Higher multiply-scatter coefficient for air-ground medium as compared to that for infinite air may be due to the absorption effect of ground at larger distances. The calculations in the ground medium are carried out only up to 45 cm depth under the assumption that the ground deeper than this does not contribute to skyshine spectrum.

### 3.1.2 Comparison of exposure rate with experiment—

As mentioned above, Miyasaka *et al.*<sup>5</sup> and Nason *et al.*<sup>6</sup> have carried out detailed measurements of exposure in horizontal direction due to a cobalt-60 source collimated in vertically upward direction. The calculations in vertical direction are carried out according to the configuration of above experiments, i.e. half-angle of source-collimation as

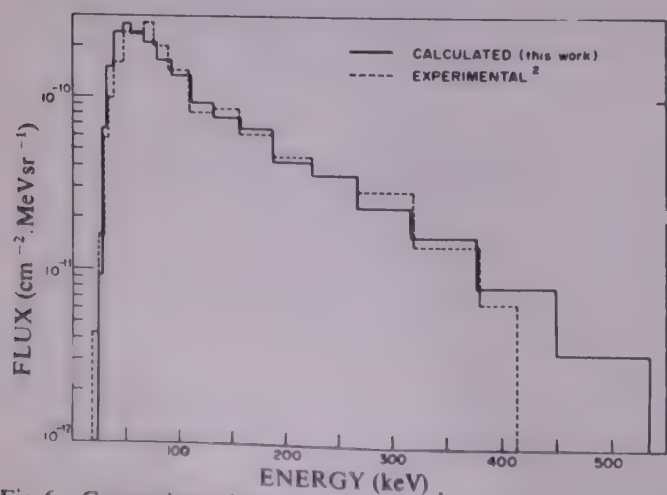


Fig. 6—Comparison of measured and calculated skyshine spectra in vertically downward direction at 155 m distance

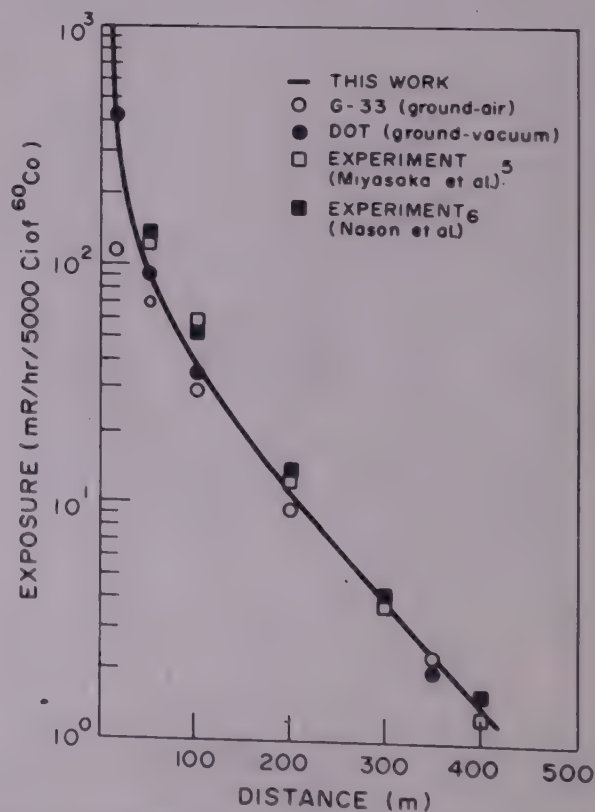


Fig. 7—Comparison of exposure rates (The calculations for G-33 and DOT are taken from Ref. 5)



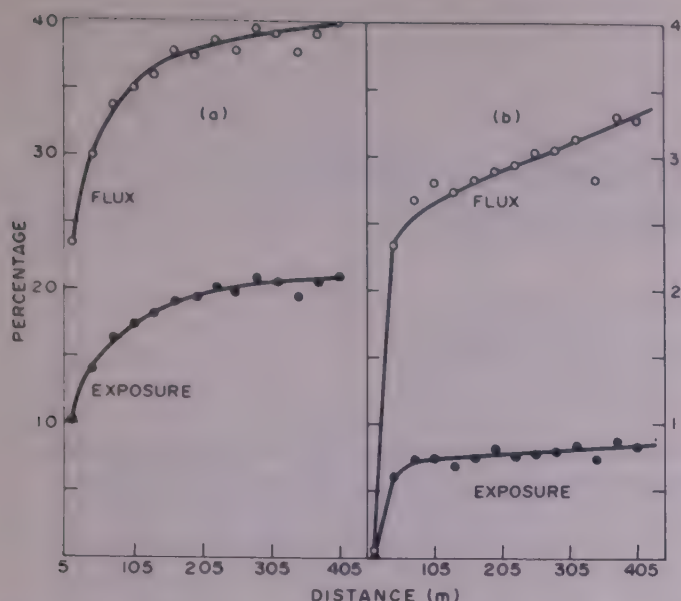


Fig. 8—Percentage contribution of multiply-scatter peak in vertical direction to total flux and exposure in (a) vertical, and (b) omni-direction, for source and detector at ground level

75°, source at 1.9 m height and detector 1 m high above the ground. The comparison of calculated exposure with the experiment is shown in Fig. 7. It is clear that the values calculated in this work are fairly close to the experimental values. This confirms the theory on which the present work is based.

### 3.2 Exposure at Large Distances

As the shape of the scattered spectrum in a particular configuration does not change with distance and in all the scattered spectra in air and ground a maximum is observed between 47 and 95 keV energy, it is concluded that the media behave as if there is a preferential band of transmission of the above energy, known as multiply-scatter energy. Moreover, as reported elsewhere<sup>7</sup>, the scattered spectra of all gamma-energies show a maximum in the above energy band in air. At larger distances from a source, say, a nuclear installation, the contribution to exposure of nearby general public from this band in the scattered gamma-rays becomes more and more important.

To facilitate the estimation of total flux and exposure from measurements of multiply-scatter part of the spectrum, their respective percentage contributions in vertical and omni-directions are

shown in Fig. 8, for source and detector at ground level. If a vertically collimated detector sensitive to low energy band between 47 keV and 95 keV is used for measurements, it will detect about 20 % of the exposure per steradian in vertical direction and 0.8 % of that in omni-direction for distances up to 400 m for which these calculations are carried out. The contribution shows a tendency to rise at larger distances.

### 4 Conclusions

The scattered flux of a gamma source in infinite air, ground or air-ground medium can be represented by the diffusion equation. The multiply-scatter peak portion of the spectrum meets all important requirements of the application of the diffusion theory. Effective photon energy of diffusion is found to be around 90 keV in air and 130 keV in ground medium. Effective source term is found to be less than 1 with its value depending upon detector collimation.

The scattered spectra in air and ground are found to have a maximum between 47 and 95 keV energy. It takes more than five scatterings in the medium for the original photon of 1250 keV to degrade to this energy band. Air and ground media behave as if they have a preferential transmission band of this energy.

This work simplifies estimation of exposure dose to general public near a large gamma-source, say, a nuclear installation. Using the results of this work, the exposure can be estimated with measurements carried out in vertical direction only. This work also helps in estimating the exposure on ground due to a radioactivity-bearing cloud.

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## Random Electrodynamics of Nonlinear Systems: Part II—The Hydrogen Atom

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The hydrogen atom, immersed in the zero-point fields of Random Electrodynamics (RED), is examined from the classical point of view. The system is considered in stages, on the basis of the two time scales set by the physical processes at work. The optimal stochastic linearization technique is then applied to the equation governing short-term motion under equilibrium. The orbital frequency is determined by the driving fields. Over longer time scales, the system evolves into a spherically symmetric state, with the ensemble averages of energy and absolute value of angular momentum remaining constant at their short-term values.

### 1 Introduction

In continuation of our study of the Random Electrodynamics (RED) of nonlinear systems initiated in an earlier paper<sup>1</sup>, we now discuss the physically interesting problem of the hydrogen atom. This basically nonlinear system in RED has continued to remain a frustrating challenge to workers in the field for a long time. Recent considerations by Claverie and Soto<sup>2</sup>, making use of a formidable-looking Fokker-Planck type of equation, but employing simple perturbation techniques on elliptic orbits to evaluate the drift and diffusion coefficients, lead to a self-ionizing solution for the atom at the absolute zero of temperature. If this conclusion were indeed correct, RED should be considered a dead horse.

In our earlier paper<sup>1</sup>, however, we underlined the fact that RED provides a meaningful classical covering theory for quantum phenomena, and the difficulties with regard to nonlinear systems are mathematical rather than physical. Indeed, we have substantiated this in the case of a slightly nonlinear oscillator for which we obtained results in essential agreement with quantum theory. This is achieved by taking a proper accounting of the nonlinear amplification of the effect of the driving fields on the natural periods of the system.

The main aim of this paper is to substantiate our theme in the case of the hydrogen atom also. We obtain a description of the ground state which, in a sense, bridges the gulf between the Bohr-orbit picture and the wavemechanical one, and provides a classical explanation of atomic stability.

An outline of the picture that emerges from our analysis is as follows: Suppose an ensemble of isolated hydrogen atoms were started off in the remote past with each atom having some negative value for its energy, i.e. with electrons in bound orbits. The emission of radiation by the (accelerated) charges causes a

reduction in the orbit sizes, eccentricities, energies and angular momenta. The zero-point fields (ZPF) that are assumed to act on the charges tend to cause an increase in the same quantities. The size, shape and orientation of the orbits keep changing with a 'time-constant' approaching  $10^{-9}$  sec as normal atomic dimensions and orbital periods ( $10^{-16}$  sec) are reached. The atoms reach equilibrium with radiation when the net rate of change in the energy, the square of the angular momentum and the orbit size becomes zero on an average. As there is no fixed equilibrium plane for the orbit, the wobbling of the orbital plane persists even after equilibrium is attained. This brings about spherical symmetry over long times. The orbital frequencies being of the order of  $10^{16}$  sec<sup>-1</sup> while the rms angular velocity of the normal to the plane is  $10^9$  radians/sec, an orbital plane can be considered as fixed during an intermediate time-scale. The scale of the ZPF (assumed to be determined by  $\hbar$ ) fixes the root mean square orbit radius at the Bohr value and also brings about, via the wobbling of the orbit, the spherical symmetry of the s-state of wave mechanics over longer time scales ( $> 10^{-9}$  sec).

The organization of the paper is as follows: We start with a review of the classical radiation-damped atom and a brief account of the ZPF. We then discuss the stochastic energy and angular momentum balance and the wobbling motion under equilibrium conditions. We proceed to determine the equilibrium orbit-size by the stochastic optimal linearization method which is further supported by a self-consistency argument. We conclude with a comparison of our RED description with those given by the Bohr model and wave mechanics.

### 2 The Atom in Classical Electrodynamics

Before taking up the study of the hydrogen atom immersed in the ZPF, we shall briefly recapitulate the



classical electrodynamical view<sup>3</sup> of it, because certain aspects of the damping fields have not received their due appreciation in the literature. An electron (charge  $e$ , mass  $m$ , and coordinate  $\mathbf{r}_1$ ) and a proton (charge  $e$ , and mass  $M$ , and coordinate  $\mathbf{r}_2$ ) satisfy, when they are assumed not to radiate, the Newtonian equations of motion

$$m\ddot{\mathbf{r}}_1 + e^2\mathbf{r}/r^3 = 0 \quad \dots (1)$$

$$M\ddot{\mathbf{r}}_2 - e^2\mathbf{r}/r^3 = 0 \quad \dots (1)$$

where  $\mathbf{r} = \mathbf{r}_1 - \mathbf{r}_2$ . The classical solution is in terms of the familiar Keplerian elliptic orbits confined to a plane normal to a constant angular momentum  $\mathbf{L}$  with energy  $W$ . The eccentricity is given by

$$\varepsilon^2 = 1 - 2L^2|W|/\mu e^4 \quad \dots (2)$$

where  $\mu$  is the reduced mass. The accelerated charges must radiate by the Larmor formula

$$-\frac{dW}{dt} = \frac{2}{3c^3}(e\ddot{\mathbf{r}}_1 + (-e\ddot{\mathbf{r}}_2)^2 \quad \dots (3)$$

where the wavelength of the radiation is very much greater than the separation between the charges. Now we postulate radiation reaction (RR) forces  $\mathbf{F}_1^R$  and  $\mathbf{F}_2^R$  acting on the electron and proton respectively so that the energy loss can be accounted for in terms of the work done by these forces: that is, we set

$$\frac{2e^2}{3c^3} \int_0^T (\ddot{\mathbf{r}}_1 - \ddot{\mathbf{r}}_2)^2 dt = - \int_0^T (\mathbf{F}_1^R \cdot \dot{\mathbf{r}}_1 + \mathbf{F}_2^R \cdot \dot{\mathbf{r}}_2) dt \quad \dots (4)$$

Integrating the left hand side by parts, we have

$$\begin{aligned} & \frac{2e^2}{3c^3} [(\dot{\mathbf{r}}_1 - \dot{\mathbf{r}}_2) \cdot (\ddot{\mathbf{r}}_1 - \ddot{\mathbf{r}}_2)]_0^T - \frac{2e^2}{3c^3} \int_0^T [(\ddot{\mathbf{r}}_1 - \ddot{\mathbf{r}}_2) \cdot (\dot{\mathbf{r}}_1 - \dot{\mathbf{r}}_2)] dt \\ &= - \int_0^T (\mathbf{F}_1^R \cdot \dot{\mathbf{r}}_1 + \mathbf{F}_2^R \cdot \dot{\mathbf{r}}_2) dt \quad \dots (5) \end{aligned}$$

If the motion is periodic or very nearly so, the first term on the left can be set equal to zero. We shall verify shortly that damping time constant is indeed several orders of magnitude larger than orbital periods in our problem. We can then identify from Eq. (5) that

$$\begin{aligned} \mathbf{F}_1^R &= \frac{2e^2}{3c^3}(\ddot{\mathbf{r}}_1 - \ddot{\mathbf{r}}_2) \\ \mathbf{F}_2^R &= -\frac{2e^2}{3c^3}(\ddot{\mathbf{r}}_1 - \ddot{\mathbf{r}}_2) \quad \dots (6) \end{aligned}$$

Now, by the incorporation of the reaction forces (6), Eq. (1) gets modified into

$$\left. \begin{aligned} m\ddot{\mathbf{r}}_1 - \frac{2e^2}{3c^3}(\ddot{\mathbf{r}}_1 - \ddot{\mathbf{r}}_2) + \frac{e^2\mathbf{r}}{r^3} &= 0 \\ M\ddot{\mathbf{r}}_2 + \frac{2e^2}{3c^3}(\ddot{\mathbf{r}}_1 - \ddot{\mathbf{r}}_2) - \frac{e^2\mathbf{r}}{r^3} &= 0 \end{aligned} \right\} \quad \dots (7)$$

Incidentally, it is interesting to note that the radiation reaction force couples the motion of the two particles in addition to other forces that might act between them. This radiative coupling by the reaction force and also by the ZPF produces a quantum-like correlation among identical particles in a classical manner. Recently, Sachidanandam<sup>4</sup> has made a preliminary study of this phenomenon and identified it with Bose attraction for spin-zero particles.

The equation of motion for the relative coordinate  $\mathbf{r}$  can be obtained from relations (7) as

$$\ddot{\mathbf{r}} - \tau\ddot{\mathbf{r}} + \frac{e^2\mathbf{r}}{\mu r^3} = 0 \quad \dots (8)$$

where  $\tau = 2e^2/3\mu c^3$  which is of the order of  $10^{-23}$  sec. In solving Eq. (8) the difficulties associated with the  $\ddot{\mathbf{r}}$  term in the equation are well known<sup>5,6</sup>. They can be circumvented if the value of  $|\tau\ddot{\mathbf{r}}|$  is orders of magnitude smaller than  $|e^2\mathbf{r}/\mu r^3|$  so that we can perturbatively replace the third derivative by a lower-order one. If the initial orbit is taken to be circular, for simplicity, we can readily verify that

$$\begin{aligned} |\tau\ddot{\mathbf{r}}|/|e^2\mathbf{r}/\mu r^3| &= \tau\Omega \\ &\simeq 10^{-7} \quad \dots (9) \end{aligned}$$

for a Bohr orbit.

If the motion is initially in a plane, it remains in the same plane in spite of the term  $\ddot{\mathbf{r}}$ .

We shall find presently that there is a wobbling motion of the orbital plane itself as a result of the ZPF and the time scale over which the wobbling occurs is much longer than orbital periods.

In the absence of these fields, Eq. (8) leads, when we treat  $\tau\ddot{\mathbf{r}}$  as a perturbation, to a constancy in the direction of angular momentum  $\mathbf{L}$  as its length shrinks by the orbit contraction due to radiation damping. We have, from Eq. (8),

$$\begin{aligned} \frac{d\mathbf{L}}{dt} &= \mu\mathbf{r} \times \ddot{\mathbf{r}} \\ &= \mu\tau\ddot{\mathbf{r}} \times \dot{\mathbf{r}} \quad \dots (10) \end{aligned}$$

On making use of the relation

$$\begin{aligned} \mathbf{r} \times \ddot{\mathbf{r}} &= \frac{d}{dt}(\mathbf{r} \times \dot{\mathbf{r}}) - \dot{\mathbf{r}} \times \dot{\mathbf{r}} \\ &\simeq -\dot{\mathbf{r}} \times (-e^2\mathbf{r}/\mu r^3) \quad \dots (11) \end{aligned}$$

where in the last step we have replaced  $\ddot{\mathbf{r}}$  by  $-e^2\mathbf{r}/\mu r^3$ , Eq. (10) now becomes

$$\frac{d\mathbf{L}}{dt} = -e^2\tau\mathbf{L}/\mu r^3 \quad \dots (12)$$

This shows that  $\mathbf{L}$  remains unchanged in direction from the initial condition in spite of the damping force. However, the shape of the orbit within its plane gets



drastically altered by radiation reaction. The rate of change of  $L$  averaged over a period gives

$$\begin{aligned}\frac{d\tilde{L}}{dt} &= -(e^2 L / \mu) \tilde{r}^{-3} \\ &= -\tau e^2 (\mu |W|^3)^{1/2} \quad \dots (13)\end{aligned}$$

where the time average ( $\sim$ ), over an elliptic orbit, is taken only for  $r^{-3}$  on the right hand side since  $L$  changes very little in one period. In addition, the energy  $W$  changes according to the Larmor formula at the rate

$$\begin{aligned}\frac{dW}{dt} &= -\frac{2e^2}{3c^3} \ddot{r}^2 \\ &= -\mu \tau (e^2 \mathbf{r} / r^3)^2 \\ &= -\mu \tau e^4 / r^4 \quad \dots (14)\end{aligned}$$

The mean rate of change of energy is thus given by

$$\frac{d|\tilde{W}|}{dt} = \frac{\tau e^6}{2L^5} (2\mu |\tilde{W}|)^{3/2} \left( 3 - \frac{2|\tilde{W}|L^2}{\mu e^4} \right) \quad \dots (15)$$

Combining Eq. (13) and Eq. (14), we get

$$\frac{d|\tilde{W}|}{dt} = -\frac{\mu e^4}{2L^3} \left( 3 - \frac{2|\tilde{W}|L^2}{\mu e^4} \right) \quad \dots (16)$$

which on integration gives

$$|\tilde{W}| = \frac{\mu e^4}{2L^2} \left( 1 - \frac{L^3}{L_0^3} \right) + \frac{|W_0|L}{L_0} \quad \dots (17)$$

where  $L_0$  and  $W_0$  are the initial values of  $L$  and  $W$  respectively.

Of significant interest for the development here is that Eq. (15) gives the limit of  $|\tilde{W}|L^2$  as  $\mu e^4/2$  when  $L \rightarrow 0$ . This means that the eccentricity  $\varepsilon \rightarrow 0$ , and the elliptic orbit not only shrinks in size but tends to become circular for small values of  $L$ . The final collapse of the orbit into a point is what led Bohr to postulate special nonradiating orbits to secure atomic stability.

### 3 ZPF as an Orbit-stabilizing Factor

The radiative collapse of a charged particle orbit can be arrested in the mean if there is a reservoir with which the system exchanges energy and comes to equilibrium. Since the radiation from the system escapes into the rest of the universe, the reservoir has to be of the same extent. Hence, we can imagine certain free radiation fields which satisfy the homogeneous Maxwell's equations to pervade the universe and play the role of reservoir. This is the basic idea of RED. The essential framework of this theory was outlined in our earlier paper<sup>1</sup>. The existence of a universal temperature-independent radiation called the zero-point fields (ZPF) is the only hypothesis added to the classical Maxwell-Lorentz electrodynamics. There exists a body of literature on the various arguments<sup>7-9</sup> in favour of

the ZPF-assumption and on the progress of work in the field of RED<sup>10-13</sup>. We shall simply postulate the existence of the ZPF and look for the verifiable consequence of the resulting theory. Some of these, not covered in the reviews cited<sup>10-13</sup>, have been dealt with in the report by Sachidanandam<sup>4</sup>.

The simplest assumption one can make on the ZPF is that each of its Fourier components has an independent random phase. The randomness assumption is similar to that on the bath degrees of freedom when considering the dynamics of a Brownian particle. The independence of the phases can be justified on the basis of the smallness of the cross-section for photon-photon scattering, or in other words, by the linearity of the Maxwell equations.

We thus take the electric part of the ZPF to be given by

$$\begin{aligned}E(\mathbf{r}, t) &= \frac{1}{2} \int d^3k \sum_{\lambda=1}^2 \mathbf{e}(\mathbf{k}, \lambda) \left( \frac{\hbar \omega}{2\pi^2} \right)^{1/2} \\ &\quad \times \{ a_{\mathbf{k}, \lambda} \exp(i(\mathbf{k} \cdot \mathbf{r} - \omega t)) + C.C \} \quad \dots (18)\end{aligned}$$

where  $a_{\mathbf{k}, \lambda} = \exp(i\theta_{\mathbf{k}, \lambda})$ , with  $\theta_{\mathbf{k}, \lambda}$  being a random variable uniformly distributed in the interval  $(0, 2\pi)$ , independently for each wavevector  $\mathbf{k}$  and polarization index  $\lambda$ . The usual orthogonality and closure properties hold for the polarization vectors  $\mathbf{e}(\mathbf{k}, \lambda)$ :

$$\begin{aligned}\mathbf{e}(\mathbf{k}, \lambda) \cdot \mathbf{e}(\mathbf{k}', \lambda') &= \delta_{\lambda \lambda'} \\ \mathbf{e}(\mathbf{k}, \lambda) \cdot \mathbf{k} &= 0 \quad \dots (19)\end{aligned}$$

$$\text{and } \sum_{\lambda=1}^2 e_i(\mathbf{k}, \lambda) e_j(\mathbf{k}, \lambda) = \delta_{ij} - k_i k_j / k^2$$

In view of the independence of the phases, we have the following averages over the phases:

$$\langle a_{\mathbf{k}, \lambda} a_{\mathbf{k}', \lambda}^\dagger \rangle = \delta_{\lambda \lambda'} \delta(\mathbf{k} - \mathbf{k}')$$

$$\langle a_{\mathbf{k}, \lambda} \rangle = \langle a_{\mathbf{k}, \lambda}^\dagger \rangle = 0$$

and

$$\langle a_{\mathbf{k}, \lambda} a_{\mathbf{k}', \lambda'} \rangle = \langle a_{\mathbf{k}, \lambda}^\dagger a_{\mathbf{k}', \lambda'}^\dagger \rangle = 0 \quad \dots (20)$$

where  $a_{\mathbf{k}, \lambda}^\dagger$  is the complex conjugate of  $a_{\mathbf{k}, \lambda}$ .

Making use of the above relations, one readily finds

$$\begin{aligned}\langle E_i(t) E_j(t') \rangle &= \delta_{ij} \frac{2\hbar}{3c^3} \int_0^\infty \omega^3 \cos \omega(t-t') d\omega \\ &= \delta_{ij} \frac{2\hbar}{3c^3} \int_{-\infty}^\infty |\omega^3| \cos \omega(t-t') d\omega \quad \dots (21)\end{aligned}$$

The spectrum of our noise source is thus quite non-white. This feature has its counterpart in our stochastic differential equations in the form of a damping term which is proportional not to the velocity but to the rate of change of acceleration. For practical calculations free of run-away solutions or preaccelerations and divergent energies, one has to modify, on some physical



grounds, both the damping term of radiation reaction and the divergent spectrum of the fluctuating fields.

An agreeable feature to be noted about the assumed form of the random fields is the close parallel it has to the quantum representation of the free Maxwell fields. The field with a definite number  $n_{\mathbf{k},\lambda}$  of photons (per unit volume) in the mode  $(\mathbf{k},\lambda)$  is described in quantum theory by a decomposition exactly as in Eq. (18) with the amplitude multiplied by a factor  $(2n_{\mathbf{k},\lambda} + 1)^{1/2}$  which reduces to unity when each  $n_{\mathbf{k},\lambda}$  is taken to be zero, i.e. the vacuum state (see Loudon<sup>14</sup> for a pictorial representation of such a state).

It is also worth noting that the quantum of action  $\hbar$  enters our picture only as a constant that fixes the scale of the ZPF, once the existence of the latter is assumed on the basis of any of the arguments of Refs 7-9. The uncertainty relations are derived from the dynamics of particles in interaction with the ZPF rather than from any assumed commutators or the uncontrollable interactions with the measuring apparatus.

#### 4 Combined Action of the Damping and the Fluctuating Fields

##### 4.1 Equation of Relative Motion

When we incorporate the forces of the ZPF on the radiation-damped atom, Eqs (7) get modified into

$$m\ddot{\mathbf{r}}_1 - \frac{2e^2}{3c^3}\dot{\mathbf{r}} + \frac{e^2\mathbf{r}}{r^3} = e\mathbf{E}^\circ(\mathbf{r}_1) \quad \dots (22)$$

$$M\ddot{\mathbf{r}}_2 + \frac{2e^2}{3c^3}\dot{\mathbf{r}} - \frac{e^2\mathbf{r}}{r^3} = -e\mathbf{E}^\circ(\mathbf{r}_2) \quad \dots (23)$$

so that the relative coordinate satisfies

$$\ddot{\mathbf{r}} - \tau\dot{\mathbf{r}} + \frac{e^2\mathbf{r}}{\mu r^3} = e\left(\frac{\mathbf{E}^\circ(\mathbf{r}_1)}{m} + \frac{\mathbf{E}^\circ(\mathbf{r}_2)}{M}\right) \quad \dots (24)$$

where  $\tau = 2e^2/3\mu c^3$  and  $\mu$  is the reduced mass. In the point-dipole approximation, the equation reduces to

$$\ddot{\mathbf{r}} - \tau\dot{\mathbf{r}} + \frac{e^2\mathbf{r}}{\mu r^3} = \frac{e}{\mu}\mathbf{E}^\circ(0) = \frac{e\mathbf{E}^\circ}{\mu} \quad (\text{say}) \quad \dots (25)$$

It is to be noted that the essential nonlinearity in the  $r^{-3}$  term is what prevents this oscillator from having a zeroth approximation (unlike in the case of the slightly anharmonic oscillator<sup>1</sup>) wherein there is an orbital frequency independent of the orbit size and hence of the driving fields. Here we want to stress that the orbital frequency involves the driving fields in a fundamental way, and accordingly, we shall not treat the driving fields as a perturbation on pre-existing Keplerian orbits.

##### 4.2 Stochastic Energy Balance between the Atom and the Radiation Fields

We shall now deduce the conditions under which the atom comes to equilibrium with radiation and acquires

a stationary ensemble average value for its energy. The scalar product of  $\mathbf{r}$  with Eq. (25) gives

$$\mu\dot{\mathbf{r}}\cdot\dot{\mathbf{r}} - \tau\mu\dot{\mathbf{r}}\cdot\dot{\mathbf{r}} + \frac{e^2\mathbf{r}\cdot\dot{\mathbf{r}}}{\mu r^3} = e\mathbf{E}^\circ\cdot\dot{\mathbf{r}} \quad \dots (26)$$

$$\text{i.e. } \frac{d}{dt}\left(\frac{1}{2}\mu\dot{\mathbf{r}}^2 - \frac{e^2}{r}\right) = (e\mathbf{E}^\circ + \tau\mu\dot{\mathbf{r}})\cdot\dot{\mathbf{r}} \quad \dots (27)$$

Taking expectation values on both sides, we get

$$\left\langle \frac{dW}{dt} \right\rangle = \langle \mathbf{F}^{\text{RED}}\cdot\dot{\mathbf{r}} \rangle \quad \dots (28)$$

where  $W$  is the energy of the atom and  $\mathbf{F}^{\text{RED}}$  is the sum of the forces of ZPF and radiation reaction as on the right side of Eq. (27). For equilibrium on average, we require

$$\left\langle \frac{dW}{dt} \right\rangle_0 = 0 \quad \dots (29)$$

which from Eq. (28) means that

$$\begin{aligned} \langle e\mathbf{E}^\circ\cdot\dot{\mathbf{r}} \rangle_0 &= -\tau\mu\langle \dot{\mathbf{r}}\cdot\dot{\mathbf{r}} \rangle_0 \\ &= \tau\mu\langle \dot{\mathbf{r}}^2 \rangle_0 \end{aligned} \quad \dots (30)$$

where the last line is identical to the Larmor formula for the rate of radiative energy loss from which we derived the reaction force term via Eq. (5). The subscript 0 on the expectation signs is meant to indicate the particular values of the relevant quantities obtaining at equilibrium. Let us assume, for the moment, that the equilibrium orbits are circular on an average with a root mean-square radius  $r_0$  and mean orbital frequency  $\Omega_0$ . We shall see below (Section 4.4) that this assumption can be justified on the basis of the existence of two widely differing time-scales in the problem and that  $r_0$  and  $\Omega_0$  can be chosen in a stochastically optimal manner (Section 5).

To check whether Eq. (30) is indeed satisfied under these assumptions, we proceed as follows: We treat the average circular orbit as that of an isotropic two-dimensional oscillator with frequency  $\Omega_0$  and write its equation<sup>3</sup> as

$$\begin{aligned} \ddot{x} - \tau\dot{x} + \Omega_0^2 x &= \frac{e}{\mu} E_x^0 \\ \ddot{y} - \tau\dot{y} + \Omega_0^2 y &= \frac{e}{\mu} E_y^0 \end{aligned} \quad \dots (31)$$

With  $E_x^0$  and  $E_y^0$  given by Eq. (18), the steady-state solution of the above can be written as

$$\begin{aligned} x &= \frac{e}{2\mu} \sum_{\lambda=1}^2 \int d^3k \left( \frac{\hbar\omega}{2\pi^2} \right)^{1/2} e_{\lambda}(\mathbf{k}) \\ &\times \left\{ \frac{a_{\mathbf{k},\lambda} \exp(-i\omega t)}{\Omega_0^2 - \omega^2 - i\tau\omega} + C.C \right\} \end{aligned} \quad \dots (32)$$



with a similar expression for  $y$  when  $e_x$  is replaced by  $e_y$  on the right side of Eq. (32). Making use of the expectation values defined by Eq. (20), we find

$$\langle x^2 \rangle_0 = \frac{e^2}{4\mu^2} \sum_{\lambda=1}^2 \int d^3k \left( \frac{\hbar\omega}{2\pi^2} \right) \times e_x^2(\mathbf{k}\lambda) \frac{2}{(\omega^2 - \Omega_0^2)^2 + \tau^2\omega^6} \quad \dots (33)$$

Using Eq. (19) for the sum over  $\lambda$ , integrating over the angles, and noting that  $\omega^2 = c^2 k^2$ , we get

$$\langle x^2 \rangle_0 = \frac{\hbar\tau}{\mu\pi} \int_0^\omega \frac{\omega^3 d\omega}{(\omega - \Omega_0)^2 + \tau^2\omega^6} \quad \dots (34)$$

where use has been made again of the notation  $\tau = 2e^2/3\mu c^3$ . The integration over  $\omega$  is effected by noting that the integral is sharply peaked at  $\omega = \Omega_0$  and hence we replace all  $\omega$  by  $\Omega_0$  except where  $\omega - \Omega_0$  occurs. Thus

$$\langle x^2 \rangle_0 = \hbar/2\mu\Omega_0 \quad \dots (35)$$

In the evaluation of  $\langle y^2 \rangle$  we get the same integral as in Eq. (34) and hence we have

$$\langle r^2 \rangle_0 = \hbar/\mu\Omega_0 \quad \dots (36)$$

Similarly, we get

$$\langle \dot{x}^2 \rangle_0 = \langle \dot{y}^2 \rangle_0 = \hbar\omega_0/2\mu \quad \dots (37)$$

provided we eliminate the logarithmic divergence in the ultraviolet by a suitable cut-off (such as the pair-creation frequency) which incidentally provides a radiation correction to the right side of Eq. (37).

We can now compute the left side of Eq. (30) by noting that Eq. (32) implies

$$r_i = \frac{e}{2\mu} \int d^3k \left( \frac{\hbar\omega}{2\pi^2} \right)^{1/2} \sum_{\lambda=1}^2 e_i(\mathbf{k}\lambda) \times \left\{ \frac{-i\omega a_{\mathbf{k}\lambda} \exp(-i\omega t)}{\Omega_0^2 - \omega^2 - i\tau\omega^3} + C.C \right\} \quad \dots (38)$$

where  $r_i$  stands for  $x$  and  $y$ . The rate at which the ZPF performs work on the atom is hence

$$\left\langle \frac{dW}{dt} \right\rangle_{\text{ZPF}} = \langle e\mathbf{E} \cdot \dot{\mathbf{r}} \rangle_0 = \hbar\tau\Omega_0^5 \quad \dots (39)$$

when Eq. (38) for  $\dot{\mathbf{r}}$  and Eq. (18) for  $\mathbf{E}^0$  are used, and the same steps as in obtaining Eqs (34)-(37) are followed. Similarly, the mean rate of change of the energy of the atom by emission of radiation is obtained by squaring the time derivative of Eq. (38) and taking the expectation values to give

$$\begin{aligned} \left\langle \frac{dW}{dt} \right\rangle_{\text{damping}} &= -\tau\mu \langle \ddot{\mathbf{r}}^2 \rangle \\ &= -\tau\mu\Omega_0^4 \frac{\hbar}{\mu\Omega_0} \\ &= -\tau\hbar\Omega_0^3 \end{aligned} \quad \dots (40)$$

Eq. (30) is thus verified and we have a zero net exchange of energy in the mean between the atom and the radiation. The mean energy of the atom in equilibrium (at the zero of temperature) is thus given by

$$\begin{aligned} \langle W \rangle_0 &= \left\langle \frac{1}{2}\mu\dot{\mathbf{r}}^2 - \frac{e^2}{r} \right\rangle \\ &= \frac{1}{2}\mu\Omega_0^2 r_0^2 - \frac{e^2}{r_0} = -e^2/2r_0 \end{aligned} \quad \dots (41)$$

if we evaluate  $\langle \dot{\mathbf{r}}^2 \rangle$  by the same procedure and assume  $\langle r^{-1} \rangle_0 = \langle r^2 \rangle_0^{-1/2}$ .

#### 4.3 Mean-Square Value of the Angular Momentum in the Stationary State

The equation for the rate of change of angular momentum [Eq. (12)] gets modified in the presence of ZPF into

$$\begin{aligned} \mathbf{L} &= -\frac{\tau e^2}{\mu r^3} \mathbf{L} + e\mathbf{r} \times \mathbf{E}^0 \\ &= \mathbf{T}^R + \mathbf{T}^0 \end{aligned} \quad \dots (42)$$

where  $\mathbf{T}^R$  is the torque due to radiation reaction, and  $\mathbf{T}^0$  that on the dipole  $e\mathbf{r}$  exerted by  $\mathbf{E}^0$ . On taking the inner product with  $\mathbf{L}$ , Eq. (42) yields

$$\frac{dL^2}{dt} = -2\tau\Omega L^2 + 2\mathbf{L} \cdot \mathbf{T}^0 \quad \dots (43)$$

If  $\langle \mathbf{L}^2 \rangle$  has to remain constant at equilibrium we must have

$$\langle \mathbf{L}^2 \rangle_0 = \langle \mathbf{L} \cdot \mathbf{T}^0 \rangle_0 / \tau\Omega_0^2 \quad \dots (44)$$

Now  $\langle \mathbf{L} \cdot \mathbf{T}^0 \rangle$  can be written out in detail as

$$\begin{aligned} \langle \mathbf{L} \cdot \mathbf{T}^0 \rangle_0 &= \langle e\mathbf{E}^0 \cdot \mathbf{L} \times \mathbf{r} \rangle_0 \\ &= e\mu \{ \langle E_x^0 \dot{x}y^2 + E_y^0 \dot{y}x^2 \rangle_0 \\ &\quad - \langle x\dot{x}E_y^0 y - y\dot{y}E_x^0 x \rangle_0 \} \end{aligned} \quad \dots (45)$$

for motion confined in the  $xy$ -plane. It can be seen that the second expectation bracket above vanishes identically and the remaining one gives

$$\begin{aligned} \langle \mathbf{L} \cdot \mathbf{T}^0 \rangle_0 &= e\mu \{ \langle E_x^0 \dot{x} \rangle_0 \langle y^2 \rangle_0 + \langle E_y^0 \dot{y} \rangle_0 \langle x^2 \rangle_0 \} \\ &= \tau\Omega_0^2 \hbar/2 \end{aligned} \quad \dots (46)$$

upon using the values deduced earlier [Eqs (35) and (39)]. Putting this in Eq. (44) yields

$$\begin{aligned} \langle L^2 \rangle_0 &= \hbar^2/2 \\ \text{As a check on the algebra above, we can directly} \\ \text{compute the equilibrium value as} \\ \langle L^2 \rangle_0 &= \langle L_z^2 \rangle_0 \\ &= \mu^2 \langle (x\dot{y} - y\dot{x})^2 \rangle_0 \\ &= \mu^2 \{ \langle x^2 \rangle_0 \langle \dot{y}^2 \rangle_0 + \langle y^2 \rangle_0 \langle \dot{x}^2 \rangle_0 \} \\ &= \hbar^2/2 \end{aligned} \quad \dots (48)$$



even though

$$\langle L_z \rangle_0 = \mu \langle (x\dot{y} - y\dot{x}) \rangle_0 = 0 \quad \dots (49)$$

#### 4.4 Randomization of the Orbital Plane

We have been considering the atom in equilibrium with radiation as an essentially two-dimensional system. Classical Kepler motion is of course strictly two-dimensional. In quantum mechanics, the Kepler problem is irreducibly three-dimensional, because all the three components of  $\mathbf{L}$  cannot be specified simultaneously, or equivalently, the uncertainty principle makes  $\Delta p_z \rightarrow \infty$  if one takes  $\Delta z \rightarrow 0$ . The same is true in RED also, because the ZPF are isotropic, and the third component of field excites the degree of freedom normal to an initial orbital plane.

Now we investigate the nature of the motion of the orbital plane. The rate of change of the unit vector normal to the instantaneous plane of the orbit is given by

$$\begin{aligned} \frac{d}{dt} \left( \frac{\mathbf{L}}{L} \right) &= \mathbf{L} \times (\dot{\mathbf{L}} \times \mathbf{L}) / L^3 \\ &= \mathbf{L} \times (\mathbf{T}^0 \times \mathbf{L}) / L^3 \end{aligned} \quad \dots (50)$$

where the last line follows from Eq. (42). Now,

$$\begin{aligned} \mathbf{T}^0 \times \mathbf{L} &= (e\mathbf{r} \times \mathbf{E}^0) \times \mathbf{L} \\ &= -(\mathbf{L} \cdot e\mathbf{E}^0)\mathbf{r} \end{aligned} \quad \dots (51)$$

since  $\mathbf{L} \cdot \mathbf{r}$  is zero by definition. Thus, we have,

$$\begin{aligned} \left\langle \left\{ \frac{d}{dt} \left( \frac{\mathbf{L}}{L} \right) \right\}^2 \right\rangle_0 &= \langle (\mathbf{L} \times \mathbf{T}^0)^2 / L^4 \rangle_0 \\ &= e^2 \langle E_z^{02} / L^2 \rangle_0 \end{aligned} \quad \dots (52)$$

This indicates that an arbitrary initial orbit is subject not only to changes in size and shape within a plane but to a wobbling motion of the orbital plane itself. After the system attains equilibrium values of energy and angular momentum, the changes within the orbital plane are zero on the average but the wobbling of the plane persists. The equilibrium mean-square rate of change of  $\mathbf{L}/L$  given by Eq. (52) can be evaluated approximately as follows:

$\langle E_z^{02} \rangle$  is the expectation value of one third of the energy density in the modes of the ZPF. This is actually infinite if the entire spectrum is taken into account. However, we restrict our consideration to the energy density in the spectral range  $\Omega_0 - \Delta\Omega/2$  to  $\Omega_0 + \Delta\Omega/2$  where  $\Delta\Omega = \tau\Omega_0^2$  is the natural line-width of the atomic oscillator of centre frequency  $\Omega_0$ . That is, the integral in Eq. (21), evaluated between these limits is as follows:

$$\begin{aligned} \langle E_z^{02} \rangle &\simeq \frac{\hbar}{3\pi c^3} \int_{\Omega_0 - \Delta\Omega/2}^{\Omega_0 + \Delta\Omega/2} \omega^3 d\omega \\ &\simeq \frac{\hbar\tau}{3\pi c^3} \Omega_0^5 \end{aligned} \quad \dots (53)$$

On using the relations

$$\langle r^2 \rangle_0 = \frac{\hbar}{\mu\Omega_0} \text{ and } \langle L^2 \rangle_0 = \hbar^2/2$$

deduced earlier, Eq. (52) and Eq. (53) give

$$\left\langle \left\{ \frac{d}{dt} \left( \frac{\mathbf{L}}{L} \right) \right\}^2 \right\rangle \simeq \frac{2}{\pi} \tau^2 \Omega_0^4 \quad \dots (54)$$

The root mean-square angular velocity of the vector  $\mathbf{L}$  is hence of the order of  $\tau\Omega_0^2$  radian/sec ( $10^9 \text{sec}^{-1}$ ).

The in-plane components of the ZPF go into the determination of equilibrium orbit size and frequency in a fundamental way as we shall see below. The component normal to the plane keeps shuffling the latter and brings about spherical symmetry over large time scales ( $> 10^{-9} \text{sec}$ ) without affecting the fundamental periodicity of the orbital motion. The mean energy remains constant even as the wobbling takes place, once equilibrium with radiation is established.

### 5 Equilibrium Planar Motion over Time Intervals in the Range $\Omega_0^{-1} \leq \Delta t \ll (\tau\Omega_0^2)^{-1}$

#### 5.1 Optimal Linearization

The orbital periods in the atom are of the order of  $\Omega_0^{-1}$  ( $10^{-16} \text{sec}$ ). The unit vector normal to the plane moves on an average through  $\tau\Omega_0 = 10^{-7}$  radian in this period. Thus about  $10^5$  cycles of orbital oscillations take place without any appreciable wobbling of the orbital plane. The zero-point fields help maintain this 'steady state' motion. Because of the essential nonlinearity of Eq. (25), we determine the orbital frequency by applying the optimal stochastic linearization method. Calling the orbital plane in the intermediate time scale the  $xy$ -plane, which may actually be a different plane for each member of an ensemble started off in a remote past with planar orbits, we replace the equation

$$\ddot{\mathbf{r}}_i - \tau \ddot{\mathbf{r}}_i + \frac{e^2 \mathbf{r}_i}{\mu r^3} = \frac{e}{\mu} \mathbf{E}_i^0 \quad \dots (55)$$

by

$$\ddot{\mathbf{r}}_i - \tau \ddot{\mathbf{r}}_i + \Omega^2 \mathbf{r}_i = \frac{e}{\mu} \mathbf{E}_i^0 \quad \dots (56)$$

where  $r_i$  stands for  $x$  and  $y$  and  $\mathbf{r}$  is the radius vector in the  $xy$ -plane. We compute the expectation values of functions of  $r$  from the solution of Eq. (56) in terms of the parameter  $\Omega$  and then determine its value such that

$$f(\Omega) = \langle (\Omega^2 \mathbf{r} - e^2 \mathbf{r} / \mu r^3)^2 \rangle \quad \dots (57)$$

is a minimum. In evaluating the expression on the right side of Eq. (57), we assume that we can set  $\langle r^{-n} \rangle = \langle r^2 \rangle^{-n/2}$ . This assumption cannot be justified



mathematically but is satisfactory physically, because it leads to the absolute minimum value of  $f(\Omega)$ . We have

$$\begin{aligned} f(\Omega) &= \langle r^2 \Omega^4 + e^4 / \mu r^4 - 2 \Omega^2 e^2 / \mu r \rangle \\ &= \frac{\hbar}{\mu} \Omega^3 + e^4 \Omega^2 / \hbar^2 - \frac{2 \Omega^2 e^2}{\mu} \left( \frac{\mu \Omega}{\hbar} \right)^{1/2} \\ &= (\Omega^{1/2} - \Omega_0^{1/2})^2 \hbar \Omega^2 / \mu \end{aligned} \quad \dots (58)$$

where we have written  $\mu e^4 / \hbar^3 = \Omega_0$ . Now

$$\frac{\mu}{\hbar} \frac{df}{d\Omega} = 2 \Omega (\Omega^{1/2} - \Omega_0^{1/2})^2 + \Omega^{3/2} (\Omega^{1/2} - \Omega_0^{1/2}) \quad \dots (59)$$

To find the turning points of  $f(\Omega)$  we set the above equal to zero. The roots of the equation so obtained are  $\Omega = 0$ ,  $\Omega = \Omega_0$  and  $\Omega = \frac{4}{9} \Omega_0$ . Checking the sign of  $(d^2 f / d\Omega^2)$ , it is verified that only the first two roots correspond to the minima of  $f(\Omega)$ , which, incidentally, give the absolute minimum of the function, i.e. zero. Of these two roots,  $\Omega = 0$  corresponds to  $\langle r^2 \rangle^{1/2} \rightarrow \infty$  when the motion is hardly periodic and our equation of motion itself ceases to be valid. Hence, in this context, the only physically meaningful solution is  $\Omega = \Omega_0$ . This implies that on attainment of equilibrium, we obtain the 'Bohr orbit', with root mean-square radius

$$\begin{aligned} r_0 &= \langle r^2 \rangle_0^{1/2} \\ &= \hbar^2 / \mu e^2 \end{aligned} \quad \dots (60)$$

in the same plane, if we look at the system for times larger than  $10^{-16}$  sec but much smaller than  $10^{-9}$  sec.

## 5.2 A Self-consistency Argument

In the minimization procedure above, we had set  $\langle r^{-n} \rangle = \langle r^2 \rangle^{-n/2}$ . This cannot be justified within the preceding framework for the following reason: The moments generated by our solution [Eq. (32)] can be shown<sup>1</sup> to satisfy

$$\langle x^{2n} \rangle = \langle y^{2n} \rangle = \frac{(2n)!}{n! 2^n} \langle x^2 \rangle^n \quad \dots (61)$$

which leads to

$$\begin{aligned} \langle r^{2n} \rangle &= \langle (x^2 + y^2)^n \rangle \\ &= n! \langle r^2 \rangle^n \end{aligned} \quad \dots (62)$$

The density function determined by these moments gives actually a divergent  $\langle r^{-4} \rangle$ . In view of this, it is desirable to have an alternative justification for the result given by Eq. (60). This is provided by a self-consistency argument. We first assume that in Eq. (56),  $e^2 / \mu r^3$  is replaced by

$$\Omega_0^2 = \frac{e^2}{\mu r_0^3} \quad \dots (63)$$

where  $r_0 = \langle r^2 \rangle^{1/2}$  is to be calculated from Eq. (56) in terms of  $\Omega_0$ . The calculated value, as we have seen, is

given by Eq. (36) from which we have

$$\Omega_0^2 = \frac{\hbar^2}{\mu^2 r_0^4} \quad \dots (64)$$

If we demand that Eq. (63) be consistent with Eq. (64), then there results

$$r_0 = \frac{\hbar^2}{\mu e^2} \text{ and } \Omega_0 = \frac{e^2}{r_0 \hbar} \quad \dots (65)$$

## 5.3 On the Evolution to Equilibrium

In confining our analysis to the equilibrium situation, we have neglected to address the important question of the approach to equilibrium. Ideally, if an equation of the Fokker-Planck type could be set up and solved, the resulting time-dependent density would solve the problem completely. In view of the difficulties in that approach<sup>2</sup>, we had bypassed the issue and proceeded to the equilibrium situation straight away. Still, we can qualitatively understand the approach to equilibrium by noting that in each short time interval  $\Delta t$  such that  $10^{-16} \text{ sec} < \Delta t \ll 10^{-9} \text{ sec}$ , we can regard the motion to be in a plane. Assuming, again for simplicity, circular orbits of instantaneous radius  $r$ , we have

$$\left\langle \frac{dW}{dt} \right\rangle_{\text{damping}} = -\frac{\tau e^4}{\mu r^4} \quad \dots (66)$$

and

$$\begin{aligned} \left\langle \frac{dW}{dt} \right\rangle_{\text{ZPF}} &= \hbar \tau \Omega^3 \\ &= \frac{\tau e^4}{\mu r^4} \left( \frac{a_0}{r} \right)^{1/2} \end{aligned} \quad \dots (67)$$

When  $r = r_0$  the two rates add up to zero. Further, it can be seen that there will be a net decrease in the energy and a shrinking of the orbit size if  $r > r_0$  and a net increase in energy and an expansion of the orbit size if  $r < r_0$ . The orbit size stops changing on an average when  $r = r_0$ . Thus, during the wobbling motion of the orbital plane under equilibrium conditions, the size of the orbit in its short-time plane does not change.

## 6 Discussion

The study of a fundamentally nonlinear system like the hydrogen atom subject to the forces of RED cannot be carried out by brute force mathematical techniques such as perturbations on elliptic orbits because the characteristic parameter  $\hbar$  of the ZPF plays a decisive role in determining the natural periods of the system.

Our step-by-step analysis provides a physical picture essentially based on classical ideas that is expected of a covering theory of quantum mechanics. For example, the RED analysis differs both from the



Bohr model and the Schrödinger picture as obtained in quantum mechanics in several important respects.

Indeed, it actually provides a bridge between these well-known models, and explains in a manner which is in consonance with classical intuition, the physical basis of both pictures.

The absence of radiation from the ground state is explained quantum mechanically by the statement that there is no lower energy state the atom can go into by emitting a quantum of radiation. But then all the excited states also qualify equally as radiationless stationary states according to the Schrödinger theory, if the atom is not coupled to the vacuum state of the quantized Maxwell fields and some open-system arguments are not brought to bear on the problem. The RED picture envisages an absence of radiation in the net in the ground state and evolution to this state at temperature zero from arbitrary initial conditions with the energy less than zero.

The Bohr picture gives the correct energy level and this is sought to be explained in quantum mechanics by the fact that the position probability density  $r^2|\psi(r)|^2$  attains a maximum at  $r=a_0$ . However, the Bohr picture is two-dimensional and the  $s$ -state is spherically symmetric.

Our picture based on short- and long-time scales provides a natural reconciliation between the two pictures each of which is based, although in different ways, on the quantum of action. Further, on short-time scales the RED model predicts an arbitrary projection of angular momentum in the range  $(0, (\hbar/\sqrt{2}))$  on any direction instantaneously but zero average over times larger than  $10^{-9}$  sec for an individual system, or over an ensemble at any given time. The square of the angular momentum is not zero but has an ensemble average value of  $\hbar^2/2$ . The quantum mechanical prediction of zero as an eigenvalue of  $L^2$  (and not as ensemble

average) makes any classical visualization of the system evolution impossible, because every member of the ensemble, on every measurement, has to yield the precise value of zero, according to our understanding of the eigenstates of the angular momentum operator which can be also chosen as eigenstates of the energy operator. This requires the two particles to be always moving collinearly in a classical picture. Our model does not rule out such motions because the orbits are defined only with a mean-square radius but allows the system to have a nonzero ensemble average value for the square of the angular momentum.

However, the RED picture of the atom is still incomplete. The line spectrum, which is the most important experimental information, has to be accounted for by considerations of equilibrium of the atom with the Planck radiation added to the ZPF. Work in this direction is in progress.

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# Loading Considerations on the Performance Characteristics of Thin-Film Integrated Circuits

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The influence of various resistive loadings on the performance characteristics of three different subnetworks obtained from a two-port four-terminal three-layer  $R-C-KR$  microstructure is reported. The structures provide ready and simple physical realization in the thin-film integrated form.

## 1 Introduction

The concept of microminiaturization is accomplished through the use of distributed multilayer thin-film integrated devices. This approach has already amply demonstrated its capabilities and is well within the range of modern technology. This family of the integrated devices belongs to the category of integrated morphology and are amenable to the mathematical treatment of the distributed parameter networks. Various types of electrical performance characteristics may be obtained from a multiterminal thin-film structure by imposing different sets of constraints on its terminals whereby a complete series of subnetworks can be obtained<sup>1</sup>.

In its basic form, a multilayer distributed  $R-C-KR$  microstructure of length  $l$  ( $l$  being much larger than its breadth) may be realized by considering a dielectric layer ( $c$ ) sandwiched between two resistive layers ( $R$ ). A one-dimensional transmission line taken as a mathematical model of the above structure is shown in Fig. 1. The per-unit-length (pul) series impedances of the two resistive layers are  $R = R_0 \exp(kx)$  and  $KR$  and the per-unit-length shunt capacitance of the dielectric layer is  $C = C_0 \exp(-kx)$  where  $R_0$  and  $C_0$  are constants,  $K$  being the ratio of the resistivity of the two resistive layers and  $k$  the exponential taper constant.

The results of the analysis of the distributed multilayer  $R-C-KR$  structure which forms the above mathematical model of the three-layer, four-terminal, thin-film microsystem are available in the literature<sup>2,3</sup>. The special case of uniform  $R_0-C_0-KR_0$  structure (for  $K=0$ ) gives the well known uniform  $RC$  structure, studied exhaustively for its low-

pass and high-pass characteristics<sup>4,5</sup>. Realization of frequency-selective or -rejective filters based on distributed parameter  $R-C-KR$  microsystems has been in practical implementation for some time<sup>6,7</sup>. Recently, some new subnetworks generated from the fundamental  $R-C-KR$  structure have been reported for their band-rejection characteristics<sup>7,8</sup>. Similarly, the band-pass characteristics of other subnetworks of this series, both in uniform and non-uniform configurations have been achieved by suitable combinations of the characterizing parameters of the microsystem structure<sup>9,10</sup>. This paper describes the effects of resistive loading on the performance characteristics of three such subnetworks (Figs. 2-4) which behave as high-pass, band-pass and band-

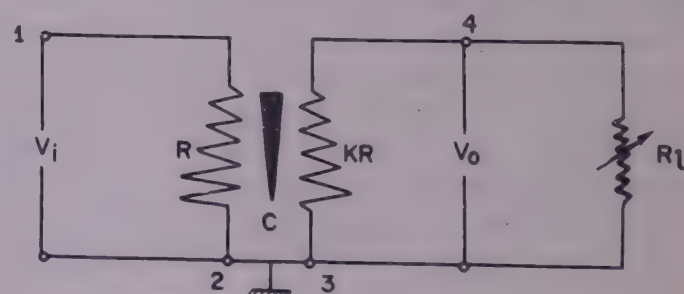


Fig. 2—High-pass filter with load

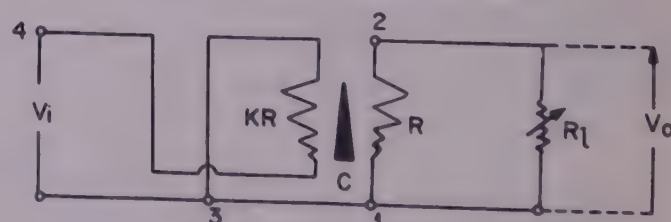


Fig. 3—Loaded band-pass filter

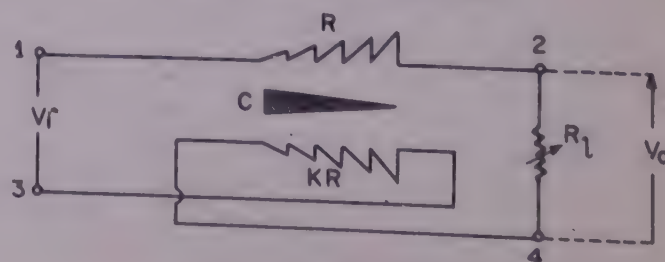


Fig. 4—Band-reject filter

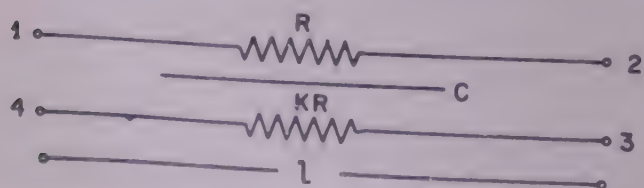


Fig. 1 Symbolic representation of the  $R-C-KR$  microstructure



rejection filters for different values of the parameters of the microstructure.

## 2 Analysis

The loaded voltage ratio transfer functions  $|T_{vl}|$  of the three subnetworks considered here for analysis may be stated in terms of the matrix parameter functions (MPFs) of Z-Y-KZ structure<sup>2</sup> as

$$|T_{vl}|_2 = \frac{(1+K)h - y/W}{(1+K)h + (y/W)(1/K) + (1+K)y/R_l} \quad \dots (1)$$

$$|T_{vl}|_3 = \frac{Y/W - (1+K)a}{(1+K)g + K(y/W) + (1+K)(y/R_l)} \quad \dots (2)$$

$$\text{and } |T_{vl}|_4 = \frac{a + K(g+h-p) + K^2(a-p)}{g + K(2a+p) + K^2(h+p)} + [K(1+K)W_l + (1-K)^2 Y]/R_l \quad \dots (3)$$

where the MPF's involved here are found to be<sup>3</sup>.

$$g = m \cosh(ml) + 0.5k \sinh(ml)$$

$$a = m \exp(0.5kl)$$

$$h = m \cosh(ml) - 0.5k \sinh(ml) \exp(kl)$$

$$z = j\omega C_0 \sinh(ml)$$

$$\text{and } y = (1+K)R_0 \sinh(ml)$$

$$\text{In Eqs (1)-(3), } W = R_l = \int_0^l R_0 \exp(kx) dx = R_0 \frac{e^{kl} - 1}{K}$$

$$= R_0 l \text{ for } k=0, \text{ i.e. for uniform configuration}$$

$$C_l = \int_0^l C_0 \exp(-kx) dx = \frac{C_0}{k} (1 - e^{-kl})$$

$$= C_0 l \text{ for uniform configuration}$$

$$\text{and } m = [(0.5k)^2 + ju(1+K)]^{1/2} \text{ with } u = \omega R_0 C_0$$

$$p = zR_l \text{ and } R_L = R_0/R_l$$

where  $R_l$  is the resistive loading.

Defining normalized frequency  $u_t$  as  $u_t = \omega R_l C_l$ , the magnitude  $|T_v|$  and the phase angle  $\phi$  of the above three subnetworks have been computed as a function of normalized frequency  $u_t$  for variable resistive load in the range  $R_0 \leq R_l \leq 100 R_0$  for several combinations of

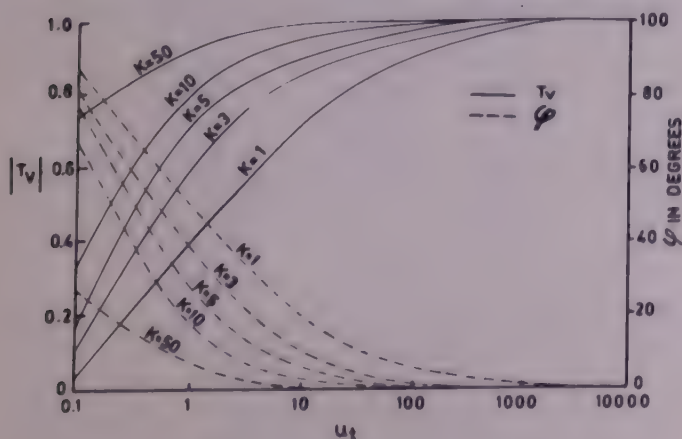


Fig. 5—High-pass characteristics

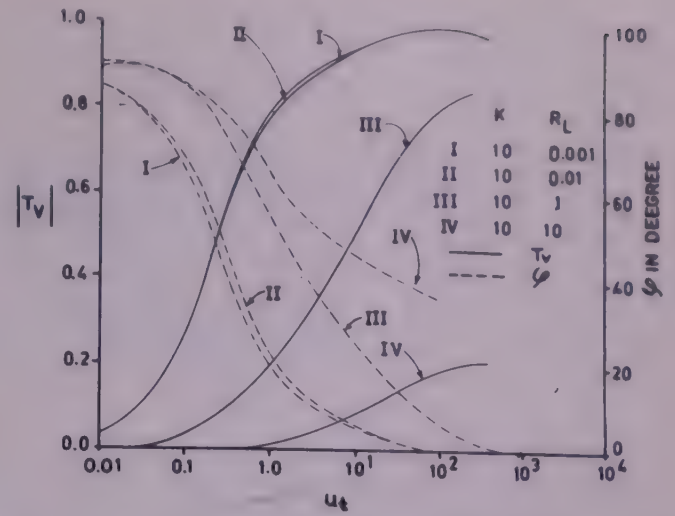


Fig. 6—Effects of load on high-pass characteristics of uniform structure

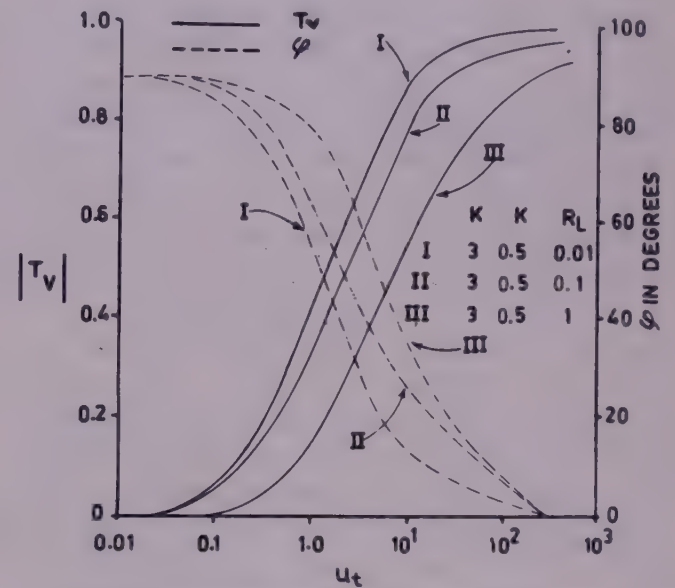


Fig. 7—Loading effects on non-uniform structures

the parameters  $l$ ,  $k$  and  $K$ . Some of the numerically evaluated results are shown in Figs. 5-9.

## 3 Discussion

The subnetwork of Fig. 2 finds wide application for use as a perfect differentiator for all values of  $K$  (see Fig. 5). It has been found that the loading effects are more pronounced in uniform configuration to that of non-uniform configuration as shown in Figs. 6 and 7. It is also observed that the subnetwork ceases to behave as a high-pass filter for higher values of  $R_L$ , both for uniform and non-uniform configurations. However, for same values of  $l$ ,  $K$  and  $k$  in no-load condition, the subnetwork continues to work as a high-pass filter. The change in phase angle  $\phi$  remains almost same for smaller values of  $R_L$  in both the configurations. Loading effects on the band-reject characteristics of the subnetwork of Fig. 4 are depicted in Fig. 8. It is shown that the load fluctuations in the range  $10 \leq R_l \leq 100$  in non-uniform configuration do not make any notice-



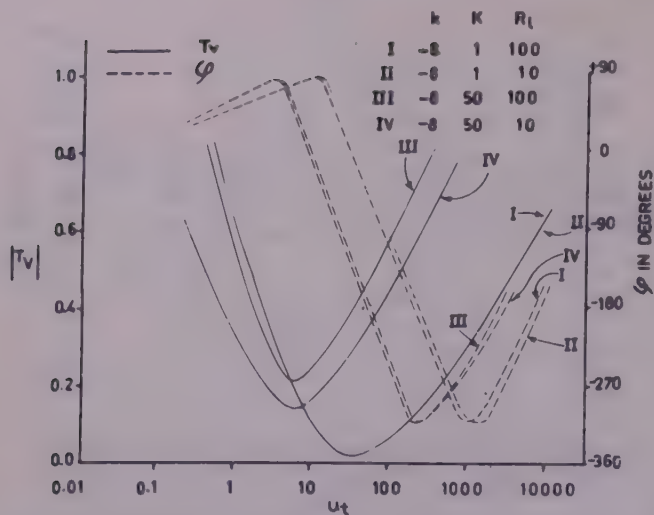


Fig. 8—Band-reject characteristics of the subnetwork under varying resistive loading

able effect on the performance characteristics of the subnetwork. However, smaller values of load fluctuation show remarkable changes in the band-reject characteristics. Fig. 9 displays the band-pass characteristics of the subnetwork under varying resistive loading conditions. It is concluded that the centre frequency of the band-pass region remains constant for larger load fluctuations in the range  $0.001 \leq R_L \leq 10$ . It is also observed that the  $Q$ -factor of the structure goes down as the load increases. These results are intended to indicate the possible applications of the distributed  $R$ - $C$ - $KR$  microstructures whose functional requirements can be controlled by appropriate choice of the parameters  $k$ ,  $K$ , and  $R_L$ .

This work may serve as a basis for formulating design data for similar integrated filters. Also, the results evolved may be helpful in formulating a functional device approach for the design of certain ICs by relating terminal properties of the integrated devices to their performance characteristics.

The author, as part of experimental verification of the results so obtained, has fabricated certain  $R$ - $C$ - $KR$  structures using nichrome as resistive material and  $\text{SiO}$  or  $\text{SiO}_2$ , mica and alumina as the dielectric material. The results of the measurements conducted on these structures are to be reported shortly.

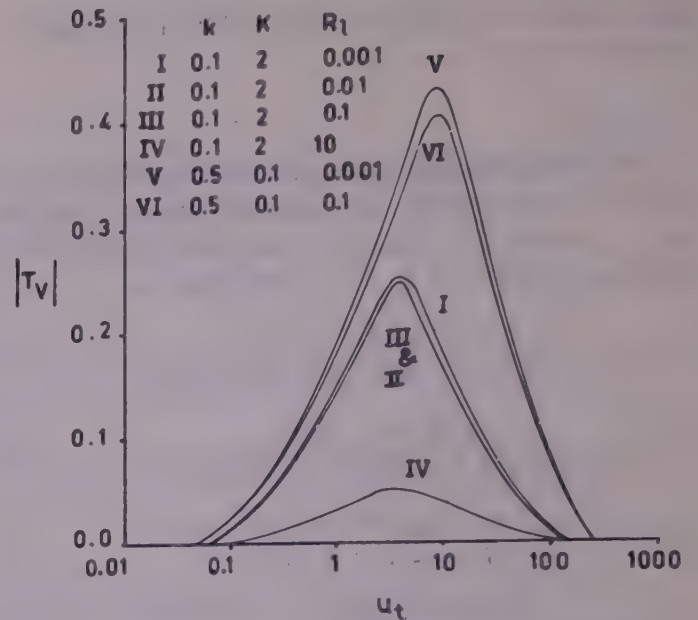


Fig. 9—Band-pass characteristics of the subnetwork with different loads

### Acknowledgement

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## Addition to the Identification of $4p^3 - 4p^24d$ Transitions & $4d$ Levels of Nb IX Spectra

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Further analysis of the Nb IX spectra, recorded using a 3 m normal incidence vacuum spectrograph, has been made and 19 new  $4p-4d$  transitions have been identified. Two of earlier reported  $4d$  levels have been revised and 7 new ones added thus fixing 26 levels of the theoretically predicted 28 levels of this spectrum. Percentage compositions of different levels are also reported.

### 1 Introduction

The first analysis of Nb IX spectrum was published by Chaghtai<sup>1</sup>. Rahimullah *et al.*<sup>2</sup> followed it with improvements regarding  $4p^3 - 4p^25s$  transitions. Two years later, Rahimullah *et al.*<sup>3</sup> have added  $4p^3 - 4p^24d$  transitions. All the ground intervals, the 8 levels of  $5s$

and 19 levels of  $4d$  were thus worked out. Reader and Acquista<sup>4</sup> have confirmed the  $4p^3$ ,  $4p^25s$  levels of Rahimullah *et al.*<sup>2</sup> and added, to the existing data, from the analysis of  $4s^24p^3 - 4s4p^4$  transitions.

On the basis of Nb spectra taken in 1978 using a 3-m normal incidence vacuum spectrograph that uses a

Table 1— $4p^24d$  Levels of Nb IX

Energy levels ( $\text{cm}^{-1}$ )		Designation	% Composition†
Exptl	Calc.‡	LS	jj
326002	323805	$(^3P)^4F_{3/2}$	$-82 - 8(^3P)^4D - 5(^1S)^2D$
329775	329614	$(^3P)^4F_{5/2}$	$-80 - 15(^3P)^4D$
336338	337616	$(^3P)^4F_{7/2}$	$-87 - 11(^3P)^4D$
338606	339433	$(^3P)^2F_{5/2}$	$-27 - 39(^1D)^2F + 22(^3P)^4D - 10(^3P)^4F$
340630	340112	$(^3P)^4D_{1/2}$	$91 - 7(^3P_2, 5/2)$
342812	344282	$(^3P)^2F_{7/2}$	$-16 + 45(^3P)^4D - 30(^1D)^2F$
344004**	340049	$(^3P)^4D_{3/2}$	$52 + 26(^3P)^2P - 13(^3P)^4F - 6(^1D)^2P$
349720*	349844	$(^3P)^4D_{5/2}$	$-55 - 17(^1D)^2F - 15(^3P)^2F - 8(^3P)^4F$
361032	362534	$(^3P)^4D_{7/2}$	$42 + 22(^1D)^2F + 20(^3P)^2F - 9(^1D)^2G$
370221*	350323	$(^3P)^2P_{3/2}$	$-49 + 36(^3P)^4D + 11(^1D)^2P$
373924*	374449	$(^1D)^2G_{7/2}$	$-85 - 11(^1D)^2F$
380568*	373994	$(^3P)^4P_{5/2}$	$78 - 14(^1D)^2D$
383651*	364462	$(^3P)^2P_{1/2}$	$-80 - 14(^1D_2, 5/2)$
385291	377810	$(^3P)^4P_{3/2}$	$77 - 7(^1D)^2D + 7(^1D)^2P$
390121*	380552	$(^3P)^4P_{1/2}$	$-84 - 7(^3P_1, 3/2) + 6(^1D_2, 5/2)$
392581*	388509	$(^3P)^2D_{3/2}$	$31 + 34(^1S)^2D - 15(^3P)^4P - 10(^1D)^2D$
402326**	391235	$(^3P)^2D_{5/2}$	$-20 + 54(^1D)^2D + 15(^3P)^4P - 8(^1S)^2D$
409130*	396800	$(^1D)^2D_{3/2}$	$79 + 9(^1S)^2D + 8(^3P)^2D$
411392	411074	$(^1D)^2D_{5/2}$	$28 + 49(^1S)^2D + 19(^3P)^2D$
415540*	410649	$(^1D)^2P_{1/2}$	93
419914*	423641	$(^1D)^2F_{5/2}$	$-30 + 35(^3P)^2F + 20(^3P)^2D - 12(^1S)^2D$
425220**	422268	$(^1D)^2P_{3/2}$	$-71 - 20(^3P)^2P$
425969*	414962	$(^1D)^2S_{1/2}$	$77 - 15(^3P_2, 3/2)$
427875*	433479	$(^1D)^2F_{7/2}$	$-36 + 62(^3P)^2F$
445329*	448140	$(^1S)^2D_{3/2}$	$42 - 56(^3P)^2D$
446526*	448824	$(^1S)^2D_{5/2}$	$23 - 39(^3P)^2D + 21(^3P)^2F - 14(^1D)^2F$

‡ Energy level and purity calculations were performed by R D Cowan (Ref. 5).

† In percentage composition, contributions less than 5% are omitted and only the four largest components are included.

\* Rahimullah *et al.* (Ref. 3)

\*\* Rahimullah *et al.* (Ref. 3) value improved.

The assignment of level at 427875 is unaffected as a result of this study.



gold coated grating of 1200 lines/mm, at the Lund University the authors have taken up the analysis of Nb IX again and the results are reported in this paper.

## 2 Experimental Details

Some of the experimental details followed in the present study are already given in the earlier works<sup>1-3</sup> and hence not repeated here. As the efficiency of the grating used in our present work is, due to the known high reflectance of gold for shorter wavelengths ( $\lambda < 700\text{\AA}$ ), far superior to those used by us earlier to record the same spectrum, we could detect lines corresponding to some new transitions. Our measurements are estimated to admit errors up to  $0.025\text{\AA}$ .

## 3 Results and Discussion

We observed on our plates, 14 out of 16  $4s^24p^3 - 4s4p^4$  transitions which Reader and Acquista<sup>4</sup> have reported, along with 12 additional ones connecting the levels of the two configurations. These spectral lines helped us to verify the ionization affiliation of the lines and we classify these as  $4p^3 - 4p^24d$  transitions. As stated in our earlier papers<sup>2,3</sup>, this is achieved by studying the variation of line intensities as a function of the inductance in the spark circuit.

In the  $4p$ - $4d$  analysis, we confirm 16 levels of Rahimullah *et al.*<sup>3</sup> with improvement in values of three earlier reporter ones. One of their levels<sup>3</sup> could not be checked, since transitions from it to the ground levels fall at wavelengths shorter than those accessible to us on the newly recorded plates. We have revised values of two of the earlier reported  $4d$  levels and have added

nine more levels to the remaining 17 (Table 1), bringing the total number of known levels to 26. The two  $4d$  levels that remain unknown still ( $J=9/2$ ) do not combine with the levels of the ground  $4p^3$  configuration. The  $4d$  levels, as experimentally determined are plotted in Fig. 1, where the theoretically predicted position of the unknown ones is indicated by broken lines. In this study, we have classified 19 new lines as  $4p$ - $4d$  transitions, some of which replace the earlier reported ones. All the newly classified lines of Nb IX are assembled in Table 2.

It is evident from Table 1, that  $LS$ -description is adopted for labelling the  $4d$  levels. Cowan's calculations<sup>5</sup> reveal that this scheme either dominates over others ( $jj$ ,  $jk$  and  $lk$ ) or has the same purity as the best of the others. For  $J=1/2$  levels, however, the calculations indicate better purities in the  $jj$ -scheme. These levels have been given, therefore, in  $jj$  notations also. For them, the percentage composition is given in  $jj$ -scheme.

Table 2—Newly Classified Lines of Nb IX

Wavelength ( $\text{\AA}$ )	Intensity ( $I$ )	Classification
259.546	40	$4p^3\ ^4S_{3/2} - 4p^24d(^3P)^4P_{3/2}^{**}$
263.588	15	$4p^3\ ^2D_{5/2} - 4p^24d(^1D)^2D_{5/2}^{**}$
266.985 <sup>a</sup>	130	$4p^3\ ^2P_{1/2} - 4p^24d(^1D)^2P_{3/2}^{**}$
278.970	10	$4p^3\ ^2P_{1/2} - 4p^24d(^1D_{3/2})^2P_{3/2}$
283.061	20	$4p^3\ ^2D_{5/2} - 4p^24d(^3P)^4P_{3/2}$
293.574	30	$4p^3\ ^4S_{3/2} - 4p^24d(^3P)^4D_{1/2}$
294.377	20	$4p^3\ ^2P_{3/2} - 4p^24d(^3P)^2D_{5/2}^{**}$
303.236	30	$4p^3\ ^4S_{3/2} - 4p^24d(^3P)^4F_{5/2}$
303.933	9	$4p^3\ ^2D_{5/2} - 4p^24d(^3P)^4D_{7/2}$
306.749	15	$4p^3\ ^4S_{3/2} - 4p^24d(^3P)^4F_{3/2}$
318.754	20	$4p^3\ ^2D_{3/2} - 4p^24d(^3P)^2F_{5/2}$
320.523	20	$4p^3\ ^2D_{5/2} - 4p^24d(^3P)^4D_{3/2}^{**}$
321.750	8	$4p^3\ ^2D_{5/2} - 4p^24d(^3P)^2F_{7/2}$
328.595	20	$4p^3\ ^2D_{5/2} - 4p^24d(^3P)^4F_{7/2}$
332.094	9	$4p^3\ ^2D_{3/2} - 4p^24d(^3P)^4F_{3/2}$
340.146 <sup>d</sup>	140	$4p^3\ ^2D_{5/2} - 4p^24d(^3P)^4F_{3/2}$
342.258 <sup>b</sup>	70	$4p^3\ ^4S_{3/2} - 4s4p^4\ ^2P_{3/2}$
348.626 <sup>b</sup>	130	$4p^3\ ^2D_{3/2} - 4s4p^4\ ^2P_{1/2}$
359.713	9	$4p^3\ ^2P_{3/2} - 4p^24d(^3P)^4D_{1/2}$
362.349	9	$4p^3\ ^2P_{3/2} - 4p^24d(^3P)^2F_{5/2}$
374.331 <sup>a</sup>	110	$4p^3\ ^2P_{3/2} - 4p^24d(^3P)^4F_{5/2}$
383.079	10	$4p^3\ ^2P_{1/2} - 4s4p^4\ ^2P_{1/2}$
388.205 <sup>c</sup>	15	$4p^3\ ^4S_{3/2} - 4s4p^4\ ^2D_{5/2}$
429.730 <sup>a</sup>	15	$4p^3\ ^2D_{3/2} - 4s4p^4\ ^2D_{5/2}$
504.938	8	$4p^3\ ^2D_{3/2} - 4s4p^4\ ^4P_{1/2}$
515.650 <sup>a</sup>	70	$4p^3\ ^2D_{3/2} - 4s4p^4\ ^4P_{3/2}$
523.225	9	$4p^3\ ^2P_{3/2} - 4s4p^4\ ^2D_{3/2}$
573.420	9	$4p^3\ ^2D_{5/2} - 4s4p^4\ ^4P_{5/2}$
580.518	10	$4p^3\ ^2P_{1/2} - 4s4p^4\ ^4P_{1/2}$
623.851	9	$4p^3\ ^2P_{3/2} - 4s4p^4\ ^4P_{1/2}$
695.574	9	$4p^3\ ^2P_{3/2} - 4s4p^4\ ^4P_{5/2}$

\*\* Transition given by Rahimullah *et al.* (Ref. 3) revised.

<sup>a</sup> Blended with an oxygen line.

<sup>b</sup> Blended with a line of lower ionization.

<sup>c</sup> Also classified in Nb VII as  $4p^45s\ (^3P_0)_{1/2} - 4p^46p(^3P)^2D_{3/2}$ .

<sup>d</sup> Also classified in Nb VIII as  $4p^4\ ^3P_1 - 4p^34d(^2D)^3D_2$ .

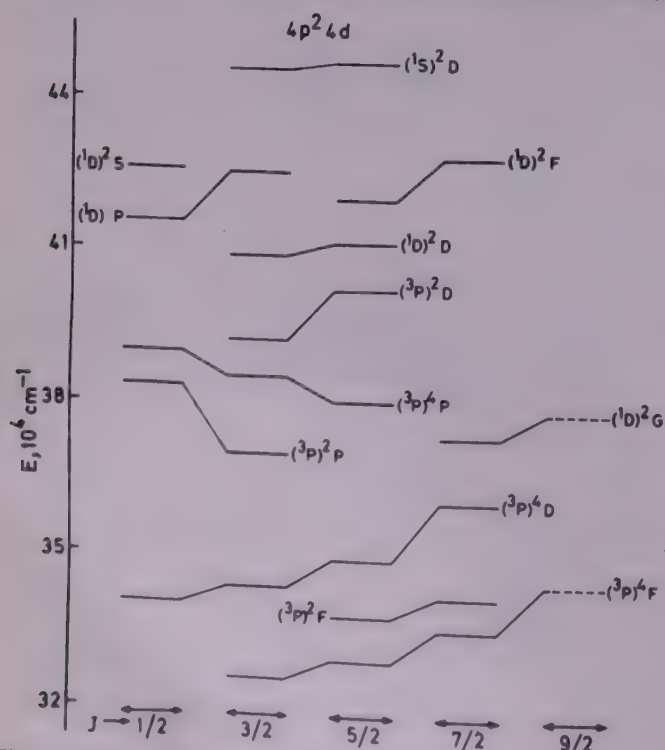


Fig. 1—Schematic energy level diagram of  $4p^24d$  configuration of Nb IX (Broken lines indicate the theoretically predicted positions of unobserved levels.)



The mistake in marking the  $c^2D_{3,2,5,2}$  levels detected in Rahimullah *et al.*'s paper<sup>3</sup> has now been rectified by us.

#### Acknowledgement

The authors acknowledge the collaboration of JO Ekberg in recording the spectra and thank R D Cowan for providing them with the *ab initio* calculations.

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# COMMUNICATIONS

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## Calculation of Specific Heat of a Weakly Inhomogeneous Interacting Electron Gas

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Calculation of the free energy and the specific heat of a weakly inhomogeneous, interacting electron gas has been done within the framework of the density functional formalism. The simple temperature-dependent Thomas-Fermi approach has been used to get explicit analytical forms for these quantities.

In this communication, a novel calculation of the free energy and the specific heat at constant volume of a system of interacting electrons moving in an external potential furnished by the ions is presented. This external potential is represented by two parts—one arising from a uniform, positive ionic charge background which exactly neutralizes the part of the electronic charge density which is uniform, as in the jellium model, and the other, a very weak, periodic, slowly varying potential acting as a perturbation. This Hamiltonian is in some way an approximation to that of the motion of conduction electrons in metals<sup>1,2</sup>. Explicitly the Hamiltonian is

$$H = \sum_{i=1}^N \frac{\mathbf{p}_i^2}{2m} + \sum_{i,j=1}^N \frac{e^2}{(2|\mathbf{r}_i - \mathbf{r}_j|)} + \sum_{i=1}^N v(\mathbf{r}_i) \quad \dots (1)$$

+self energy of uniform, positive charge background.

where  $\mathbf{p}_i$  denotes the momentum,  $\mathbf{r}_i$  and  $\mathbf{r}_j$  are the coordinates for the electrons,  $v(\mathbf{r}_i)$  is the weak, external ionic potential energy of the  $i$ th electron, and  $N$  is the total number of particles.

Explicitly,

$$v(\mathbf{r}) = \tilde{v}(\mathbf{r}) + v_{so}(\mathbf{r}) \quad \dots (2)$$

where  $\tilde{v}(\mathbf{r})$  is the weak, slowly varying periodic potential of the form  $\sum_{\mathbf{G}=0} V_{\mathbf{G}} \exp(i\mathbf{G} \cdot \mathbf{r})$  and  $v_{so}(\mathbf{r})$  is a constant potential arising due to the uniform positive charge distribution given as

$$v_{so}(\mathbf{r}) = -e^2 n_0 \int d^3 \mathbf{r}' / |\mathbf{r} - \mathbf{r}'| \quad \dots (3)$$

which is infinite. However, it is well known that this exactly cancels with the self-energy of uniform, positive charge background together with the part of self-energy arising from uniform electronic density. In Eq. (3),  $n_0$  is a constant, corresponding to the uniform density, i.e. density in the homogeneous system and is independent of  $\tilde{v}(\mathbf{r})$ .

The calculation is done analytically using the finite temperature Thomas-Fermi (TF) approach<sup>3</sup> and simplified perturbation theory in terms of the external periodic potential. Normally, to obtain the specific heat of the inhomogeneous electron gas, one can directly use the finite temperature many-body perturbation theory<sup>4</sup>. However, it is a complicated formalism, in general. Here, the density functional formalism is resorted to, considering the fact that it is an elegant formalism which takes care of inhomogeneity in the system due to the external potential, as well as the electron-electron interaction at the same time<sup>5</sup>. Since in the TF approximation, exchange and correlation effects are neglected, an alternative approach with the independent-particle Hamiltonian

$$H = \sum_i \mathbf{p}_i^2 / 2m + \sum_{i,\mathbf{G}} V_{\mathbf{G}} \exp(i\mathbf{G} \cdot \mathbf{r}_i) \epsilon_{\mathbf{G}} \\ = \sum_i \mathbf{p}_i^2 / 2m + \sum_{i,\mathbf{G}} V_{\mathbf{G}}(\mathbf{r}_i) / \epsilon_{\mathbf{G}}$$

could also be used.

It is known<sup>3</sup> that one can define a functional of the density  $G[n(\mathbf{r})]$  independent of the external  $v(\mathbf{r})$ , such that the thermodynamic functional

$$\Omega[n(\mathbf{r})] = \int v(\mathbf{r}) n(\mathbf{r}) d^3 \mathbf{r} + e^2 \iint \frac{d^3 \mathbf{r} d^3 \mathbf{r}' n(\mathbf{r}) n(\mathbf{r}')}{(2|\mathbf{r} - \mathbf{r}'|)} + G[n(\mathbf{r})] \quad \dots (4)$$

is a minimum and equals the grand potential when  $n(\mathbf{r})$  is the equilibrium density in the grand ensemble in presence of  $v(\mathbf{r})$ . The grand potential is defined as

$$\Omega = F - \mu N = -k_B T \ln Z \quad \dots (5)$$

where  $F$  is the Helmholtz free energy,  $\mu$  the chemical potential,  $k_B$  the Boltzmann constant,  $T$  the absolute temperature, and  $Z$  the grand partition function.

In the spirit of TF theory, if one neglects exchange and correlation effects and approximates the



contribution of  $F$  to  $G$  by a form which is locally the free-particle expression, one finds

$$G[n(\mathbf{r})] = (2/(2\pi\hbar)^3) \int d^3\mathbf{r} d^3\mathbf{p} \times \left\{ -\frac{1}{\beta} \ln[1 + \exp(-\beta(\mathbf{p}^2/2m) - \tilde{\mu}(\mathbf{r}))] + \tilde{\mu}(\mathbf{r})[1 + \exp(\beta(\mathbf{p}^2/2m) - \tilde{\mu}(\mathbf{r}))]^{-1} \right\} - \mu \int n(\mathbf{r}) d^3\mathbf{r} \quad \dots (6)$$

where  $\beta = (k_B T)^{-1}$  and  $\tilde{\mu}(\mathbf{r})$  is a local functional of the density, implicitly defined by

$$n(\mathbf{r}) = 2 \int d^3\mathbf{p} \frac{\{\exp[\beta((\mathbf{p}^2/2m) - \tilde{\mu}(\mathbf{r}))] + 1\}^{-1}}{(2\pi\hbar)^3} \quad \dots (7)$$

Using the variational principle for  $\Omega[n(\mathbf{r})]$ , one can write

$$-\mu(\mathbf{r}) = v(\mathbf{r}) + v_i(\mathbf{r}) - \mu \quad \dots (8)$$

where

$$v_i(\mathbf{r}) = e^2 \int d^3\mathbf{r}' n(\mathbf{r}') / |\mathbf{r} - \mathbf{r}'| \quad \dots (9)$$

Hence

$$F[n(\mathbf{r})] = \int v(\mathbf{r}) n(\mathbf{r}) d^3\mathbf{r} + e^2 \iint \frac{d^3\mathbf{r} d^3\mathbf{r}' n(\mathbf{r}) n(\mathbf{r}')}{(2|\mathbf{r} - \mathbf{r}'|)} + \frac{2}{(2\pi\hbar)^3} \int d^3\mathbf{r} d^3\mathbf{p} \left\{ -\frac{1}{\beta} \ln[1 + \exp(-\beta(\mathbf{p}^2/2m + v(\mathbf{r}) + v_i(\mathbf{r}) - \mu))] + (\mu - v(\mathbf{r}) - v_i(\mathbf{r})) \times [\exp(\beta((\mathbf{p}^2/2m) + v(\mathbf{r}) + v_i(\mathbf{r}) - \mu)) + 1]^{-1} \right\} \quad \dots (10)$$

and

$$n(\mathbf{r}) = 2 \int d^3\mathbf{p} \left\{ \exp[\beta((\mathbf{p}^2/2m) + v(\mathbf{r}) + v_i(\mathbf{r}) - \mu)] + 1 \right\}^{-1} / (2\pi\hbar)^3 \quad \dots (11)$$

Eqs (10) and (11) form the starting point for the calculation of specific heat. Coupling Eq. (11) with the Poisson equation gives the temperature-dependent TF equation.

Since  $\tilde{v}(\mathbf{r})$  is assumed to be weak, a perturbation scheme could be used. Let

$$n(\mathbf{r}) = n_0 + n_1(\mathbf{r}) + n_2(\mathbf{r}) + \dots \quad \dots (12)$$

$$\mu = \mu_0 + \mu_1 + \mu_2 + \dots$$

where  $n_1(\mathbf{r})$  and  $\mu_1$  are of the first order in  $\tilde{v}(\mathbf{r})$ , etc.

Also

$$v_i(\mathbf{r}) = v_{i0}(\mathbf{r}) + v_{i1}(\mathbf{r}) + v_{i2}(\mathbf{r}) + \dots \quad \dots (13)$$

where

$$v_{i0}(\mathbf{r}) = e^2 n_0 \int d^3\mathbf{r}' / |\mathbf{r} - \mathbf{r}'| = -v_{i0}(\mathbf{r}) \quad \dots (14)$$

Therefore

$$v(\mathbf{r}) + v_i(\mathbf{r}) = \tilde{v}(\mathbf{r}) + v_{i1}(\mathbf{r}) + v_{i2}(\mathbf{r}) \quad \dots (15)$$

Eq. (11) becomes

$$n_0 + n_1(\mathbf{r}) + n_2(\mathbf{r}) = 2 \int d^3\mathbf{p} \left\{ \exp[\beta((\mathbf{p}^2/2m) + \tilde{v}(\mathbf{r}) + v_{i1}(\mathbf{r}) + v_{i2}(\mathbf{r}) - \mu_0 - \mu_1 - \mu_2)] + 1 \right\}^{-1} / (2\pi\hbar)^3 \quad \dots (16)$$

Equating powers of the same order, expressions for  $n_0$ ,  $n_1(\mathbf{r})$  and  $n_2(\mathbf{r})$  are determined. Evaluation of  $n_0$ ,  $n_1(\mathbf{r})$  and  $n_2(\mathbf{r})$  is done in  $k_B T \ll \mu_0$  limit. Using the fact that

$$n_0 = \int d^3\mathbf{r} = N \quad \dots (17)$$

implying,  $n_0 = N/V$  and

$$\int n_1(\mathbf{r}) d^3\mathbf{r} = 0 = \int n_2(\mathbf{r}) d^3\mathbf{r} \quad \dots (18)$$

expressions for  $\mu_0$ ,  $\mu_1$  and  $\mu_2$  are obtained. One finds

$$\mu_0 = E_{F_0} [1 - (\pi^2/12)(k_B T/E_{F_0})^2] \quad \dots (19)$$

where  $E_{F_0} = \hbar^2(3\pi^2 n_0)^{2/3}/2m$  is the Fermi energy at  $T = 0K$ . Also  $\mu_1$  is identically zero because it is related to spatial integrals over  $\tilde{v}(\mathbf{r})$  and  $v_{i1}(\mathbf{r})$ , each of which is zero.

$$n_1(\mathbf{r}) = \sum_{\mathbf{G} \neq 0} V_{\mathbf{G}} \exp(i\mathbf{G} \cdot \mathbf{r}) \left\{ [-3n_0/(2E_{F_0} \epsilon_{TF}(\mathbf{G}, 0))] + T^2 n_0 \pi^2 k_B^2 / (8E_{F_0}^3 \epsilon_{TF}^2(\mathbf{G}, 0)) \right\} \quad \dots (20)$$

Similarly, one finds

$$\mu_2 = - \sum_{\mathbf{G} \neq 0} (|V_{\mathbf{G}}|^2 / 4E_{F_0}) \left\{ \epsilon_{TF}^{-2}(\mathbf{G}, 0) - [(2 - 5\epsilon_{TF}(\mathbf{G}, 0)) \pi^2 k_B^2 T^2 / (12E_{F_0}^3 \epsilon_{TF}^3(\mathbf{G}, 0))] \right\} \quad \dots (21)$$

where  $\epsilon_{TF}(\mathbf{G}, 0) = 1 + q_{FT}^2/G^2$  is the TF dielectric function and  $q_{FT} = [(6\pi e^2 n_0/E_{F_0})^{1/2}]$  is the TF wave vector.

Expanding Eq. (10) up to second order in  $\tilde{V}(\mathbf{r})$  gives the expression for free energy, as

$$F = \left( \frac{3NE_{F_0}}{5} \right) - \left( \frac{3N}{4E_{F_0}} \right) \sum_{\mathbf{G} \neq 0} \frac{|V_{\mathbf{G}}|^2}{\epsilon_{TF}(\mathbf{G}, 0)} - T^2 \left\{ \left( \frac{N\pi^2 k_B^2}{4E_{F_0}} \right) \left[ 1 - \left( \frac{1}{4E_{F_0}^2} \right) \sum_{\mathbf{G} \neq 0} \frac{|V_{\mathbf{G}}|^2}{\epsilon_{TF}^2(\mathbf{G}, 0)} \right] \right\} \quad \dots (22)$$



The specific heat at constant volume is

$$C_v = -T(\partial^2 F / \partial T^2)_V$$

$$= \left( \frac{N\pi^2 k_B^2 T}{2E_{F0}} \right) \left[ 1 - \left( \frac{1}{4E_{F0}^3} \right) \sum_{G \neq 0} \frac{|V_G|^2}{\epsilon_{TF}^2(G, 0)} \right] \quad \dots (24)$$

**Results and discussion**—The first term on the right hand side of Eq. (24) is exactly the specific heat expression for a system of free electrons<sup>6</sup>. The second term is what one intuitively expects on the basis of perturbation to the single electron energy, brought by the screened external potential, the screening being caused by the electron-electron interaction. If we take  $V_G \sim 3\text{eV}$  and  $E_{F0} = 7\text{eV}$  for Cu<sup>6</sup>, since  $G \sim q_{FT}$  in metals, it is seen that a 1% correction to the specific heat is obtained. If however,  $V_G = 0$  for all  $G$ , then of course, the gas behaves like a free-electron gas (Hartree term is absent in the jellium model).

In metals, the magnitude of the reciprocal lattice vector  $G \sim q_{TF}$ . It is, however, known that the  $TF$  approximation is good only for  $G \ll q_{TF}$ , i.e. for a gas of slowly varying density. Thus for usual metals, this result cannot be exact and one has to go beyond the  $TF$  approximation since the inhomogeneity introduced is large. Nevertheless, this simple approach gives a good order-of-magnitude estimate for the deviation of the results from the completely free-electron approxi-

mation. A simple way to improve these results is to replace the  $TF$  dielectric function  $\epsilon_{TF}(G, 0)$  in the expressions for free energy and specific heat, viz. Eqs (23) and (24) by the exact<sup>2</sup> dielectric function  $\epsilon(G, 0)$  of the interacting but homogeneous electron gas, which includes exchange and correlation. The results can be improved by taking into account the gradient corrections<sup>5</sup> and exchange-correlation effects simultaneously.

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## Pseudopotential Dependence of Superconducting State Parameters of Al

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Superconducting state parameters, i.e. the electron-phonon coupling strength ( $\lambda$ ), the Coulomb pseudopotential ( $\mu^*$ ), transition temperature ( $T_c$ ), isotope effect exponent ( $\alpha$ ), and the effective interaction strength ( $N_0V$ ) have been evaluated for Al by employing six different forms of pseudopotential functions in conjunction with RPA screening. It is observed that Heine-Abarenkov as well as Harrison's potentials provide the best explanation of almost all parameters.

It has now become possible<sup>1</sup> to predict theoretically the transition temperature ( $T_c$ ) and the isotope effect exponent ( $\alpha$ ) of superconductors from the Eliashberg gap equations<sup>2</sup> in terms of the interaction strength parameter ( $\lambda$ ) and the Coulomb pseudopotential ( $\mu^*$ ). The essential ingredients needed for the evaluation of  $\lambda$  and  $\mu^*$  are the electron-ion pseudopotential (EIP), dielectric screening function [ $\epsilon(x)$ ], and the phonon spectra of the metal. (The last term is usually characterized<sup>3</sup> by a single average square frequency [ $\langle\omega^2\rangle$ ] for the sake of simplicity.) The dependence of the superconducting state parameters ( $T_c$ ,  $\alpha$ ,  $\lambda$ ,  $\mu^*$ ) on  $\epsilon(x)$  has been studied by Jain and Rajput<sup>4</sup> and Jain and Kachhawa<sup>5</sup>, but their dependence on the electron-ion pseudopotential has not been studied till now. Hence we have taken up this study, as it would help us in selecting a potential, which along with a particular screening may provide an explanation for the superconductivity in a metal.

In the present study dealing with Al metal, six different forms of electron-ion pseudopotential have been used in conjunction with the RPA form<sup>6</sup> of dielectric screening. The above 6 pseudopotentials taken from Refs 7-12 are  $V_{HA}$ ,  $V_{HR}$ ,  $V_{AS}$ ,  $V_{KN}$ ,  $V_{LR}$  and  $V_{SW}$ . The expressions employed for the evaluation of the parameters are given by<sup>13</sup>:

$$\lambda = \frac{12m^*Z}{M\langle\omega^2\rangle} \int_0^1 dx x^3 |V_s(x)|^2 \quad \dots (1)$$

$$\mu^* = \frac{\frac{1}{\pi k_F} \int_0^1 \frac{dx}{x\epsilon(x)}}{1 + \frac{1}{\pi k_F} \ln\left(\frac{k_F^2}{10\theta_D}\right) \int_0^1 \frac{dx}{x\epsilon(x)}} \quad \dots (2)$$

$$T_c = \frac{\theta_D}{1.45} \exp\left[\frac{-1.04(1+\lambda)}{\lambda - \mu^*(1+0.62\lambda)}\right] \quad \dots (3)$$

and

$$\alpha = \frac{1}{2} \left[ 1 - \left( \mu^* \ln \frac{\theta_D}{1.45 T_c} \right)^2 \frac{1+0.62\lambda}{1.04(1+\lambda)} \right] \quad \dots (4)$$

$V_s(x)$  in Eq. (1) represents the screened pseudopotential form factors at the Fermi surface, and

$$x\langle\omega^2\rangle^{1,2} = \frac{\omega_L + \omega_T}{2} \quad \dots (5)$$

$\omega_L$  and  $\omega_T$  being the most representative maximum frequencies of the longitudinal and transverse modes of the phonon spectra, as measured by neutron inelastic scattering experiment. In Eq. (2),  $\epsilon(x)$  represents the dielectric screening function,  $k_F$  the Fermi momentum, and  $\theta_D$  the Debye temperature of the metal under consideration.

Finally we have<sup>14</sup>:

$$N_0V = \frac{\lambda - \mu^*}{\left(1 + \frac{10\lambda}{11}\right)} \quad \dots (6)$$

for the computation of the effective interaction strength of the superconductor.

The results obtained for the parameters  $\lambda$ ,  $\mu^*$ ,  $T_c$ ,  $\alpha$  and  $N_0V$  for Al using the six forms of the pseudopotential form factors are presented in Table 1, along with the experimental data. Table 1 also includes the results of other workers<sup>3,13-15</sup> for the sake of comparison. The input data  $m^* = 1.49$ ,  $\theta_D = 420$  K,  $\omega_L = 14.296 \times 10^{-4}$  a.u. and  $\omega_T = 10.364 \times 10^{-4}$  a.u. are taken from Allen and Cohen<sup>3</sup>, whereas  $k_F = 0.9276$  a.u. for Fermi momentum is taken from Cohen and Heine<sup>16</sup>.

It may be observed (Table 1) that the values of  $T_c$  obtained from the Heine and Abarenkov (HA) and Harrison potentials are in good agreement with the experimental value of 1.196 (Ref. 13), whereas those obtained with other potentials differ considerably, the linear potential ( $V_{LR}$ ) predicting the superconductivity to occur at 2.26 K. It may also be observed that the present value of  $T_c$  using the HA potential is now in better agreement with the experimental value as compared to the values given by Allen and Cohen<sup>3</sup>, and Rajput and Gupta<sup>14</sup> using the point-ion model of Harrison, and Jain and Kachhawa<sup>5</sup> using the Veljkovic and Slavic pseudopotential.

Another important parameter of superconductors is the isotopic effect exponent  $\alpha$ . Since experimental data are not available for  $\alpha$ , we compare the presently computed values of  $\alpha$  with the theoretical values reported by Swihart<sup>17</sup>, Garland<sup>18</sup>, Morel and Anderson<sup>15</sup> and Jain-Kachhawa<sup>13</sup>.



Table 1—Comparison of Values of Superconducting State Parameters Obtained Using Different Potentials

Potential used	$\lambda$ a.u.	$\mu^*$ a.u.	$T_c$ K	$\alpha$	$N_0V$
Presently computed values on:					
$V_{HA}$	0.42	0.12	1.190	0.314	0.203
$V_{HR}(x)$	0.41	0.12	1.020	0.304	0.211
$V_{AS}(x)$	0.34	0.12	0.232	0.182	0.169
$V_{KN}(x)$	0.31	0.12	0.089	0.088	0.148
$V_{LR}(x)$	0.47	0.12	2.260	0.357	0.345
$V_{SW}$	0.36	0.12	0.337	0.215	0.178
Experimental data			1.196 <sup>a</sup>	0.3 <sup>b</sup>	0.175 <sup>d</sup> 0.19 <sup>e</sup> 0.37 ± 0.025 <sup>c</sup>
Morel & Anderson values	0.33	0.10	—	0.34	0.14
Allen and Cohen values on:					
$V_{HA}$	0.53	0.14	3.00		
$V_{AS}$	0.52	0.14	2.60		
Rajput-Gupta values on:					
$V_{PI}^g$	0.43	0.13	0.10	—	0.18
Jain-Kachhawa values on:					
$V_{VS}^f$	0.45	0.12	1.75	0.391	—

<sup>a</sup> Exptl<sup>13</sup>

<sup>b</sup> Due to Swihart<sup>17</sup>

<sup>c</sup> Due to Garland<sup>18</sup>

<sup>d</sup> Exptl<sup>19</sup>

<sup>e</sup> BCS parameter<sup>14</sup>

<sup>f</sup> Veljkovic and Salvic pseudopotential, values reported by Jain and Kachhawa<sup>13</sup>

<sup>g</sup> Point-ion model of Harrison, values reported by Rajput and Gupta<sup>14</sup>.

It may be observed that the HA, Harrison and linear potentials provide  $\alpha$  values which are in good agreement with all these values. The HA and Harrison results are in close agreement with the result of Swihart<sup>17</sup>, whereas the linear potential result of  $\alpha = 0.357$  is quite close to the values obtained by Garland<sup>18</sup> as well as by Morel and Anderson<sup>15</sup>, while the value reported by Jain-Kachhawa<sup>13</sup> is slightly higher. Ashcroft<sup>9</sup>, Khanna<sup>10</sup> and Shaw<sup>12</sup> potentials provide us low values of  $\alpha$ .

A further test to the pseudopotentials is provided through the calculation of the effective interaction strength  $N_0V$ . It may be emphasized that the  $N_0V$  value obtained with Shaw potential has the best agreement with the experimental value<sup>19</sup> of 0.175. A comparison of this value with the values obtained with the BCS parameter (0.19), Morel-Anderson potential (0.14) and on the point-ion model by Rajput and Gupta (0.18) suggests that the results obtained from HA, Harrison, Ashcroft, Khanna and Shaw potentials are all satisfactory. Only the linear potential provides a higher value for this parameter.

Thus, it is concluded that the HA potential provides the best explanation of the superconducting state in Al. Harrison potential could also be considered quite satisfactory. The higher results obtained from the linear potential suggest that the potential parameter as determined from the phonon frequency data is not appropriate for the explanation of superconducting state, for which form factors only up to  $q = 2k_F$  are required. Thus for making the potential suitable for explaining the superconductivity, the potential parameter should be determined from the low- $q$  form factors or from a low- $q$ -dependent property like liquid metal resistivity. The Shaw potential underestimates the electron-phonon interaction (EPI), and needs improvement, so as to suit the correlation of superconducting state parameters of Al. Same is the case with the Ashcroft and Khanna potentials. Until such an attempt is made, we may recommend the use of HA and Harrison potentials for the calculation of superconducting state parameters and for appropriate representation of DPI in Al.

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## A Note on the Spherically Averaged Effective-Mass

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A geometrical representation of different effective-masses ( $m^*$ ) is given. It is shown that only three effective-masses are possible in approximating the mass ellipsoid to a sphere. While two of our results for  $m^*$  are the well known optical and density averages, the third is a new result which has not been used so far in the study of any physical property of a many-valley semiconductor. The validity of using any other  $m^*$  in the study of impurity states in semiconductors is critically examined.

Many semiconductors, including Si and Ge, exhibit a multivalley structure in the conduction band<sup>1</sup>. As a consequence, the constant energy surfaces near the conduction band minima are ellipsoids of revolution. The effective-mass tensor, in the body-fixed frame of reference, is given by two transverse masses ( $m_{t_1}$  and  $m_{t_2}$ ) and a longitudinal mass ( $m_l$ ). In many semiconductors (e.g. Si and Ge), the two transverse masses are equal. The degree of anisotropy ( $\gamma$ ) is given by  $\gamma = m_l/m_t$ .

In the computation of any physical property of a many-valley semiconductor, one normally makes a spherical band approximation (SBA) in which a single mass ( $m^*$ ) is used in place of  $m_t$  and  $m_l$ . The  $m^*$  value is usually taken as a suitable average of  $m_t$  and  $m_l$ <sup>2,3</sup>. In the study of impurity states in Si and Ge, to reduce the labour of evaluating several numerical integrals, several authors have preferred using the SBA<sup>4-7</sup>. These authors, following the suggestion by Kohn<sup>8</sup>, have used an  $m^*$  value obtained by equating the hydrogenic energy expression, for the ground state of the donor, to the accurately evaluated ground state energy of Faulkner<sup>9</sup> in the one-valley model (we call this  $m^*$  value as the energy average). Many of these authors have used the same  $m^*$  value for several excited states also. However, if the energies are equated for the excited states, one gets different effective-masses for different states<sup>6</sup>. Shindo and Nara<sup>7</sup> have used an  $m^*$  obtained from the experimental ionization energy of the P donor, in their many-valley effective-mass theory (MVEMT) for Si. Thus one has different sets of  $m^*$  values for different impurities in a particular semiconductor, since the donor spectra, especially the ground states are strongly impurity-dependent<sup>8</sup>.

Recently, some authors<sup>10</sup> have tried to handle the anisotropic effective-mass hamiltonian without making the SBA. In a MVEMT, even for the donor ground state in Si {1s( $A_1$ ) symmetry} the longitudinal and transverse intervalley terms have to be evaluated either numerically or approximately. In addition, several papers have recently appeared on the proper use of the intervalley terms in the kinetic and potential energy terms<sup>7,11,12</sup>. In these works, the Bloch functions of the conduction band minima appear explicitly, making the calculations more involved numerically. Hence the SBA, we hope, will continue to exist for some more time. In the present work, we demonstrate that there are three ways of choosing  $m^*$  as averages of  $m_t$  and  $m_l$ . The energy average is artificial, and, if used, will lead to complications as explained above. Our  $m^*$  values will be independent of the donors' state and the nature of the impurity, as these are based on a geometrical representation of the mass ellipsoid of the host semiconductor.

The components of the effective-mass tensor are defined by  $(1/m)_{ij} = \partial^2 E / (\partial k_i \partial k_j)$ . A transformation from laboratory-fixed coordinate system to the body coordinate system (usually an orthogonal transformation) brings the above ellipsoid to a diagonal form as:

$$M_{DL} = \begin{pmatrix} 1/m_t & 0 & 0 \\ 0 & 1/m_t & 0 \\ 0 & 0 & 1/m_l \end{pmatrix} \quad \dots (1)$$

Thus the quadratic surface in the body fixed frame of reference is given by

$$\frac{x^2}{(\epsilon_0/m_t)^2} + \frac{y^2}{(\epsilon_0/m_t)^2} + \frac{z^2}{(\epsilon_0/m_l)^2} = 1 \quad \dots (2)$$

with  $(\epsilon_0/m_t)$  and  $(\epsilon_0/m_l)$  as the semimajor and semiminor axes respectively.  $\epsilon_0$  is the static dielectric constant of the host semiconductor. The SBA leads Eq. (2) to a form

$$x \frac{x^{1^2}}{(\epsilon_0/m^*)^2} + \frac{y^{1^2}}{(\epsilon_0/m^*)^2} + \frac{z^{1^2}}{(\epsilon_0/m^*)^2} = 1 \quad \dots (3)$$

which is the equation to a sphere in the normal form. The two sets of coordinates are related by  $x^1 = (m_t/m^*)x$ ,  $y^1 = (m_t/m^*)y$  and  $z^1 = (m_l/m^*)z$ . The matrix associated with Eq. (3) is

$$M_{SPL} = \begin{pmatrix} 1/m^* & 0 & 0 \\ 0 & 1/m^* & 0 \\ 0 & 0 & 1/m^* \end{pmatrix} \quad \dots (4)$$



It can be easily seen that  $M_{SPL} = T^{-1} M_{SDL}$ , where  $T$  is a diagonal matrix that relates  $(x^1, y^1, z^1)$  to  $(x, y, z)$ . Physically,  $M_{SPL}$  can be thought of as obtainable from the original mass ellipsoid  $M$ , by first performing a rotation so that  $M \rightarrow M_{SDL}$ , and then a transformation that either elongates and/or contracts the axes of the ellipsoid to obtain  $M_{SPL}$ . Since  $M_{SPL}$  and  $M_{SDL}$  are not related by a similarity transformation, the norm of a vector (the position vector of a point on the ellipsoid with reference to an arbitrary origin) is not preserved. Hence, there are different ways of mapping an ellipsoid into a sphere.

The characteristic equation of a  $3 \times 3$  matrix is of the form  $\lambda^3 - a\lambda^2 + b\lambda + c = 0$ , where  $a$ ,  $b$  and  $c$  are constants. Writing down the characteristic equations of  $M_{SDL}$  and  $M_{SPL}$  and equating the coefficients of different powers of  $\lambda$ , we get

$$(1/m^*) = (1/3)(2/m_l + 1/m_t) \quad (\text{coeff. of } \lambda^2) \quad \dots (5)$$

$$(1/m^*) = \left\{ \frac{1}{3} \left( \frac{1}{m_t^2} + \frac{1}{m_l m_t} \right) \right\}^{1/2} \quad (\text{coeff. of } \lambda) \quad \dots (6)$$

$$\text{and } m^* = (m_l^2 m_t)^{1/3} \quad (\text{coeff. of } \lambda^0) \quad \dots (7)$$

Eqs. (5) and (7) can be recognized as the optical and density averages respectively. Eq. (6) is a new result which has not been used previously. It is interesting to note that Eq. (5) is obtained by equating the 'lengths' (sum of major and minor axes equal to 3 times the radius of the sphere), Eq. (6) is obtained by equating the 'surface areas' and Eq. (7) follows from equating the volumes. For Si, as an example,  $\gamma = 0.2079$ ,  $m^*$  (optical average) = 0.2588,  $m^*$  (areal average) = 0.28,  $m^*$  (density average) = 0.3216 and  $m^*$  (energy average) = 0.2982 (Ref. 8).

The optical average value of  $m^*$  has been used by Schechter<sup>2</sup> and Tefft *et al.*<sup>13</sup> This value is a little lower and gives a poor value for the donor ionization energy, which differs from the value of Faulkner<sup>9</sup> by about 14% for Si. Both the areal and density averages differ from the energy average value of  $m^*$  by about 7%. The density average is also obtainable from equating the probability densities ( $|\psi(0)|^2$ ) of finding the donor electron at the donor site in the SBA and in the spheroidal model<sup>8</sup> for the ground state. In the one-valley model, except for the  $l=0$  states ( $1s, 2s$  etc.)  $\psi(\gamma)$  vanishes at  $\gamma=0$ . In the MVEMT also, except the  $1s(A_1), 2s(A_1)$  etc. states, the wavefunctions vanish at the donor site for  $1s(E), 1s(T_2)$  and all other excited states. Hence the use of the density-averaged effective-mass is more justified.

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## On Low Frequency Dielectric Behaviour of GaP under Illumination

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The variation, with frequency, of dielectric loss of positively doped GaP, illuminated with different mercury wavelengths, has been investigated in the low frequency region 100 Hz-10 kHz and at a temperature of 300 K. The real and imaginary components of the complex permittivity have been explained on the basis of Debye's dispersion relations.

Gallium phosphide (GaP) is a compound semiconductor belonging to IIIrd-Vth group and has got much importance on account of its specific behaviour on illumination and uses in electro-optical devices. In the present investigation, a GaP wafer [ $A=7$  sq.mm,  $d=0.36$  mm,  $\rho=50$  ohm.cm,  $n=10^{17}$ /cc] obtained from its single crystal was used. This thin wafer of GaP was sandwiched between two conducting glass plates prepared by a special technique<sup>1</sup>, and forms the imperfect capacitor. This was connected to the fourth arm of a Schering bridge<sup>2</sup> (Systronics type 921). The ohmic contacts of the sample with the glass electrodes were ensured before the start of the experiment. The sample was placed in dark for sufficient period and the capacitance and the loss factor were measured by varying the frequency in regular small steps in the range 100 Hz-10 kHz at a temperature of 300 K. The sample was then illuminated by light of wavelength  $\lambda=545$  nm, using calibrated filter and a 100 W mercury vapour lamp and the capacitance and loss factor were measured in the frequency range 100 Hz-10 kHz. The observations were repeated by illuminating the sample with light of wavelengths 405 and 365 nm separately. The values of  $\epsilon'$  and  $\epsilon''$  for GaP sample were computed as described in an earlier work on compound semiconductors<sup>3</sup>. The curves for  $C_0\epsilon'$  and  $C_0\epsilon''$  versus frequency for different mercury wavelengths are shown in Figs 1 & 2 respectively. The change in real and imaginary components of the complex permittivity of the sample may be attributed to the increase in dipoles on illumination as compared to dark, as done by Uchida<sup>4</sup> in the case of ZnS (Cu:Cl). This change may also be explained as due to the excitation of the crystal "defects" on account of doping. But the defect concentration may be of the order of 1 in  $10^{18}$  atoms and hence very small to permit large variations of  $\epsilon'$ <sup>5,6</sup>. In general, the curves (Figs 1 & 2) follow Debye's dispersion relations, given by<sup>7</sup>

$$\epsilon' - \epsilon'(\infty) = \frac{\epsilon'(0) - \epsilon'(\infty)}{1 + \omega^2 \tau^2}$$

and

$$\epsilon'' = \frac{[\epsilon'(0) - \epsilon'(\infty)] \omega \tau}{1 + (\omega \tau)^2}$$

where  $\epsilon'(0)$  and  $\epsilon'(\infty)$  are the static and high frequency values of the dielectric constant,  $\tau$  the relaxation time and  $\omega$  the angular frequency ( $=2\pi f$ ). It is also observed that with the illumination of the sample with

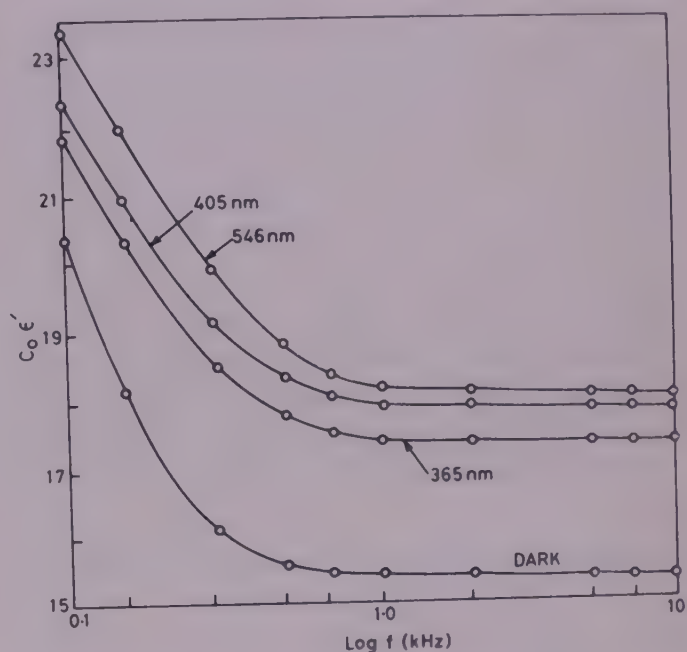


Fig. 1—Variation of  $C_0\epsilon'$  with frequency for dark and illumination

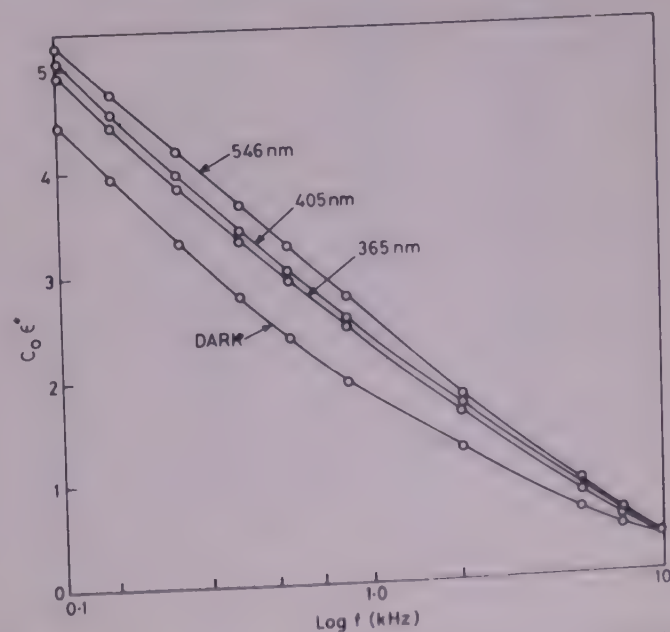


Fig. 2—Variation of  $C_0\epsilon''$  with frequency for dark and illumination



longer wavelengths, the values of both  $C_0\varepsilon''$  and  $C_0\varepsilon''$  are higher on the left, but have a little difference on the extreme right as per provisions of the theory.

Thus, it is concluded that the dielectric properties of GaP follow Debye's dispersion relations in the low frequency region on illumination with light of different wavelengths.

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# On the Application of Perturbation Theory for the Calculation of Molecular Constants from Isotopic Frequency Shifts

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The first-order perturbation theory is used to obtain directly the  $L$ -matrix in the  $2 \times 2$  case from data on isotopic frequency shifts. Out of the two resulting solutions for the  $L$ -matrix one always has that  $L_{12}=0$  is in agreement with the principle of the  $L$  approximation method. The improved approximate  $L$ -matrix so obtained leads to good prediction of the molecular constants. The case of some symmetric nonlinear  $XY_2$  molecules is considered in detail to demonstrate the application of the theory.

Muller and his coworkers have established that the first-order perturbation theory is useful in predicting the isotopic frequency shifts due to small mass changes. They have also pointed out that a direct determination of  $L_0$  from the observed isotopic frequency shifts leads to poor estimates of the force constants (Refs 1 and 2). We are concerned mainly with this problem here.

*Method and calculations from the fundamental relations*

$$GFL = L\lambda \quad \dots (1)$$

$$\text{and } G = LL \quad \dots (2)$$

we get, using the first-order perturbation theory and assumption  $\Delta F = 0$  under isotopic substitution,

$$\tilde{L}_0^{-1} \Delta G L_0^{-1} = \begin{bmatrix} \Delta\lambda_1/\lambda_1^0 & x \\ x & \Delta\lambda_2/\lambda_2^0 \end{bmatrix} \quad \dots (3)$$

(Here the subscript 0 stands for the parent molecule.)

Without loss of generality, we take

$$L_0^{-1} = \Gamma L_{0a}^{-1} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} (L_{0a}^{-1}) \quad \dots (4)$$

with

$$L_{0a}^{-1} = \begin{bmatrix} 1/\sqrt{G_{11}} & 0 \\ -G_{12}/\sqrt{G_{11}\det G} & \sqrt{G_{11}/\det G} \end{bmatrix} \quad \dots (5)$$

such that

$$\tilde{L}_0^{-1} L_0^{-1} = \tilde{L}_{0a}^{-1} L_{0a}^{-1} = G^{-1} \quad \dots (6)$$

Taking  $L_{0a}^{-1} \Delta G L_{0a}^{-1} = Z$  we will get

$$\begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} Z_{11} & Z_{12} \\ Z_{12} & Z_{22} \end{pmatrix} \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \\ = \begin{pmatrix} \Delta\lambda_1/\lambda_1 & x \\ x & \Delta\lambda_2/\lambda_2 \end{pmatrix} = \begin{pmatrix} \delta_1 & x \\ x & \delta_2 \end{pmatrix} \quad \dots (7)$$

From the above relations we get

$$(Z_{22} - \delta_1)\tan^2 \theta - 2Z_{12}\tan \theta + (Z_{11} - \delta_1) = 0 \quad \dots (8a)$$

$$(\delta_2 - Z_{11})\tan^2 \theta - 2Z_{12}\tan \theta + (\delta_2 - Z_{22}) = 0 \quad \dots (8b)$$

$$\text{We have the sum rule } Z_{11} + Z_{22} = \delta_1 + \delta_2 \quad \dots (9)$$

Combining Eqs (8a) and (8b) we get two values of  $\theta$ ,  $\theta_L$  and  $\theta_h$  with  $\theta_L < \theta_h$ . The apparent success of  $L$ -approximation method ( $\theta = 0$ ) makes us to choose  $\theta_L$  as correct values of  $\theta$ . We write

$$L_j = L_{0a} \begin{pmatrix} \cos \theta_L & \sin \theta_L \\ -\sin \theta_L & \cos \theta_L \end{pmatrix} \quad \dots (10)$$

With such improved approximate  $L_f$ , we have calculated the force constants and other molecular constants. The force constants are reported in Table 1 and compared with already available values (given in brackets). The Coriolis coupling constants ( $\zeta$ ) are reported in Table 2 and the centrifugal distortion

Table 1—Force Constants (in mdyn/Å) for Nonlinear Symmetrical  $XY_2$  Molecules

Molecule	$F_{11}$	$F_{12}$	$F_{22}$	Ref.
$H_2O$	8.3550 (8.354 ± 0.005)	0.3557 (0.334 ± 0.049)	0.7631 (0.760 ± 0.004)	8
$D_2O$	8.3572 (8.355)	0.3501 (0.345)	0.7623 (0.761)	8
$H_2S$	4.2731 (4.2731 ± 0.0008)	0.0522 (0.064 ± 0.01)	0.4248 (0.42 ± 0.0002)	4
$SO_2$	10.2633 (10.34 ± 0.2)	0.4946 (0.25 ± 0.21)	0.7939 (0.816 ± 0.007)	5
$NO_2$	12.9799 (12.9746)	0.5605 (0.5529)	1.1240 (1.1252)	6
$ClO_2$	7.0978 (7.1508)	0.5557 (0.2557)	0.6726 (0.6324)	6

Table 2— $\zeta$ -Values of Nonlinear Symmetrical  $XY_2$  Molecules Calculated Using Isotopic Frequencies

Molecule	Present work	Ref & experimental value
$^{16}O_3$	-0.58	-0.60 (Ref. 7)
$^{32}S^{16}O_2$	-0.28	-0.31 (Ref. 3)
$^{35}Cl^{16}O_2$	-0.22	-0.26 (Ref. 9)



Table 3 Centrifugal Distortion Constants (in MHz) of Nonlinear Symmetrical XY<sub>2</sub> Molecules

Molecule	$-\tau_{xxx}$	$-\tau_{yyy}$	$+\tau_{xxyy}$	$-\tau_{xyxy}$	Ref.
H <sub>2</sub> O	2601(2801)	254.8(360)	544.5(608.55)	117.2(150.53)	10
H <sub>2</sub> S	230.1 (247.56 $\pm$ 0.16)	145.1 (151.25 $\pm$ 0.16)	—	30.0 (27.2)	4
D <sub>2</sub> S	64.92 (67.963 $\pm$ 0.012)	36.34 (36.647 $\pm$ 0.012)	—	7.95 (7.9)	4
<sup>14</sup> NO <sub>2</sub>	289.75(299.4)	0.0431(0.0414)	1.8562(1.843)	0.246(0.2453)	11
<sup>15</sup> NO <sub>2</sub>	260.70(273.4)	0.0437(0.0414)	1.774(1.761)	0.2447(0.2445)	11
<sup>16</sup> O <sub>3</sub>	25.44(23.92)	0.0681(0.0712)	0.4933(0.52046)	0.2729(0.25773)	12
<sup>18</sup> O <sup>16</sup> O <sub>2</sub>	20.99(20.92)	0.0689(0.0715)	0.4823(0.4705)	0.2681(0.2713)	12
<sup>16</sup> O <sup>18</sup> O <sub>2</sub>	22.73(23.34)	0.0554(0.05622)	—	0.2194	12
<sup>32</sup> SO <sub>2</sub>	9.8344(9.8098)	0.0370(0.0397)	0.4850(0.4117)	0.0558(0.0532)	6

constants in Table 3. The experimentally observed values are also noted in brackets for comparison. The agreement between our values and literature values is very satisfactory.

**Conclusion**—Thus in conclusion it may be pointed out that the first-order perturbation theory as detailed above can be used reliably to evaluate the molecular constants of molecules involving the  $2 \times 2$  matrix problem from data on isotopic frequency shifts. This looks contrary to what Muller<sup>1</sup> has observed that this method, "leads to poor estimates of force constants". This may be because of taking the off diagonal elements in Eq. (3) as zeroes in their methods. The extension of this method to  $3 \times 3$  case is under progress.

We thank Rev Fr G A Savari Raj, P.G. Professor and Head of the Dept of Physics, St. Joseph's College (Autonomous) for his encouragement and the authorities of St. Joseph's College for making the facilities available to us.

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## $e^-$ -He Elastic Scattering in the Two-Potential HHOB Approximation

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The two-potential formulation in the high energy higher order Born approximation (HHOB) is applied to the case of elastic scattering of electrons by helium atom, at intermediate energies. The calculated scattering parameters are found to be in good agreement with other theoretical and experimental data.

The two-potential formulation in HHOB<sup>1</sup> is developed along the same lines as the two-potential eikonal approximation<sup>2</sup>. The basic idea in this formulation is to pull out from the interaction potential  $V$  an arbitrary potential  $V_1$  such that the rest of the interaction  $V_0 = V - V_1$  satisfies semiclassical conditions. For the scattering of an electron from the helium atom, the interaction potential is given by

$$V(r_0, r_1, r_2) = \frac{-2}{r_0} + \sum_{j=1}^2 \frac{1}{|r_0 - r_j|}$$

where  $r_0$ ,  $r_1$ , and  $r_2$  are the position vectors of the incident and target electrons. For  $V_1$ , we have chosen the static potential  $V_{st}$  given by Bonham and Strand<sup>3</sup>. Hence  $V_0 = V - V_{st}$  is slowly varying and  $|V_0| \ll E$  for all  $r_0$ .

Now the contribution of  $V_0$  to the scattering amplitude can be obtained in the HHOB approximation and that of  $V_{st}$  by partial wave analysis (PWA). The transition amplitude from the target state  $|i\rangle$  to be state  $|f\rangle$  is given as

$$F_{fi}(\theta) = F_{HHOB} + F_{PW}$$

where  $F_{HHOB} = f_{i \rightarrow f}^{(1)} + f_{i \rightarrow f}^{(2)} + \dots$

as given by Yates for the potential  $V_0 = V - V_{st}$  and  $F_{PW}$  is the scattering amplitude in the PWA.

For the ground state of helium, we have used the Hartree-Fock orbitals  $\phi_{1s}$  of Byron and Joachain<sup>4</sup>. The first and second Born amplitudes in HHOB are obtained in the closed form<sup>1,5</sup>. Similarly  $F_{HHOB}$  is calculated for the potential  $V_0$ . The procedure for the summation of the partial waves is similar to that given by Jhanwar *et al.*<sup>6</sup> Knowing the scattering amplitudes, the differential cross-section (DCS) can be obtained through  $O(1/k_i^2)$ .

The total cross-section is calculated using the formula

$$\sigma_{\text{total}} = \frac{4\pi}{k_i} \text{Im} F(\theta=0)$$

The DCS curve obtained in the present analysis at 200 eV is shown in Fig. 1. As expected, the present

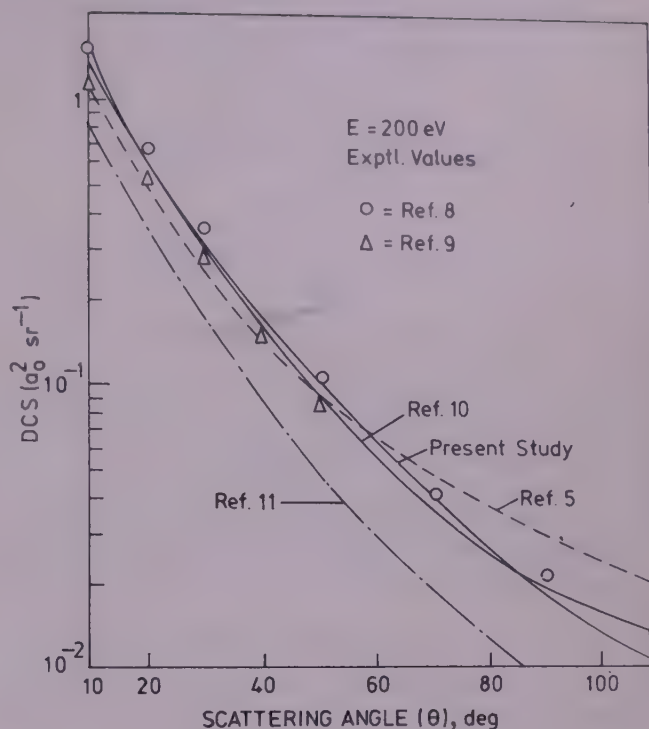


Fig. 1—DCS for electron-helium elastic scattering at 200 eV

Table 1—Comparison of the Total Cross-sections (in units of  $a_0^2$ ) for  $e^-$ -He Scattering from Different Sources

Energy eV	Present study	Ref. 5 (HHOB)	Ref. 10		
			Winters <i>et al.</i>	Byron & Joachain	EBS
200	3.58	2.93	3.55	3.37	2.92
400	2.08	1.69	2.00	1.86	1.71

results agree well with other available data: both experimental<sup>7-9</sup> and theoretical<sup>5,10,11</sup>. It may be noted that the two-potential formulation in HHOB approximation yields better results than the simple HHOB approximation, especially at large angles. The total cross-section (TCS) results shown in Table 1 are also encouraging.

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## Potential Energy Function for Diatomic Molecules

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The potential function for diatomic molecules suggested by Raghuvanshi and Sharma [Indian J Pure & Appl Phys, 16 (1978) 1071] is investigated further. The expressions for  $\alpha_e$  and  $\omega_e x_e$  are derived in terms of a parameter defined as  $\Delta = a^2 r_e^2 + 1$ . The  $\omega_e x_e$  values for a few diatomic molecules are calculated using this function and are compared with experimental values. The results indicate that the potential is a good approximation for diatomic molecules.

The potential function suggested by Raghuvanshi and Sharma<sup>1</sup> is a combination of Morse<sup>2</sup> and Kratzer<sup>3</sup> potential functions, hereafter we will refer to it merely as MK potential and is of the form

$$U(r) = D_e [1 - \exp(-a\rho)]^2 + \frac{D_e \rho^2}{r^2} - 2D_e \quad \dots (1)$$

At  $r = r_e$ ,  $U(r_e)$  is  $-2D_e$  in the above function. In order to make  $U(r_e) = 0$ , the last term can be omitted without affecting the derivations of  $\alpha_e$  and  $\omega_e x_e$ . This function satisfies all the criteria required for a suitable potential function. The derivatives are

$$U''(r_e) = 2D_e \left( a^2 + \frac{1}{r_e^2} \right)$$

$$U'''(r_e) = -6D_e \left( a^3 + \frac{2}{r_e^3} \right)$$

$$U^{IV}(r_e) = 2D_e \left( 7a^4 + \frac{36}{r_e^4} \right)$$

Following Varshini<sup>4</sup>,

$$\alpha_e = - \left[ \frac{X r_e}{3} + 1 \right] \frac{6B_e^2}{\omega_e}$$

$$\text{where } X = \frac{U'''(r_e)}{U''(r_e)}$$

and anharmonicity constant

$$\omega_e x_e = \left[ \frac{5}{3} X^2 - Y \right] \frac{W}{\mu_A} \quad \text{where} \quad Y = \frac{U^{IV}(r_e)}{U''(r_e)} \quad \dots (2)$$

and  $W = 2.1078 \times 10^{16}$ .

The values of  $X$  and  $Y$  are

$$X = \frac{-3}{r_e} \left( \frac{a^3 r_e^3 + 2}{a^2 r_e^2 + 1} \right) \quad \text{and} \quad Y = \frac{7a^4 r_e^4 + 36}{(a^2 r_e^2 + 1)r_e^2}$$

The second derivative at  $r = r_e$  must be equal to a force constant  $k_e$  which gives  $\Delta = a^2 r_e^2 + 1$  or  $a r_e = (\Delta - 1)^{\frac{1}{2}}$ ;  $a^2 r_e^2 = (\Delta - 1)$  etc.

By putting the values of  $X^2$  and  $Y$  in Eq. (2), we get

$$\omega_e x_e = \left\{ \frac{15(a^3 r_e^3 + 2)^2}{(a^2 r_e^2 + 1)^2 r_e^2} - \frac{7a^4 r_e^4 + 36}{(a^2 r_e^2 + 1)r_e^2} \right\} \frac{W}{\mu_A}$$

Substituting  $x$  for  $a r_e$  for simplicity, we get

$$\omega_e x_e = \left\{ \frac{8x^6 - 7x^4 + 60x^3 - 36x^2 + 24}{(x^2 + 1)^2} \right\} \frac{W}{\mu_A r_e^2}$$

Using  $\Delta = x^2 + 1$ , we get

$$\omega_e x_e = \{ 8\Delta^3 - 31\Delta^2 + 2\Delta + 45 + 60(\Delta - 1)^{\frac{3}{2}} \} \frac{W}{\mu_A r_e^2 \Delta^2}$$

or

$$\omega_e x_e = \left\{ 8\Delta - 31 + \frac{2}{\Delta} + \frac{45}{\Delta^2} + \frac{60(\Delta - 1)^{3/2}}{\Delta^2} \right\} \frac{W}{\mu_A r_e^2} \quad \dots (3)$$

The values of  $\omega_e x_e$  calculated using Eq. (3) are presented in Table 1. For this purpose the constants were taken from the bibliography of Huber and Herzberg<sup>5</sup>. The average % error in  $\omega_e x_e$  of those randomly selected molecules, using the present

Table 1—Anharmonicity Constants

Molecule*	$\omega_e x_e$ (Exptl)	$\omega_e x_e$ (Calc.)	% error
Br <sub>2</sub>	1.0774	1.458	+35.33
CH	63.0	53.28	-15.42
Cl <sub>2</sub>	2.67	3.24	+21.30
CO	13.2883	10.2122	-23.15
CdH	46.3	62.1	+34.07
H <sub>2</sub>	121.336	95.49	-21.30
HBr	45.21	43.42	-3.97
HCl	52.82	46.24	-12.45
HF	89.88	81.23	-9.62
HI	39.64	41.61	+4.96
HgH <sup>+</sup>	40.9	31.82	-22.20
I <sub>2</sub>	0.614	0.825	+34.44
ICl	1.501	1.847	+23.04
Li <sub>2</sub>	2.610	2.760	+5.74
K <sub>2</sub>	0.2829	0.4100	+44.93
N <sub>2</sub>	14.324	14.095	-1.59
NO	14.075	13.978	-0.69
Na <sub>2</sub>	0.7254	0.8478	+16.87
OH	84.88	71.09	-16.25
O <sub>2</sub>	11.98	12.26	+2.3
P <sub>2</sub>	2.835	2.165	-28.81
SO	5.63	6.26	+11.19
ZnH	55.14	71.28	+29.26
AuAl	1.163	0.818	-29.78
GeSe <sup>o</sup>	1.36	0.858	-36.89
He <sub>2</sub> <sup>+</sup>	35.3	27.52	-22.20
Si <sub>2</sub>	2.02	1.88	-6.93

\*Ground states

potential function, is  $\pm 20.69$  which is much less compared to that from the Morse function, viz.  $\pm 26.82\%$ . This clearly indicates that the MK potential is a good approximation to a potential of diatomic molecules.

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## Comparative Study of Spectral & Magnetic Characteristics of Some Mn(II) & Co(II) Complexes

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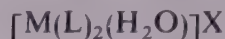
Synthesis of Mn(II) and Co(II) complexes having the molecular formula  $[M(L)_2(H_2O)_2]X$ , where L stands for ethylenediamine or propylenediamine or diethylenetriamine and X stands for  $(BeF_4)^{2-}$  or  $(BF_4)^{2-}$  or  $(TiF_6)^{2-}$  or  $(SiF_6)^{2-}$  has been described. The complexes have been characterized by infrared, magnetic moment, crystal field splitting energy and nephelauxetic ratio data. The results show that the orbital overlapping in the metal-ligand bond in Mn(II) complexes is comparatively more as compared to that in Co(II) complexes.

The ligand field theory, involving the electronic spectral parameters<sup>1-5</sup> such as Slater-Condon,  $F_K$  (interelectronic repulsion parameters), Racah,  $B$  and  $C$  (which are linear combinations of  $F_K$  parameters), crystal field splitting energy parameter,  $10 D_q$ , and spin-orbit coupling constant,  $\lambda$ , is still studied in comparison to molecular orbital theory for transition metal complexes. This is because of the fact that the former has relatively a straightforward and simple approach. In the present note, a comparative study of spectral and magnetic characteristics of some Mn(II) and Co(II) complexes synthesized in our laboratory has been made.

**Synthesis**—The BDH chemicals were used in the synthesis of the present complexes. The synthesis of these complexes was carried out in two steps. In the first step, the metal fluoro salts were prepared by treating the respective metal carbonate with slight excess of different fluoro acids, viz. hydrofluoro beryllic acid, hydrofluoroboric acid, hydrofluorotitanic acid and hydrofluoro silicic acid. The reactions were carried out in a polytheneware assembly. The fluoro salts of Mn(II) and Co(II) were obtained from filtrate by slow crystallization. The crystals were washed with ethanol followed by ether and dried in vacuum.

In the second step, the fluoro salts so prepared were then mixed with different amine ligands in ethanol in the molar ratio 1 : 2. The different mixtures were kept at a temperature between 60 and 80 °C for 1 hr. The dark

brown crystals were separated out. These were washed with ethanol followed by ether and dried in vacuum. The yield was 80-90 %. The analytical data suggest that the complexes have the following chemical formula.



where L = ethylenediamine or propylenediamine or diethylenetriamine and  $X = (BeF_4)^{2-}$  or  $(BF_4)^{2-}$  or  $(TiF_6)^{2-}$  or  $(SiF_6)^{2-}$ .

**Absorption spectra**—The absorption spectra of the complexes in dimethylformamide (DMF) solution were recorded in Carl-Zeiss VSV-2 spectrophotometer in the region 350 to 950 nm.

**Magnetic measurements**—A Gouy-type magnetic balance was used to determine the magnetic moment data for the complexes in DMF solution. The room temperature was kept at 300 K.

**Infrared spectra**—The infrared spectra of the complexes in KBr pellets in the region 4000-400  $cm^{-1}$  were obtained from ATIRA, Ahmedabad and CDRI, Lucknow.

**Infrared data supporting the chemical formula**—In the infrared spectra, the strong bands appearing between 415  $cm^{-1}$  and 460  $cm^{-1}$ , 1500  $cm^{-1}$  and 1525  $cm^{-1}$  and 1010  $cm^{-1}$  and 1015  $cm^{-1}$  have been assigned the group frequencies<sup>6-8</sup> as  $\nu_{M-N}$ ,  $\nu_{S(C-N)}$  and  $\nu_{S(C-N+coord\ water)}$  respectively. This shows that the metal ion is coordinated with nitrogens of the amine ligand and water is present in the form of coordinated water. In this way the infrared data support the chemical formula given earlier.

**Electronic spectral and magnetic characteristics**—The values of  $10 D_q$  in case of Mn(II) complexes were computed by using the Orgel diagram<sup>4</sup>. In the Orgel diagram the energy level curve due to  $v_1$  transition ( $6A_{1g} \rightarrow 4T_{1g}$ ) was used. In case of Co(II) complexes the values of  $10 D_q$  were computed by using the relation<sup>2,3</sup> as follows:

$$10 D_q = \nu_2 - \nu_1$$

The computed values of  $\mu_{eff}$  (in Bohr Magnetons) at 300 K and  $10 D_q$  (in  $cm^{-1}$ ) are presented in Table 1. The values of  $\beta$  (nephelauxetic ratio) and  $h_X$  (nephelauxetic parameter for the ligand) were also computed by using the methods reported earlier<sup>1,5</sup> and are given in Table 1.

The reported value of  $10 D_q$  shows that the Mn(II) complexes have a better orbital overlap in the metal

Table 1—Computed Values of  $\mu_{\text{eff}}$ ,  $10D_q$ ,  $\beta$  &  $h\chi$  for Some Mn(II) & Co(II) Complexes

Metal complex*	Mn(II) complexes				Co(II) complexes			
	$\mu_{\text{eff}}$	$10D_q$	$\beta$	$h\chi$	$\mu_{\text{eff}}$	$10D_q$	$\beta$	$h\chi$
$[\text{M}(\text{L}_1)_2(\text{H}_2\text{O})_2]\text{X}_1$	5.33	10720	0.79	3.00	6.00	9761.0	0.86	0.58
$[\text{M}(\text{L}_2)_2(\text{H}_2\text{O})_2]\text{X}_1$	5.82	10830	0.79	3.00	5.95	9541.7	0.87	0.54
$[\text{M}(\text{L}_3)_2(\text{H}_2\text{O})_2]\text{X}_1$	6.01	10860	0.78	3.14	5.95	9568.2	0.85	0.50
$[\text{M}(\text{L}_1)_2(\text{H}_2\text{O})_2]\text{X}_2$	6.20	10660	0.81	2.71	6.15	9323.2	0.84	0.66
$[\text{M}(\text{L}_2)_2(\text{H}_2\text{O})_2]\text{X}_2$	5.91	10640	0.75	3.57	5.18	9596.3	0.85	0.62
$[\text{M}(\text{L}_3)_2(\text{H}_2\text{O})_2]\text{X}_2$	5.96	10780	0.77	3.28	6.20	9485.2	0.91	0.37
$[\text{M}(\text{L}_1)_2(\text{H}_2\text{O})_2]\text{X}_3$	5.62	10530	0.77	3.28	6.15	9385.2	0.85	0.62
$[\text{M}(\text{L}_2)_2(\text{H}_2\text{O})_2]\text{X}_3$	6.20	10580	0.75	3.57	6.05	9426.1	0.92	0.33
$[\text{M}(\text{L}_3)_2(\text{H}_2\text{O})_2]\text{X}_3$	5.61	10620	0.74	3.71	5.90	9448.3	0.93	0.29
$[\text{M}(\text{L}_1)_2(\text{H}_2\text{O})_2]\text{X}_4$	6.28	10450	0.78	3.14	6.05	9755.2	0.86	0.58
$[\text{M}(\text{L}_2)_2(\text{H}_2\text{O})_2]\text{X}_4$	6.30	10660	0.76	3.43	5.95	9822.3	0.88	0.50
$[\text{M}(\text{L}_3)_2(\text{H}_2\text{O})_2]\text{X}_4$	5.64	10580	0.75	3.57	5.45	9803.1	0.89	0.45

\* $\text{L}_1$ ,  $\text{L}_2$  and  $\text{L}_3$  are ethylenediamine, propylenediamine and diethylenetriamine while  $\text{X}_1$ ,  $\text{X}_2$ ,  $\text{X}_3$  and  $\text{X}_4$  are  $(\text{BeF}_4)^{2-}$ ,  $(\text{BeF}_4)_2^{2-}$ ,  $(\text{TiF}_6)^{2-}$  and  $(\text{SiF}_6)^{2-}$  ions respectively.

ligand bonding as compared to Co(II) complexes under the present study. This has been further supported by the values computed for  $\beta$  and  $h\chi$  (Table 1). In this way the applicability of the ligand field theory has been established.

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## Proton Magnetic Resonance and Molecular Ordering in Liquid Crystals

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A study of the proton magnetic resonance of liquid crystalline materials *p*-(*p*-ethoxyphenylazo)phenyl heptanoate and cholesteryl cinnamate is reported in this note. By studying the dipolar splittings, order parameters have been estimated, the values of which are in good agreement with those obtained by optical studies.

The study of liquid crystal forming materials has gained immense importance in recent years due to a wide variety of applications. One of the principal structural characteristics of liquid crystals is the degree of molecular order  $S = (3\cos^2\theta - 1)/2$ , where  $\theta$  is the angle between the long axes of the molecules and the direction of the axis of preferential orientation (the director). The molecular ordering in the mesophase has been investigated by refractive index, optical circular dichroism, optical rotatory dispersion, proton magnetic resonance (PMR), electron spin resonance (ESR), magnetic compliance and IR and UV light absorption studies<sup>1-3</sup>. Presently, we have evaluated the order parameters of *p*-(*p*-ethoxyphenylazo)phenyl heptanoate (EPPH) and cholesteryl cinnamate (CC) by studying the dipole-dipole splittings in the wide line PMR spectra. The order parameters obtained by the wide line PMR studies are compared with those obtained by the optical studies.

**Order parameter**—The molecular packing coefficient studies of the homologous series of cholesteric and nematic liquid crystals show that these two types of liquid crystals are thermodynamically exactly similar and show similar trends in exhibiting additional smectic phases when the alkyl chain is lengthened<sup>4,5</sup>. Further, we see from these studies that odd-even phenomena of several physical properties within a given homologous series, and the micro variations in *S*-factors are functions of asymmetry or symmetry of the end alkyl groups, and are independent of the central rigid core. These results have also been confirmed theoretically<sup>6-8</sup>. We can therefore treat the cholesteric phase as a twisted nematic phase with a molecular ordering very similar to that of the nematic ordering. Hence there is justification in treating the cholesteric and nematic ordering in the same way.

The doublet splitting  $\delta H$  arising from the dipole-dipole interaction of the adjacent ring protons was

used to calculate the order parameter *S* by means of the equation<sup>3</sup>

$$\delta H = 4\alpha(\frac{3}{2}\cos^2\varphi - \frac{1}{2})S$$

The dipolar spectrum shows good agreement with the results calculated for dipole interaction of *ortho* protons of the phenyl ring. For proton dipole-dipole interaction,  $\alpha = \frac{3}{2}\mu_H r_{H-H}^{-3}$ , where  $\mu_H$  is the proton nuclear moment and  $r_{H-H}$  is the distance between the two interacting protons. For EPPH, we assumed  $r_{H-H} = 2.45 \text{ \AA}$  and  $\varphi = 10^\circ$ , so that  $\delta H = 5.50 S$  (in G). In the case of CC, the *ortho* protons of the phenyl ring system<sup>3</sup> are responsible for the dipolar splitting in the wide line PMR spectra. The values of  $r_{H-H}$  and  $\varphi$  are taken to be  $2.90 \text{ \AA}$  and  $10^\circ$  respectively<sup>3</sup>. The doublet splitting  $\delta H = 3.33 S$ .

**Experimental details**—The commercially available compounds EPPH (supplied by Eastman Kodak, USA), and CC (supplied by Varilight Corporation, USA) were purified by successive recrystallization. The wide line PMR spectra were recorded at different temperatures using Varian Associates V4200 NMR spectrometer. The experimental details are already described in an earlier paper<sup>3</sup>. The sweep rate was 6.24 G/min.

**Results and discussion**—Fig. 1 gives the representative PMR spectra for the two samples, each at two different temperatures. We notice that there is no overlap of the central and side PMR peaks, avoiding the necessity of second moment calculations. Most of the reported NMR spectra for various compounds show two- or three-component spectra. Also, it is clear that the two broad components arise due to the high modulation amplitude<sup>9</sup>. The central peak which is prominent in EPPH may be assigned to the alkyl protons. Figs. 2 and 3 give the doublet separations ( $\delta H$ ) and the order parameters for EPPH and CC respectively. The *S* values of EPPH obtained by refractive index measurements are also given for comparison<sup>10</sup>. There is good agreement between the two sets of values, but the values of *S* obtained by birefringence data are slightly smaller than those obtained from PMR data. This feature has been observed for all other liquid crystalline materials and has been explained earlier<sup>11-13</sup>. The temperature dependence of *S*-factor of EPPH is in good agreement with the results obtained for other compounds of the homologous series<sup>10</sup>.

We have also determined the *S*-factor for CC at only one temperature ( $S = 0.42$  at  $95.5^\circ\text{C}$ ) as mesomorphic range is extended over just one degree ( $95-96^\circ\text{C}$ ). The *S*-

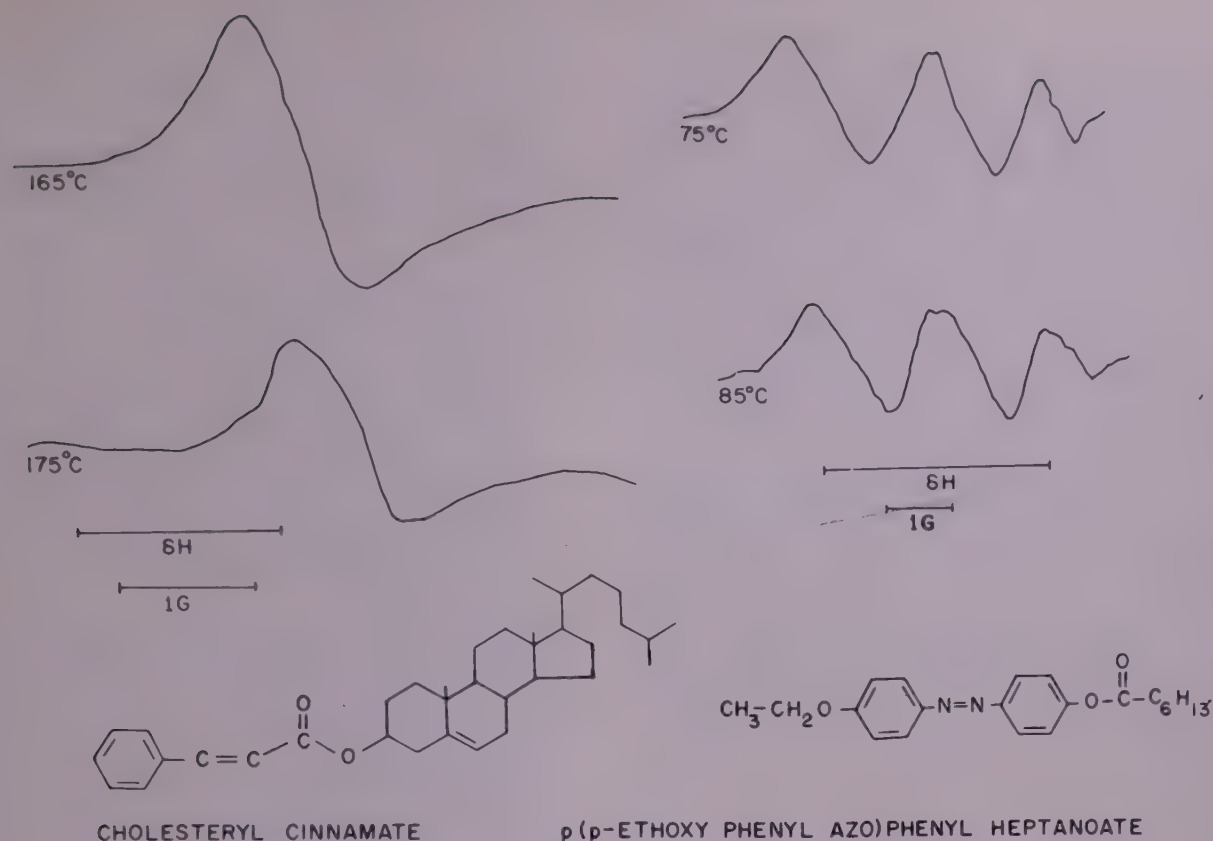


Fig. 1—Representative PMR spectra (along with their structures) for: (a) *p*(*p*-ethoxyphenylazo)phenyl heptanoate (solid  $\longleftrightarrow$  cholesteric  $\longleftrightarrow$  isotropic) and (b) cholesteryl cinnamate (solid  $\longleftrightarrow$  nematic  $\longleftrightarrow$  isotropic) at two different temperatures

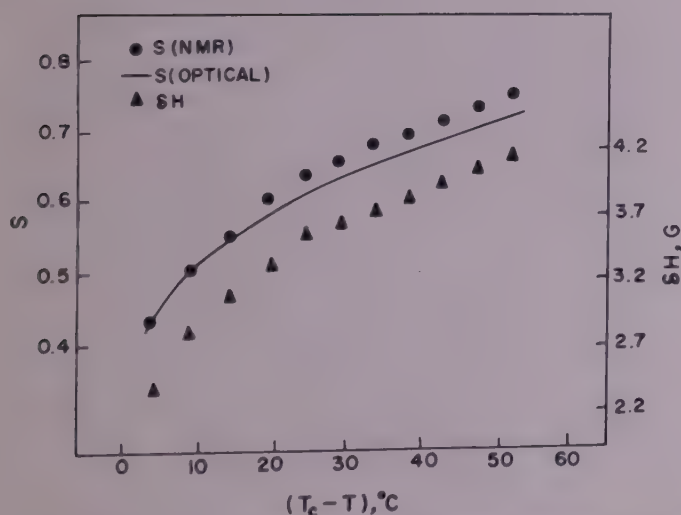


Fig. 2—Dipole-dipole splittings and order parameter versus temperature for *p*(*p*-ethoxyphenylazo)phenyl heptanoate

factor is comparable with those of other cholesteric liquid crystals.

The order parameter of CC (cholesteric liquid crystal) is lower compared to EPPH (nematic liquid crystal). This feature can be explained as follows. From the macroscopic point of view cholesteric liquid crystals (CC) can be comprehended as spontaneously twisted nematics. As such it is quite possible to characterize the average orientation of molecules in a

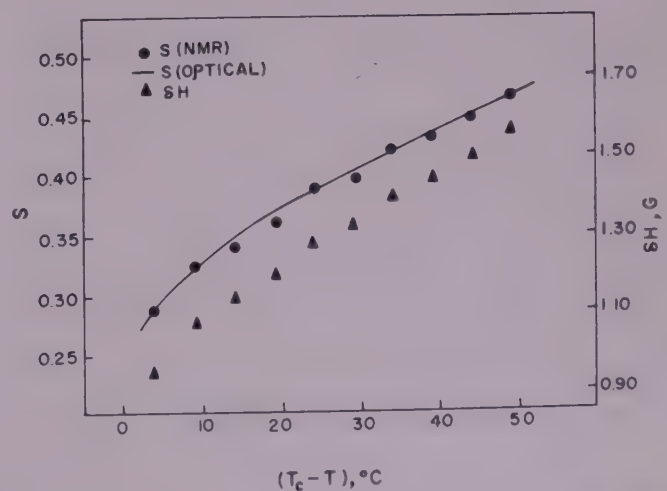


Fig. 3—Dipole-dipole splittings and order parameter versus temperature for cholesteryl cinnamate

cholesteric phase with the same set of order parameters as in nematics. It is known<sup>14,15</sup> that dispersion forces in aromatic molecules are larger and more anisotropic than in aliphatic molecules. The sterol groups which form the rigid part of cholesteric-forming molecules are nonaromatic and have smaller dispersion forces, whereas typical nematogenic compounds contain aromatic rings linked by double or triple bonds. Since the dispersion forces are responsible for orientational



order in liquid crystals, the values of the orientational order parameter at the isotropic transition and the dependence of this order on external parameters like temperature in cholesterics will be different from nematics. Also, the interaction between molecules of cholesteric liquid crystal differs from that between molecules of nematic liquid crystal. The above study shows how wide line PMR spectra can be utilized for the determination of the order parameter for both cholesteric and nematic liquid crystals and confirms earlier theoretical and experimental studies on ordering in liquid crystals<sup>6-8</sup>.

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## Proton Magnetic Resonance Studies on 2-Methylbenzoxazole

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Proton magnetic resonance studies have been made on 2-methylbenzoxazole in the temperature range 77-300 K. Assuming C—C and C—H distances obtained from interatomic tables, the second moment ( $S_2$ ) is calculated in the presence of  $\text{CH}_3$  reorientation which is tallying with the experimental  $S_2$  value in the temperature range 77-262 K. The fall of  $S_2$  from  $6 \pm 1\text{G}^2$  to  $0.4 \pm 1\text{G}^2$  in the temperature range 262-300 K indicates general molecular reorientation. This reorientation is found to be associated with an activation energy of  $10.0\text{kJ mol}^{-1}$ .

In this note proton magnetic resonance (PMR) studies carried out in the temperature range 77-300 K on a frozen 2-methylbenzoxazole sample are presented. The 2-methylbenzoxazole which is in liquid form at 300 K is found to form many complexes with bivalent metal ions like cobalt(II), nickel(II), copper(II) and zinc(II)<sup>1,2</sup>. Another interesting feature with this compound is that it possesses methyl functional group which can reorient at favourable temperatures and this reorientation has significant effect on the width of the wide-line NMR signal and so also the second moment value. Also, the crystal structure of this compound in its frozen state has not been investigated. Hence, in view of these above features it is felt that the present PMR studies could provide substantial information about the structure and rigidity of the molecule and the molecular and/or intramolecular reorientation through the temperature dependence of the PMR second moment.

**Materials and methods**—Using analar grade benzoxazole, this sample was prepared by us and chemical analysis has been made for confirmation.

The PMR studies were undertaken on a laboratory-made wide-line NMR spectrometer at a fixed frequency of 12 MHz, whose details are given elsewhere<sup>3</sup>. The first derivative PMR signals were recorded at temperatures ranging from 77 to 300 K.

**Results and discussion**—The first derivative PMR signals of the complex under investigation were used to calculate the values of the second moment ( $S_2$ ), at

different temperatures, by standard methods<sup>4</sup>. The unit of the  $S_2$  is  $10^{-2} (\text{mT})^2$ . The variation of  $S_2$  of this compound with temperature is shown in Fig. 1. From this figure it is evident that the  $S_2$  of 2-methylbenzoxazole is constant at  $6.0 \pm 1$  in the temperature range 77-262 K and a rapid fall in  $S_2$  from the above value to  $0.4 \pm 1$  is noticed between 262-300 K. The above mentioned  $S_2$  transition of 2-methylbenzoxazole indicates some kind of intramolecular or molecular reorientation.

In order to find the temperature below which the lattice of this compound is rigid, the theoretical rigid lattice second moment [ $S_2(\text{rig})$ ] has to be calculated and compared with the experimental  $S_2$  at different temperatures. The theoretical  $S_2(\text{rig})$  can be calculated by making use of the Smith's modified formula<sup>5-7</sup> of van Vleck<sup>8</sup>. Since the crystal structure of the sample under investigation is not known the intramolecular contribution ( $S_2'$ ) to  $S_2(\text{rig})$  is estimated by Slitcher's method<sup>9</sup> and using the assumptions given below. In this method the interatomic distances are evolved by choosing the C—C side bond, C—C ring and C—H bond lengths as equal to 1.54, 1.39 and 1.10 Å respectively. In the present case, the above values are used to estimate the H—H distances in the benzene ring. The H—H distances in the single methyl group are taken as 1.79 Å on the basis of the tables of interatomic distances<sup>10</sup>. Using the above interatomic distances  $S_2'$  comes out to be 11.3. Since no crystal structure is available, a reasonable value for intermolecular contribution ( $S_2''(\text{rig})$ ) is obtained from Smith's method<sup>6</sup> where this  $S_2''(\text{rig})$  is given as a function of the number of protons present in the sample. Since the number of protons in the present case is seven, from the above method the value of  $S_2''(\text{rig})$  can be taken as 6.8. From the above assumptions the  $S_2(\text{rig})$  comes out to be 17.8. But this is very much higher compared to the experimental value at 77 K ( $6.0 \pm 1$ ). Therefore, it is evident that our lowest attainable temperature was incapable of freezing out whatever

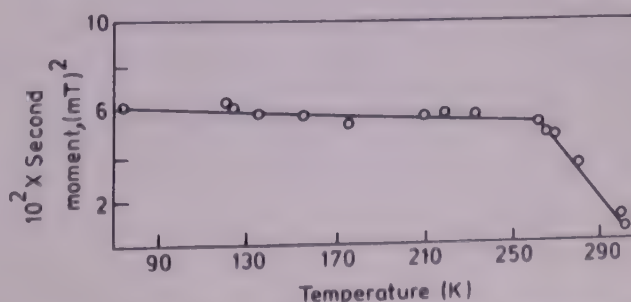


Fig. 1—Variation of proton second moment with temperature for 2-methylbenzoxazole



motion that lead to a low  $S_2$  value of  $6.0 \pm 1$  in between 77 and 262 K. Similar discrepancy in  $S_2$  has been noticed in the case of xylenes<sup>11</sup> and tetramethyl silane<sup>6</sup>.

Since at low temperatures the whole molecule cannot undergo reorientation about any symmetry axis and as it is evident that there is no functional group other than the  $\text{CH}_3$  group to undergo any reorientation, the low values of  $S_2$  in the temperature range 77-262 K is interpreted as the result of rapid reorientation of the methyl group about their  $C_3$  symmetry axis. This conclusion is qualified by estimating the  $S_2$  in the presence of this group reorientation by standard procedure<sup>12,13</sup> which comes out to be 6.4. This value is very much consistent with the experimental  $S_2$  value  $6 \pm 1$  in the temperature range 77-262 K.

At temperatures higher than 262 K, the gradual decrease in  $S_2$  suggests a slow onset of rotation of the molecule. At 300 K when the compound is in liquid state the value of  $S_2$  comes out to be very small ( $\sim 0.4$ ) as expected.

The correlation frequencies associated with the  $S_2$  transition are calculated from the modified Bloembergen *et al.*<sup>14-16</sup> equation which is shown below

$$\ln \nu_c = \ln \frac{\gamma M^2}{2\pi} - \ln \tan \frac{\pi}{2} \left[ \frac{M - M_{\text{HT}}}{M_{\text{LT}} - M_{\text{HT}}} \right] \quad \dots (1)$$

The constants in the above expression have their usual meanings. These reorientation frequencies are assumed to fit in the Arrhenius equation  $\nu_c = \nu_0 \exp(-E_v/RT)$ . Therefore, a plot of  $\ln \nu_c$  versus  $1000/T \text{ K}^{-1}$  yields a straight line from which activation energy is calculated. In the present case it comes out to be  $10.0 \text{ kJ mol}^{-1}$ .

**Conclusions**—From the PMR studies on 2-methylbenzoxazole in the temperature range 77-300 K the following conclusions can be drawn: (1) the molecule appears to be not rigid even at 77 K due to the

reorientation of the  $\text{CH}_3$  group; (2) the coincidence of the theoretical  $S_2$  for the reorientation of  $\text{CH}_3$  group with the experimental value in the temperature range 77-262 K justifies the correctness of the assumptions made in finding out the interproton distances; and (3) the fall in  $S_2$  in the temperature range 262-300 K is attributed to the onset of molecular reorientation and this is found to be associated with an activation energy of  $10.0 \text{ kJ mol}^{-1}$ .

We thank Prof. G Sivarama Sastry, Head of the Department of Physics for his interest in the present investigation. We express our gratitude to Prof. K Venkata Ramiah for his constant encouragement. We are grateful to the University Grants Commission, New Delhi for the financial assistance for carrying out this work.

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## Photon Attenuation Coefficients in Compounds

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Total photon attenuation coefficients are measured in a few compounds using HPGe detecting system on a good geometry set-up at 59.54 keV. These values are found to be, in general, in agreement with the available theoretical and semi-experimental data. The deduced total effective atomic numbers are found to be in agreement with those evaluated using theoretical expressions.

Most of the earlier experimental results on total attenuation coefficients of photons reported in literature are confined to elements and total cross-section estimates in organic and inorganic compounds are meagre. In addition, most of the available measurements are carried out by using either proportional counters or NaI(Tl) crystal detection systems. With the advent of solid state detectors, there is a renewed interest on these measurements in view of their high-resolution characteristic. Also, accurate theoretical estimates have been offered by Hubbel *et al.*<sup>1</sup> and Scofield<sup>2</sup> for the scattering and photoelectric processes respectively. Hence the total attenuation cross-sections in several inorganic compounds are estimated using an 80 cc HPGe detecting system. The experiments are carried out on a set-up similar to the one already reported<sup>3</sup> and in the same way. Total attenuation cross-sections for six compounds, viz. KCl, V<sub>2</sub>O<sub>5</sub>, LiF, B<sub>2</sub>O<sub>3</sub>, NaCl and H<sub>8</sub>O<sub>2</sub>C<sub>5</sub> are determined at 59.54 keV, employing monoenergetic gamma rays from a 14 mCi<sup>241</sup>Am sealed capsule obtained from Radio Chemical Centre, Amersham (UK). The absorbers are prepared by filling 99.9% pure

Table 2—Effective Atomic Numbers in Compounds at 59.54 keV

Compound	Theoretical			Experimental (for total absorption process)
	Scat- tering	Photo- electric	Total absorption	
KCl	18.00	18.11	18.07	18.6 ± 0.3
V <sub>2</sub> O <sub>5</sub>	12.29	19.26	16.88	16.7 ± 0.3
LiF	6.00	8.17	6.23	6.45 ± 0.12
B <sub>2</sub> O <sub>3</sub>	6.80	7.33	6.86	6.81 ± 0.13
NaCl	14.00	15.22	14.58	14.80 ± 0.29
H <sub>8</sub> O <sub>2</sub> C <sub>5</sub>	3.59	6.66	3.74	3.60 ± 0.07

amorphous compound in perspex rings of suitable thickness and closing them on either side with a thin mylar foil. In case of perspex (H<sub>8</sub>O<sub>2</sub>C<sub>5</sub>), rods of suitable heights are used.

The measured total photon attenuation coefficients with the theoretical estimates are presented in Table 1. The theoretical values in compounds are obtained by using the mass attenuation coefficients in individual elements employing the 'sum-rule'. From Table 1, it can be seen that the present experimental values are, in general, in agreement with the other sets of values and the 'sum-rule' is valid at this energy in these compounds.

Table 2 presents the experimental effective atomic numbers for total absorption processes obtained from the plots of atomic number versus the theoretical atomic cross-section in individual elements. The theoretical effective atomic numbers for total interaction are estimated by adding the numbers, evaluated for scattering and photoelectric processes using the theoretical expressions, in the respective cross-sectional weightages as already reported<sup>6,7</sup>. It can be seen that the present experimental effective atomic numbers are in agreement with theory within 4%.

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Table 1—Photon Attenuation Coefficients (in cm<sup>2</sup>/g) in Compounds at 59.54 keV

Compound	Experimental value	Ref. (4)	Ref. (5)	Refs (1) and (2)
KCl	0.514 ± 0.005	0.5127	0.5136	0.514
V <sub>2</sub> O <sub>5</sub>	0.560 ± 0.005	0.5619	0.5570	0.5661
LiF	0.1780 ± 0.0018	0.1797	0.1813	0.180
B <sub>2</sub> O <sub>3</sub>	0.1809 ± 0.0018	0.1807	0.1818	0.1814
NaCl	0.3532 ± 0.0036	0.3588	0.3604	0.3610
H <sub>8</sub> O <sub>2</sub> C <sub>5</sub>	0.1920 ± 0.0019	0.1931	0.1933	0.1930



## Vibrational Spectra of 2, 4- & 2, 5-Dichloroanilines

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The far infrared (20-600  $\text{cm}^{-1}$ ), infrared (200-4000  $\text{cm}^{-1}$ ) and laser Raman spectra (100-4000  $\text{cm}^{-1}$ ) of 2, 4- and 2, 5-dichloroanilines have been recorded using Fourier far IR spectrometer (model Polytec FIR 30), Perkin-Elmer (model 621) double beam grating spectrophotometer and He-Ne laser respectively. The vibrational spectra have been analysed assuming  $C_s$  point group.

The infrared spectra of 2, 4- and 2, 5-dichloroanilines have been studied by Srivastava<sup>1</sup> in the region 400-3800  $\text{cm}^{-1}$ , of which only 21 observed absorption frequencies for the former and only 20 observed absorption frequencies for the latter have been reported. No assignments for the observed bands have been proposed by him. However, an attempt for the assignment of the observed frequencies in the spectra of 2, 5-dichloroaniline was made by Singh and Singh<sup>2</sup> in the same region. Earlier workers could observe only 50-70% of the expected normal modes in each molecule because they did not cover the FIR region of the spectra (i.e. below 400  $\text{cm}^{-1}$ ). A survey of the literature of the previous workers shows that the complete vibrational frequency data and their assignments for these two molecules have not been reported so far. The aim of this work is to re-investigate the vibrational spectra of these molecules and to propose complete assignments. The FIR region has been investigated for the first time for these two molecules to pick-up such fundamental frequencies which have not been reported so far. The He-Ne laser Raman spectra have also been recorded for the samples.

The compounds 2, 4- and 2, 5-dichloroanilines (in solid state) were obtained from Koch Light Laboratories Ltd, England, in pure form and used as such. The FIR spectra have been recorded on Fourier Far IR spectrometer (model Polytec FIR 30) in the region 20-600  $\text{cm}^{-1}$ . The infrared spectra in solid phase with Nujol mull and also in  $\text{CCl}_4$  solution have been recorded on Perkin-Elmer (model 621) double beam grating spectrophotometer in the region 200-4000  $\text{cm}^{-1}$ . The Raman spectra have been recorded with samples in the powder form on Jobin Yvon Ramanor

spectrometer consisting of double monochromator with concave holographic grating in the region 100-4000  $\text{cm}^{-1}$ . The accuracy of measurements in the IR and Raman spectra is estimated to be within  $\pm 5 \text{ cm}^{-1}$ .

Assuming  $\text{NH}_2$  group as mass point, 2, 4- and 2, 5-dichloroanilines would belong to  $C_s$  point group. The simplified model leads to 30 normal vibrations out of which 21 are planar ( $a'$ ) and 9 are non-planar ( $a''$ ). In addition to these 30 ring vibrations, there would be 6 internal vibrations of the substituents group  $\text{NH}_2$ . The frequencies of the observed bands, their intensities, vibration number in Wilson's notations and assignments are given in Table 1. Assignments for the various observed bands have been made on the basis of the intensity of the bands and the data on related and similar molecules. All the modes of vibrations, because of  $C_s$  symmetry of the molecule, are both infrared and Raman active.

The three C—H stretching modes of vibrations (2, 20<sub>a</sub> and 20<sub>b</sub>) have been assigned at frequencies  $\nu_3$ ,  $\nu_4$  and  $\nu_5$ ; lying in the region 3000-3100  $\text{cm}^{-1}$ . The C—X (X substitution) stretching modes (3, 7<sub>a</sub> and 7<sub>b</sub>) decrease considerably below 1200  $\text{cm}^{-1}$  due to their mass dependence. The bands  $\nu_{11}$ ,  $\nu_{27}$  and  $\nu_{31}$  have been assigned to C— $\text{NH}_2$ , C—Cl and CCl stretching frequencies respectively. These assignments find support from the work of Sharma and Dwivedi<sup>3</sup>. The bands with prominent intensities ( $\nu_7$ ,  $\nu_8$ ,  $\nu_9$  and  $\nu_{10}$ ) lying in the region 1608-1470  $\text{cm}^{-1}$  have been assigned to C—C stretching frequencies. The vibration mode 14 (Kekule vibration) changes by a small magnitude with substitution and has been identified at  $\nu_{12}$  ( $\approx 1278 \text{ cm}^{-1}$ ). The frequency of mode 1 (ring breathing vibration) increases with the increase of mass number of the substituent and has been identified at  $\nu_{18}$  ( $\approx 1050 \text{ cm}^{-1}$ ).

In the case of asymmetric trisubstituted benzenes ( $C_s$ ), the modes 3, 15 and 18<sub>b</sub> are regarded as C—H in-plane bending vibrations<sup>4</sup> and these modes have been identified at frequencies  $\nu_{13}$ ,  $\nu_{15}$  and  $\nu_{17}$  respectively. The three C—X in-plane bending modes (18<sub>a</sub>, 9<sub>b</sub> and 9<sub>a</sub>) have been assigned at frequencies  $\nu_{14}$  (C— $\text{NH}_2$ ),  $\nu_{30}$  (C—Cl) and  $\nu_{34}$  (C—Cl). These assignments are in agreement with the data of Green *et al.*<sup>5</sup> as well as with that of other workers<sup>6,7</sup> for similar compounds.

The three C—C—C in-plane bending vibrations are denoted by 6<sub>a</sub>, 6<sub>b</sub> and 12 in Wilson's notations. For asymmetric trisubstitution with mixed substituents the frequency of normal mode 6<sub>b</sub> is found to be greater than that of 6<sub>a</sub>. In the present study, normal modes 6<sub>b</sub> and 6<sub>a</sub> have been identified at  $\nu_{21}$  and  $\nu_{23}$  respectively.

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Table 1—Frequencies of Observed Bands, Their Intensities, Vibration Numbers in Wilson's Notation and Assignments

Molecule				Vib. No.	Species	Assignment
2, 4-DCA		2, 5-DCA				
IR	Raman	IR	Raman			
$\nu_1$ 3484(5.5)		3485(6)				$\nu_{as}(\text{NH}_2)$
$\nu_2$ 3408(4)		3405(7)				$\nu_s(\text{NH}_2)$
$\nu_3$ 3085(8)	3085(w)	3085(4)	3080(w)	2	$a'$	$\nu(\text{C—H})$
$\nu_4$ 3068(10)*	3060(m)	3060(4)	3050(w)	20 <sub>a</sub>	$a'$	$\nu(\text{C—H})$
$\nu_5$ 3030(1)*	3030(w)	3032(4)	3035(s)	20 <sub>b</sub>	$a'$	$\nu(\text{C—H})$
$\nu_6$ 1638(2)		1645(2)				$\delta_s(\text{NH}_2)$ Scissoring
$\nu_7$ 1608(9)*		1608(8.5)	1609(w)	8 <sub>b</sub>	$a'$	$\nu(\text{C—C})$
$\nu_8$ 1592(6)		1600(10)	1600(w)	8 <sub>a</sub>	$a'$	$\nu(\text{C—C})$
$\nu_9$ 1484(8.6)	1492(m)	1482(8)		19 <sub>b</sub>	$a'$	$\nu(\text{C—C})$
$\nu_{10}$ 1470(10)*	1480(m)	1470(10)		19 <sub>a</sub>	$a'$	$\nu(\text{C—C})$
$\nu_{11}$ 1298(6.5)	1300(m)	1303(5)	1308(w)	13	$a'$	$\nu(\text{C—NH}_2)$
$\nu_{12}$ 1281(9)	1275(s)	1290(5)*	1278(m)	14	$a'$	$\nu(\text{C—C})$ Kekule Vibrn
$\nu_{13}$ 1248(4.5)	1240(m)	1265(5)	1268(w)	3	$a'$	$\delta(\text{C—H})$
$\nu_{14}$ 1155(5)	1162(w)	1150(4.5)		18 <sub>a</sub>	$a'$	$\delta(\text{C—NH}_2)$
$\nu_{15}$ 1130(5)	1122(m)	1142(4.5)		15	$a'$	$\delta(\text{C—H})$
$\nu_{16}$ 1105(4.5)		1124(4)				$\delta_{as}(\text{NH}_2)$ Rocking
$\nu_{17}$ 1085(5)	1080(w)	1086(5)*	1085(w)	18 <sub>b</sub>	$a'$	$\delta(\text{C—H})$
$\nu_{18}$ 1050(6)	1058(m)	1048(5.1)*	1048(w)	1	$a'$	$\nu(\text{C—C})$ Ring breathing
$\nu_{19}$ 938(4)	930(w)	932(4)	922(s)	17 <sub>b</sub>	$a''$	$\gamma(\text{C—H})$
$\nu_{20}$ 873(6.4)	870(w)	898(6.4)	890(s)	5	$a''$	$\gamma(\text{C—H})$
$\nu_{21}$ 813(7.8)	822(s)	792(5.8)	790(w)	6 <sub>b</sub>	$a'$	$\delta(\text{C—C—C})$
$\nu_{22}$ 806(5.4)		832(6.0)	825(w)	11	$a''$	$\gamma(\text{C—H})$
$\nu_{23}$ 732(6.0)	740(w)	733(3)		6 <sub>a</sub>	$a'$	$\delta(\text{C—C—C})$
$\nu_{24}$ 708(6.4)	710(w)	718(4.4)	713(s)	4	$a''$	$\gamma(\text{C—C—C})$
$\nu_{25}$ 625(6.6)		620(5)				$\delta_{as}(\text{NH}_2)$ Wagging
$\nu_{26}$ 578(6.4)	575(w)	575(5)	583(s)	16 <sub>a</sub>	$a''$	$\gamma(\text{C—C—C})$
$\nu_{27}$ 542(6.4)	538(m)	535(4.4)		7 <sub>b</sub>	$a'$	$\nu(\text{C—Cl})$
$\nu_{28}$ 520(6)	525(s)	505(4.8)		12	$a'$	$\delta(\text{C—C—C})$
$\nu_{29}$ 463(5.8)	455(w)	448(4.8)	445(m)	16 <sub>b</sub>	$a''$	$\gamma(\text{C—C—C})$
$\nu_{30}$ 435(6)	438(m)	434(5)	428(w)	9 <sub>b</sub>	$a'$	$\delta(\text{C—Cl})$
$\nu_{31}$ 278(5.2)		270(3.7)	280(s)	7 <sub>a</sub>	$a'$	$\gamma(\text{C—Cl})$
$\nu_{32}$ 210(5.8)		200(3.2)				$(\text{NH}_2)$ Twisting
$\nu_{33}$ 205(4.3)	207(s)	205(4.2)	207(m)	10 <sub>a</sub>	$a''$	$\gamma(\text{C—NH}_2)$
$\nu_{34}$ 194(4)	200(s)	192(3.2)	188(s)	9 <sub>a</sub>	$a'$	$\delta(\text{C—Cl})$
$\nu_{35}$ 182(3)	188(s)	180(3)	188(s)	10 <sub>b</sub>	$a''$	$\gamma(\text{C—Cl})$
$\nu_{36}$ 138(3.4)	140(s)	140(2.2)	145(m)	17 <sub>a</sub>	$a''$	$\gamma(\text{C—Cl})$
$\nu_{37}$ 3502		3508				(3068 435) $A'$ & (3060 448) $A''$
$\nu_{38}$ 2910		3395				(1608 1298) $A'$ & (3085 310) $A''$
$\nu_{39}$ 2725		2930				(1592 1130) $A'$ & (1470) 2) $A'$
$\nu_{40}$ 1875		2860				(1298 578) $A''$ & (1600 1265) $A'$
$\nu_{41}$ 1850		1919				(1050 806) $A''$ & (1886 832) $A''$
$\nu_{42}$ 1725		1858				(1592 138) $A''$ & (1280 575) $A''$
$\nu_{43}$ 1570		1698				(1130 435) $A'$ & (1265 434) $A'$
$\nu_{44}$ 1406		1370				(1130 278) $A''$ & (832 535) $A'$
$\nu_{45}$ 1388		1268				(813 578) $A'$ & (733 535) $A'$
$\nu_{46}$ 1309		915				(732 578) $A''$ & (733 180) $A''$
$\nu_{47}$ 1228		850				(1085 138) $A''$ & (575 270) $A''$
$\nu_{48}$ 645		374				(435 205) $A'$ & (180 192) $A''$
$\nu_{49}$ 370						(182 $\times$ 2) $A'$
$\nu_{50}$ 320						(138 + 182) $A'$

\* Liquid phase reading (in  $\text{CCl}_4$  solution); s = sharp; m = medium; w = weak;  $\nu$  = stretching,  $\delta$  = in-plane bending,  $\gamma$  = out-of-plane bending. In cols. 1 and 3, the figures in parentheses indicate intensity in the scale of 1 to 10.



The frequency of mode 12 drops considerably and has been identified at  $\nu_{28}$  with prominent intensity.

The C—H out-of-plane bending vibrations are represented by normal modes  $17_b$ , 5 and 11 in the case of asymmetric trisubstituted benzenes and these modes have been identified at frequencies  $\nu_{19}$ ,  $\nu_{20}$  and  $\nu_{22}$  respectively, lying in the region  $950\text{--}800\text{ cm}^{-1}$ . The three C—X out-of-plane bending vibrations, denoted by normal modes  $10_a$ ,  $10_b$  and  $17_a$ , decrease considerably and have been assigned at  $\nu_{33}$ ,  $\nu_{35}$  and  $\nu_{38}$  respectively. These frequencies are within the frequency intervals established by Whiffen<sup>8</sup> and McMurry and Thornton<sup>9</sup>. Normal 4,  $16_a$  and  $16_b$  are the C—C—C out of plane bending vibrations of benzene. In the case of substituted benzenes, the frequency of mode 4 increases slightly from its magnitude in benzene and has been identified at  $\nu_{24}$  in the present case. For asymmetric trisubstitution, Bentley and Wolforth<sup>10</sup> assigned one component  $16_a$  between  $530$  and  $590\text{ cm}^{-1}$  in the infrared spectrum of trialkyl benzenes, while the other component  $16_b$  was assigned in the interval  $430\text{--}450\text{ cm}^{-1}$ . Hence the assignments for modes  $16_a$  and  $16_b$  at frequencies  $\nu_{26}$  and  $\nu_{29}$  respectively stand justified.

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# Absorption Spectra of Some Lanthanide Complexes: $\text{Pr}^{3+}$ :L-Leucine & Mercaptopropionic Acid Ternary Complex

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Slater-Condon, Racah and Landé interaction parameters, oscillator strength, Judd-Ofelt intensity parameters, nephelauxetic ratio and bonding parameter have been reported for  $\text{Pr}^{3+}$  ternary complex using L-leucine and mercaptopropionic acid as ligand in aqueous and dimethylformamide (DMF) solutions. These parameters have been compared with those for the free ion. Covalent bonding in the complex is indicated.

A number of rare-earth complexes with amino acids have been reviewed by Yatsimirskii *et al.*<sup>1</sup> and Forsberg<sup>2</sup>. Panyushkin *et al.*<sup>3</sup> have studied infrared absorption of some rare-earth complexes with  $\alpha$ -amino acids. It is only recently that Bhutra and Gupta<sup>4</sup> have made spectral studies of  $\text{Pr}^{3+}$  complexes with cysteine and diols in the visible region.

The present note reports the absorption spectra of ternary complex of  $\text{Pr}^{3+}$  using L-leucine and mercaptopropionic acid as ligands in aqueous and DMF solutions. Various spectroscopic interaction and intensity parameters have been computed from the observed spectra.

The complex of  $\text{Pr}^{3+}$  with L-leucine and mercaptopropionic acid was prepared by the usual method<sup>4</sup>. Chemicals used were of AR Grade. The absorption spectra were measured on Carl-Zeiss VSU-2 Spectrophotometer in the region 360-600 nm in water and DMF solutions. The observed values of energy levels and oscillator strengths have been presented in Tables 1 and 2 respectively.

Table 1—Experimental and Calculated Energy Levels (in  $\text{cm}^{-1}$ ) for  $\text{Pr}^{3+}$  Complex in Solution

Energy level	Solvent $\text{H}_2\text{O}$		Solvent DMF	
	$E_{\text{exptl}}$	$E_{\text{calc.}}$	$E_{\text{exptl}}$	$E_{\text{calc.}}$
$^1D_2$	17007	17169	16949	17121
$^3P_0$	20833	20865	20790	20826
$^3P_1$	21413	21361	21322	21278
$^3P_2$	22573	22502	22472	22399
rms deviation	93.0		97.65	

Table 2—Experimental and Calculated Oscillator Strengths for  $\text{Pr}^{3+}$  Complex in Solutions

Energy levels	Solvent water $P \times 10^{-6}$		Solvent DMF $P \times 10^{-6}$	
	Exptl	Calc.	Exptl	Calc.
$^1D_2$	3.60	3.60	4.37	4.37
$^3P_0$	1.74	2.91	3.07	3.83
$^3P_1$	4.15	2.95	4.65	3.88
$^3P_2$	11.47	11.47	11.97	11.97
rms deviation	0.84		0.54	

**Energy parameters**—The initial set of parameters may be evaluated by expressing the energy as a Taylor series expansion. For the  $j$ th level it is given by:

$$E_j(F_k, \zeta_{4f}) = E_{0j}(F_k^0, \zeta_{4f}^0) + \sum_{k=2,4,6} \frac{\partial E_j}{\partial F_k} \Delta F_k + \frac{\partial E_j}{\partial \zeta_{4f}} \Delta \zeta_{4f} \quad \dots (1)$$

where  $E_{0j}$  is the zero order energy of the  $j$ th level. Substituting the observed energy value  $E_j$ , zero order energy value  $E_{0j}$  and partial derivatives for the free ion, first best value of  $F_2, F_4, F_6$  and  $\zeta_{4f}$  parameters are calculated by partial multiple regression method. Using these parameters, zero order energy values, the energy values  $E_j$  for different levels of  $\text{Pr}^{3+}$  ion in both the solvents have been computed. The observed and computed values of  $E_j$  are collected in Table 1. The  $F_k$  parameters are also related to another set of energy parameters known as Racah parameters  $E^k$  which have also been calculated and included in Table 3 along with other parameters.

**Intensity parameters**—The experimental oscillator strengths for various transitions have been computed by using the relation

$$P = 4.6 \times 10^{-9} \times \epsilon_{\text{max}} \times \Delta v_{1/2} \quad \dots (2)$$

where  $\epsilon_{\text{max}}$  is the molar extinction coefficient and  $\Delta v_{1/2}$  is the bandwidth for the respective transition.

Theoretically, the oscillator strength  $P_{\text{calc}}$  of the induced dipole transition, according to Judd-Ofelt relation can be expressed as:

$$P_{\text{calc}} = |U^{(2)}|^2 T_2 + |U^{(4)}|^2 T_4 + |U^{(6)}|^2 T_6 \quad \dots (3)$$

The values of  $T_\lambda$  parameter have been computed from Eq. (3) using partial multiple regression method<sup>5</sup>, where the values of reduced matrix elements have been taken from Carnall<sup>6</sup>. The values of  $T_\lambda$ -parameter for  $\text{Pr}^{3+}$  complexes with L-leucine and mercapto-



Table 3—Observation of the Parameters of  $\text{Pr}^{3+}$  Complex in Solution

Parameter	Free ion	$\text{H}_2\text{O}$	DMF
$F_2$	322.09	312.44	312.35
$F_4$	44.46	43.13	43.12
$F_6$	4.866	4.72	4.72
$E^1$	4729	4587.22	4585.91
$E^2$	24.79	23.995	23.988
$E^3$	478.14	463.79	463.66
$\zeta_{4f}$	741.00	677.1497	657.64
$\beta$		0.9700	0.9698
$b^{1/2}$		0.1224	0.1229
$T_2 \times 10^9$		5.5	19.3
$T_4 \times 10^9$		0.81	1.07
$T_6 \times 10^9$		3.53	3.65
$T_4/T_6$		0.229	0.293

propionic acid in water and DMF have been reported in Table 3. The calculated values of oscillator strengths for various transitions are included in Table 2.

**Bonding and nephelauxetic ratio ( $\beta$ )**—On complexation the expansion of central ion takes place. This effect of expansion is known as nephelauxetic effect and has been studied by various workers<sup>7-9</sup>. The bonding parameter  $b^{1/2}$  has been calculated using the relation reported earlier<sup>7</sup>. Values of  $\beta$  and  $b^{1/2}$  have been included in Table 3.

**Discussion**—A comparison of the observed and calculated values of energy levels (Table 1) shows that the rms deviations are  $93.0 \text{ cm}^{-1}$  for the  $\text{Pr}^{3+}$  complexes in aqueous solution and  $79.6 \text{ cm}^{-1}$  in DMF

solution. These values indicate good agreement with theoretical and experimental energy values.

The interelectronic repulsion  $F_k$  and  $E^k$  and Lande  $\zeta_{4f}$  parameters exhibit a decrease from the values of corresponding parameters of  $\text{Pr}^{3+}$  free ion (Table 3). This is due to the decrease in interelectronic repulsion and spin-orbit interaction due to the expansion of the central metal-ion orbital on complexation. The positive  $b^{1/2}$  values indicate covalent bonding of the complexes under study.

The ratio of  $T_4/T_6$  is nearly constant which suggests that the structure of the complexes in different solvents remains invariant.

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## Ultraviolet Absorption Spectra of 2, 3- & 3, 5-Dichloroanilines in Vapour Phase

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The near ultraviolet absorption spectra of 2, 3- and 3, 5-dichloroanilines corresponding to  $^1A_{1g} \rightarrow ^1B_{2u}$  and  $^1A_{1g} \rightarrow ^1B_{1u}$  transitions of benzene have been recorded in vapour phase. The spectra have been analyzed in terms of some ground and excited state fundamentals. The assignments of fundamental frequencies to probable modes of vibration and red shift of 0, 0 bands are discussed.

The electronic absorption spectrum of aniline in vapour phase has been studied by Ginsberg and Matsen<sup>1</sup>. Apart from other substituted anilines, the electronic spectra of di- and trichloroanilines have been studied by many workers<sup>2-5</sup>. In continuation of this work, the present communication reports the electronic absorption spectra of 2, 3- and 3, 5-dichloroanilines in vapour phase which have not been reported earlier.

The near ultraviolet absorption spectra of 2, 3- and 3, 5-dichloroaniline molecules (hereafter referred to as 2, 3-DCA and 3, 5-DCA respectively) have been photographed on Russian NC $\pi$ -30 spectrograph with a dispersion of 16 Å/mm at 3100 Å and 9 Å/mm at 2500 Å, varying the temperature between 25 and 70°C and path-length from 50 to 120 cm. The band heads were measured on a comparator (of Optical Instrument Co., with a least count of 0.0001 cm) with an accuracy of  $\pm 5$  cm<sup>-1</sup>. In the present study, both the systems corresponding to  $^1A_{1g} \rightarrow ^1B_{2u}$  (2600 Å) and  $^1A_{1g} \rightarrow ^1B_{1u}$  (2100 Å) system of benzene have been photographed and analyzed.

For  $^1A_{1g} \rightarrow ^1B_{2u}$  system, the very strong bands at 33460 and 33393 cm<sup>-1</sup> towards the longer wavelength side have been assigned as 0, 0 bands in 2, 3-DCA and 3, 5-DCA respectively. Many of the fundamentals observed in both molecules (Tables 1 and 2) find support from the literature values<sup>6</sup>.

Evans<sup>7</sup> has suggested the occurrence of NH<sub>2</sub> torsion at around 245 cm<sup>-1</sup> and Sharma and Dwivedi<sup>8</sup> assigned this mode at 242 cm<sup>-1</sup>. In view of these, the

Table 1—Analysis of the Electronic Absorption Bands of 3, 5-Dichloroaniline

Intensity*	Position, cm <sup>-1</sup>	Assignment
	$^1A_{1g} \rightarrow ^1B_{2u}$ (2600 Å) System	
vw	33180	0-5 × 44
vw	33199	0+272-2 × 44
m	33265	0-3 × 44
ms	33305	0-2 × 44
s	33349	0-44
vs	33393	0, 0
vvw	33486	0+223-3 × 44
w	33516	0+223-2 × 44
w	33555	391-5 × 44
s	33580	0+223-44
s	33616	0+223
s	33665	0+272
mw	33701	0+391-2 × 44
mw	33739	0+391-44
s	33784	0+391
m	33831	0+2 × 223
ms/s	33868	0+556-2 × 44
s	33900	0+507; 0+556-44
s	33949	0+556
vw	34010	0+391+223
w	34035	0+726-2 × 44
ms	34074	0+726-44
s	34119	0+726
ms/s	34156	0+556+223
ms	34184	0+878-2 × 44
s	34217	0+878-44
s	34271	0+878
m	34312	0+726+223-44
ms	34351	0+726+223
m	34421	0+1236-213; 0+2 × 507
m	34488	0+1137-44
s	34530	0+1137
ms	34579	0+1236-44
s	34629	0+1236
m	34673	0+726+556
s	34738	0+1345
s	34808	0+1137+272
m	34868	0+1236+223
m	34904	0+3 × 507
m	34951	0+878+726-44
ms	34964	0+1236+391-44
ms	34987	0+878+726
msb	35529	0+1415+726
msb	35639	0+1415+878-44
	$^1A_{1g} \rightarrow ^1B_{1u}$ (2100 Å) System	
sb	39503	0-239
vsb	39742	0, 0
vsb	40007	0+264
vsb	40245	0+503
msb	40467	0+725

\* vw—very weak, w—weak, m—medium, s—strong, vs—very strong, vsb—very strong and broad, msb—medium strong and broad, vvw—very very weak

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Table 2—Analysis of the Electronic Absorption Bands of 2, 3-Dichloroaniline

Intensity*	Position, cm <sup>-1</sup>	Assignment	Intensity*	Position, cm <sup>-1</sup>	Assignment
<sup>1</sup> A <sub>1g</sub> → <sup>1</sup> B <sub>2u</sub> (2600 Å) System			<sup>1</sup> A <sub>1g</sub> → <sup>1</sup> B <sub>1u</sub> (2100 Å) System		
vw	33253	—	w	39060	0–243
vs	33460	0	wb	39303	0
msb	33706	0+246	msb	39485	0+182
msb	34192	0+732	mwb	39846	0+543
msb	34681	0+1221	wb	40052	0+748
wb	35226	—	wb	40319	0+1016
w	35516	—			
wb	36293	—			
wb	36673	—			

\* See Table 1

Table 3—Correlation of the Fundamental Vibrational Frequencies of 3, 5- &amp; 2, 3-DCA in IR, Raman and UV Spectra and Their Assignments

3, 5-DCA			(All values in cm <sup>-1</sup> ) 2, 3-DCA			Assignment†	
IR	Electronic		IR	Raman	Electronic		
	GS	ES			GS	ES	
	239*	223	—	—	243*	182*	NH <sub>2</sub> torsion
297	—	272	299	282	—	246	β(C—Cl)
427	—	391	—	—	—	—	C—C o.p.b.
437‡							
578	—	507	582	581	—	—	β(C—C)
702	—	556	—	—	—	—	δ(C—C)
797	—	726	767	779	732	732	C—C—C trigonal bend
989	—	878	997	985	—	—	Ring breathing
1272	—	1137	—	—	—	—	ν(C—C)
1302	—	1236	1312	1307	—	1221	ν(C—NH <sub>2</sub> )
1445	—	1345	—	—	—	—	ν(C—C)

\* In second system (<sup>1</sup>A<sub>1g</sub>→<sup>1</sup>B<sub>1u</sub>)

† ν, stretching, β, in-plane bending, δ, out-of-plane deformation

‡ Raman value

excited state (ES) value of 223 cm<sup>-1</sup> in 3, 5-DCA and 182 cm<sup>-1</sup> (from <sup>1</sup>A<sub>1g</sub>→<sup>1</sup>B<sub>1u</sub> system) in 2, 3-DCA have been assigned to NH<sub>2</sub> torsional mode, which also find support from the ground state (GS) values of 239 and 243 cm<sup>-1</sup> respectively.

The strong band towards shorter wavelength side having a separation of 878 cm<sup>-1</sup> in 3, 5-DCA explains quite a good number of observed bands. It has been taken to represent the ring breathing mode. Its ground state counterpart could not be observed but it finds support from the corresponding IR and Raman frequencies<sup>9</sup>. In view of the assignments of Singh<sup>11</sup>, Sharma *et al.*<sup>12</sup> and Dwivedi and Sharma<sup>13</sup>, the GS value of 44 cm<sup>-1</sup> in 3, 5-DCA has been taken as a difference band, such as between ES fundamental 391 cm<sup>-1</sup> and its GS counterpart at 427/437 cm<sup>-1</sup> (Table 3). The other fundamentals with the corresponding IR and Raman values along with their assignments are shown in Table 3.

In the present study, it is also interesting to observe the second system (<sup>1</sup>A<sub>1g</sub>→<sup>1</sup>B<sub>1u</sub>) around 2500 Å in both the molecules. The bands at 39742 and 39303 cm<sup>-1</sup> in this system for 3, 5-DCA and 2, 3-DCA respectively have been assigned as 0, 0 band. However, the bands observed were broad and diffuse in this system. Although, the previous workers<sup>2–4</sup> could not observe this system in other isomers of dichloroanilines but Amma *et al.*<sup>14</sup> and Nair *et al.*<sup>15</sup> have observed this additional system in other benzene derivatives.

The 0, 0 bands of 3, 5-, 2, 3-, 2, 5- and 3, 4- DCAs are at 33393, 33460, 33114 and 32327 cm<sup>-1</sup> respectively while that of aniline is at 34037 cm<sup>-1</sup>. Thus the red shift from aniline is in the order 3, 4- > 2, 5- > 3, 5- > 2, 3-DCA. A similar trend has been observed by Varadarajan and Kalkar<sup>16</sup> for dichlorotoluenes.

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## Infrared & Laser Raman Spectra of Some Diaminotoluenes

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The infrared absorption spectra of 2,4-, 2,6- and 3,4-diaminotoluenes have been recorded on Perkin Elmer 577 spectrophotometer in the region 200-4000  $\text{cm}^{-1}$ . The laser Raman spectra of these molecules have been recorded in Cary 82 Raman spectrometer, using krypton ion laser of 6471 Å. The spectra have been analyzed assuming  $C_{2v}$  point group for 2, 6-diaminotoluene and  $C_s$  for the other two molecules, and the assignments for the observed fundamental modes have been made.

The vibrational spectra of several methylbenzenes were studied by Pitzer and Scott<sup>1</sup>, Wilmshurst and Bernstein<sup>2</sup>, Green *et al.*<sup>3</sup> and Mooney<sup>4</sup>. The vibrational spectra of various aminotoluenes have also been reported in literature<sup>5-7</sup>. No work seems to have been done on diaminotoluenes. The present note reports the infrared absorption spectra and laser Raman spectra of 2, 4-, 2, 6- and 3, 4-diaminotoluenes.

The present assignments are indispensable for the calculation of thermodynamic functions of the molecules and of frequencies with changes in mass and force constants.

The pure chemicals, 2,4-, 2,6- and 3,4-diaminotoluenes (hereafter referred to as 2, 4-DAT, 2, 6-DAT and 3, 4-DAT respectively) obtained from M/s Tokyo Kasei Kogyo Co. Ltd, Japan, were used as such without further purification. The infrared spectra were recorded on Perkin Elmer 577 spectrophotometer in the region 200-4000  $\text{cm}^{-1}$  in KBr pellet and nujol mull. The laser Raman spectra of the three molecules were recorded on Cary 82 Raman spectrometer using krypton ion laser of 6471 Å, power 80 mW and slit width 3  $\text{cm}^{-1}$ . The positions of the bands along with their assignments for the three diaminotoluenes are given in Table 1.

All the three molecules have been taken to belong to  $C_s$  point group for the sake of comparison and convenience in analyzing the spectra.

**C—C vibrations**—In tri-substituted benzenes<sup>8</sup>, the frequency of one of the two ring modes  $\nu_1$  and  $\nu_{12}$  reduces up to 820  $\text{cm}^{-1}$  while the other remains around 1000  $\text{cm}^{-1}$ . As  $\nu_1$  vibration is totally symmetric, it should appear as a very strong and

Table 1—Assignments of Observed Fundamental Frequencies (in  $\text{cm}^{-1}$ ) in 2, 6-, 2, 4- and 3, 4-Diaminotoluenes

Vibration number	Sym. species	2, 6-DAT		2, 4-DAT		3, 4-DAT		Assignment**
		IR†	Raman‡	IR†	Raman‡	IR†	Raman‡	
2	a'	3070(vvw)	—	3105(w)	—	3090(vw)	—	$\nu(\text{C—H})$
20a	a'	3058(vw)	3038(29)	3078(w)	—	3060(vw)	—	$\nu(\text{C—H})$
8b	a'	1592(m)	1599 } (61) 1597 }	1605(ms)	—	1600(m)	—	$\nu(\text{C—C})$
19b	a'	1495(ms)	1498 } (26) 1495 }	1500(mb)	—	1505(m)	—	$\nu(\text{C—C})$
13	a'	1185(vvs)	—	1184(vvs)	—	1170(sb)	—	$\nu(\text{C—CH}_3)$
99	a'	1090(vvw)	—	1090(vvw)	—	—	—	C—H i.p.b.
7a	a'	1380(vs)	—	1378(vvs)	—	1380(vvs)	1390(45)	$\nu(\text{C—NH}_2)$
12	a'	860(vs)	—	852(vvs)	—	888(s)	—	C—C—C trigonal bending
1	a'	1056 } (vs) 1038 }	1054(99)	1033(vs)	—	1042(sb)	—	$\nu(\text{C—C})$ ring breathing
6a	a'	—	670(75)	710 } (mb) 700 }	—	695(ms)	—	C—C i.p.b.
18b	a'	—	—	—	—	—	—	—
20b	a'	3010(vw)	—	3050(mw)	—	3015(vvw)	—	—
8a	a'	1582(ms)	1587 } (70) 1585 } 1583 }	1580(s)	—	1592(mb)	—	$\nu(\text{C—H})$ $\nu(\text{C—C})$
19a	a'	1450(ms)	—	1450(sb)	—	1455(m)	—	$\nu(\text{C—C})$
14	a'	1318(sb)	1311(99)	1312(vvs)	—	—	—	$\nu(\text{C—C})$ , Kekule

(Contd)

Table 1—Assignments of Observed Fundamental Frequencies (in  $\text{cm}^{-1}$ ) in 2, 6-, 2, 4- and 3, 4-Diaminotoluenes—Contd

Vibra- tion number	2, 6-DAT			2, 4-DAT		3, 4-DAT		Assignment**
	Sym. Species	IR†	Raman‡	IR†	Raman‡	IR†	Raman‡	
7b	a'	1288(vvs)	—	1295(vvs)	—	1292(vvs)	—	$\nu(\text{C}-\text{NH}_2)$
3	a'	—	—	1223(vvs)	—	1248(vvs)	—	C-H i.p.b.
9b	a'	1150 } (sb)	—	1148(vvs)	—	1160(m)	—	C-H i.p.b.
		1145 }		622 }				
6b	a'	610m	—	618 } (m)	—	—	518(22)	C-C i.p.b.
15	a'	—	353 } (51)	—	—	—	—	C-CH <sub>3</sub> i.p.b.
			351 }					
18a	a'	310*(m)	—	—	330(25)	—	—	C-NH <sub>2</sub> i.p.b.
17	a''	785(mw)	—	800(s)	—	808(mw)	—	C-H o.p.b.
16a	a''	—	497 } (38)	495 } (m)	—	—	498(2)	C-C o.p.b.
			494 }	490 }				
10a	a''	—	—	215(m)	—	220 }* (mw)	—	C-NH <sub>2</sub> o.p.b.
						215 }		
4	a''	715(mb)	—	720(ms)	—	715(s)	—	C-C o.p.b.
17b	a''	920(s)	—	930(s)	—	938(s)	—	C-H o.p.b.
11	a''	—	—	765(m)	754(89)	745(m)	—	C-H o.p.b.
16c	a''	—	—	390*(m)	—	398*(m)	—	C-C o.p.b.
10b	a''	—	—	—	120(14)	—	112(75)	C-NH <sub>2</sub> o.p.b.
5	a''	300*(mw)	—	295*(mm)	—	300 } (15)	—	C-CH <sub>3</sub> o.p.b.
						297 }		
NH <sub>2</sub> group vibra- tions		3412(ms)	—	3442(vs)	—	—	—	$\nu(\text{N}-\text{H})$ asym.
		3340(vvs)	—	3355(s)	—	3365(vsb)	—	$\nu(\text{N}-\text{H})$ sym.
		3320(m)	—	3308 } (ms)	—	—	—	$\nu(\text{N}-\text{H})$ sym.
				3292 }				
		3240(mw)	—	3210(s)	—	—	—	$\nu(\text{N}-\text{H})$ sym.
		1630(ms)	—	—	—	1620(s)	—	NH <sub>2</sub> scissoring
		1620(ms)	—	1618 } (vw)	—	1615(ms)	—	NH <sub>2</sub> scissoring
				1615 }				
		1150 } (sb)	—	1148(vvs)	—	1160(m)	—	NH <sub>2</sub> twisting
		1146 }						
		—	—	1075(sb)	—	1080(s)	—	NH <sub>2</sub> twisting
		575(vw)	—	570(mw)	—	570(sb)	575 } (22)	NH <sub>2</sub> wagging
							573 }	
		—	261(12)	—	—	255*(m)	—	NH <sub>2</sub> torsion
		235*(s)	247(35)	235*(ms)	—	240(ms)	241 } (28)	NH <sub>2</sub> torsion
							239 }	
CH <sub>3</sub> group vibra- tions		2915(m)	—	2938(mw)	—	2940(vvw)	—	$\nu(\text{C}-\text{H})$ asym.
		2895(m)	—	2915(m)	—	2930(mw)	—	$\nu(\text{C}-\text{H})$ asym.
		2860 } (w)	—	2850(m)	—	2865(vw)	—	$\nu(\text{C}-\text{H})$ sym.
		2852 }						
		1475(ms)	—	—	—	1495(vw)	—	C-H asym. def.
		1470(m)	—	1460(sb)	—	1465(m)	—	C-H asym. def.
		—	—	1405(vvw)	—	1410(vvw)	—	C-H sym. def.
		—	—	1075(sb)	—	1080(s)	—	CH <sub>3</sub> rocking
		985(s)	—	960(s)	960(18)	950(m)	946(30)	CH <sub>3</sub> rocking
		285*(mw)	283 } (33)	270*(m)	—	—	—	CH <sub>3</sub> torsion
			279 }					

† Visual intensities: s, strong; m, medium; v, very; b, broad; w, weak  
‡ Intensities (based on a maximum value of 100) are shown in parentheses.

\* KBr values

\*\* i.p.b., in-plane bending; o.p.b, out-of-plane bending; a', in-plane; a'', out-of-plane; and  $\nu$ , stretching



polarized band in the Raman spectra. Only one very strong Raman band has been observed at  $1054\text{ cm}^{-1}$  in 2, 6-DAT in this region. In view of these, the very strong infrared bands observed at 1033, 1056/1038 and  $1042\text{ cm}^{-1}$  have been assigned to  $\nu_1$  mode in 2, 4-DAT, 2, 6-DAT and 3, 4-DAT respectively, while those at 852, 860 and  $888\text{ cm}^{-1}$  to  $\nu_{12}$  mode respectively. These find support from the literature values<sup>9</sup>.

A group of four bands between 1400 and  $1650\text{ cm}^{-1}$  characterize the spectra of substituted benzenes, i.e., there appear two doubly degenerate vibrations  $e_{2g}$  (1595) and  $e_{1u}$  (1485) (vibrations 8 and 19 respectively according to Wilson's notation), and one non-degenerate vibration  $b_{2u}$  (1310), i.e.  $\nu_{14}$  vibration of benzene, which have been attributed to C—C stretching vibrations and all these frequencies are known to remain practically unaffected by substitution; however, the doubly degenerate vibrations under reduced symmetry  $C_s$  split into two components. The component vibrations 8a, 8b, 19a, 19b and 14 have been observed at 1582 (1585 R\*), 1592 (1599 R\*), 1450, 1495 and  $1318\text{ cm}^{-1}$  in 2, 6-DAT; at 1580, 1605, 1450, 1500,  $1312\text{ cm}^{-1}$  in 2, 4-DAT; and at 1592, 1600, 1455,  $1505\text{ cm}^{-1}$  (5th value not observed in this molecule) in 3, 4-DAT respectively. These are in agreement with the values given in literature<sup>9-11</sup>.

**C—X vibrations**—As a result of substitution at positions 1, 2 and 6 of the ring, the stretching vibrations  $\nu_{13}$ ,  $\nu_{7a}$  and  $\nu_{7b}$  become X-sensitive. In substituted anilines, strong C—NH<sub>2</sub> vibration appears near  $1300\text{ cm}^{-1}$  (Ref. 12), but because of the two NH<sub>2</sub> groups, one of the two C—NH<sub>2</sub> stretching frequencies may be modified. As such, the bands observed as 1288,  $1380\text{ cm}^{-1}$  in 2, 6-DAT, at 1295,  $1378\text{ cm}^{-1}$  in 2, 4-DAT, and at 1292,  $1380$  (1390 R\*)  $\text{cm}^{-1}$  in 3, 4-DAT have been taken to represent the C—NH<sub>2</sub> stretching modes. A frequency around  $200\text{ cm}^{-1}$  has been assigned to C—NH<sub>2</sub> out-of-plane vibration by many workers<sup>3,6</sup>. On lines similar to the stretching mode, the two C—NH<sub>2</sub> out-of-plane modes have been identified at 215,  $120\text{ cm}^{-1}$  in 2, 4-DAT, and at 220,  $112\text{ cm}^{-1}$  in 3, 4-DAT.

Studies on the spectra of toluene and substituted toluenes<sup>2,4,5</sup> show that there appears a strong band around  $1200\text{ cm}^{-1}$  which involves C—CH<sub>3</sub> valence oscillation. In view of this, the bands observed at 1185, 1184 and  $1170\text{ cm}^{-1}$  have been identified as the C—CH<sub>3</sub> stretching mode in 2, 6-DAT, 2, 4-DAT and 3, 4-DAT respectively. It is also in agreement with literature values<sup>13</sup>. The other C—X modes are shown in Table 1 in their respective regions.

**Group vibrations**—In view of the relation of Bellamy and Williams<sup>14</sup>, the bands observed at 3412,  $3320\text{ cm}^{-1}$  in 2, 6-DAT, and those at 3442,  $3308\text{ cm}^{-1}$  in 2, 4-DAT have been identified as the asymmetric N—H

stretching modes, while the corresponding symmetric stretching modes have been identified at 3340,  $3240\text{ cm}^{-1}$  in 2, 6-DAT, and at 3355,  $3210\text{ cm}^{-1}$  in 2, 4-DAT respectively. Only one band ( $3365\text{ cm}^{-1}$ ) has been observed in this region in 3, 4-DAT, which has been assigned to N—H symmetric stretching mode. Evans<sup>15</sup> assigned a band around  $490\text{ cm}^{-1}$  as the first overtone of NH<sub>2</sub> torsion about C—N bond. In the present case, the bands at 261,  $247\text{ cm}^{-1}$  in 2, 6-DAT, at  $235\text{ cm}^{-1}$  in 2, 4-DAT, and at 255,  $241\text{ cm}^{-1}$  in 3, 4-DAT have been assigned to NH<sub>2</sub> torsional modes.

The C—H stretching and bending vibrations are well assigned in their respective regions in Table 1.

Owen and Hester<sup>16</sup> assigned the CH<sub>3</sub> torsion in the region  $177\text{--}240\text{ cm}^{-1}$  in various monohalogenated anisoles, while Green *et al.*<sup>3</sup> assigned this mode at around  $200\text{ cm}^{-1}$  in methyl substituted benzenes. In the present study, this mode has been identified at 285 (283 R\*) and  $270\text{ cm}^{-1}$  in 2, 6-DAT and 2, 4-DAT respectively. It is also supported from the work of Tylli *et al.*<sup>17</sup> who assigned the methy torsion around  $280\text{ cm}^{-1}$ .

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